

# **Cell-Based Toxicity Assay-on-Chip for the Next-Generation CMOS Technology**

*(Task Number: 425.037)*

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## **Other Researchers:**

- **Steven Crawford, Research Technician, Nanoengineering, NC A&T**

## **Cost Share (other than core ERC funding):**

- **25% cost-share from the Joint School of Nanoscience e and Nanoengineering, a collaboration between NCA&T and UNC/Greensboro**

*SRC Engineering Research Center for Environmentally Benign Semiconductor Manufacturing*

# Objectives

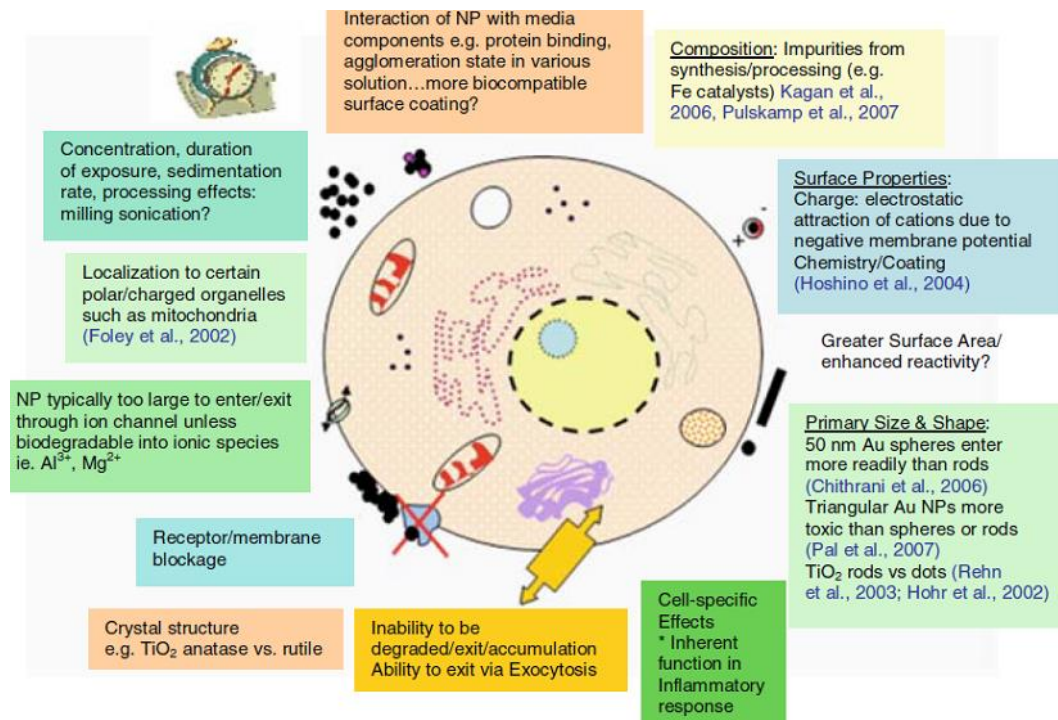
- **Long-term objective**
  - Understand toxicity and cell uptake of engineered nanomaterials (ENs) used/to be used in the semiconductor industry
  - Cell assays and analytical/microscopic techniques to assess rapidly influence of physiochemical properties on ESH
- **Year 1 focus**
  - Short-term/acute (< 3 weeks) *in vitro* assessment of critical biological consequences (CBCs) using cytotoxic assays and cell uptake studies for varying physiochemical ENs
- **Critical questions which need to be addressed....** (Schrurs et al. Nature Nanotechnology, 2012)
  - Do ENs penetrate into cells and how does intracellular trafficking of ENs occur?
  - Does cytotoxicity of ENs vary with cell type?
  - Is cytotoxicity driven by physiochemical factors such as size, aggregation, shape, composition, dose and time?

# **ESH Metrics and Impact**

- 1. Reduction in the use or replacement of ESH-problematic materials (CNTs, CMP nanomaterials and other oxides)**
  - **Conducting comprehensive physicochemical characterization (of size, dose, aggregation, composition, crystallinity, surface properties) and toxicity assays to identify problematic materials (based on cell type)**
  - **We also identify and study subtle cellular variations, which may not result in cell death using cell uptake studies (dose - less than ppm levels)**
- 2. Reduction in emission of ESH-problematic material to environment**
  - **Assessing starting nanomaterial's physiochemical properties including impurity content to remove unsafe by-products from the environment**
  - **Enable safer design and use of nanomaterials in work environments**
- 3. Reduction in the use of natural resources (water and energy)**
- 4. Reduction in the use of chemicals**

# Understanding Nanotoxicity

- Number of physicochemical properties and mechanisms can occur at the surface of nanomaterial and inside a cell, thus affecting toxicity
- Variability is huge due to different properties, cell types, processing and assay conditions
- Consortium approach with comprehensively characterized materials and same cells is ideal
- *In vitro* vs. *In vivo* assays
- Establish dose-response relationships and do predictive nanotoxicity



Schematic representation of some possible interactions of nanomaterials with a cell in culture media (Schrand et al., 2009. Ch. in Safety of Nanoparticles, T.J. Webster (ed.))

# Experimental Method and Approach

- **Nanomaterials investigated so far**
  - Silica nanoparticles (Sigma No. 637246)
  - ZnO nanoparticles (Sigma No. 544906)
  - Au nanorods (Sigma, No. 716839)
  - Multi-Wall CNTs (Sigma 698849, 659258 and Consortium/UTD)
  - Silica and ZnO NPs in ultrapure water; Au nanorods used without any modification; MWCNTs with/without surfactants
- **Cells studied**
  - NIH/3T3 fibroblasts and PC-12 neurons from ATCC
- **Physiochemical characterization**
  - Size, shape, crystallinity, impurities and surface properties
- **Cytotoxicity**
  - Study cell membrane integrity and cell viability
- **Microscopic and analytical techniques**
  - Study whether nanomaterials are taken up by cells

# Experimental Results

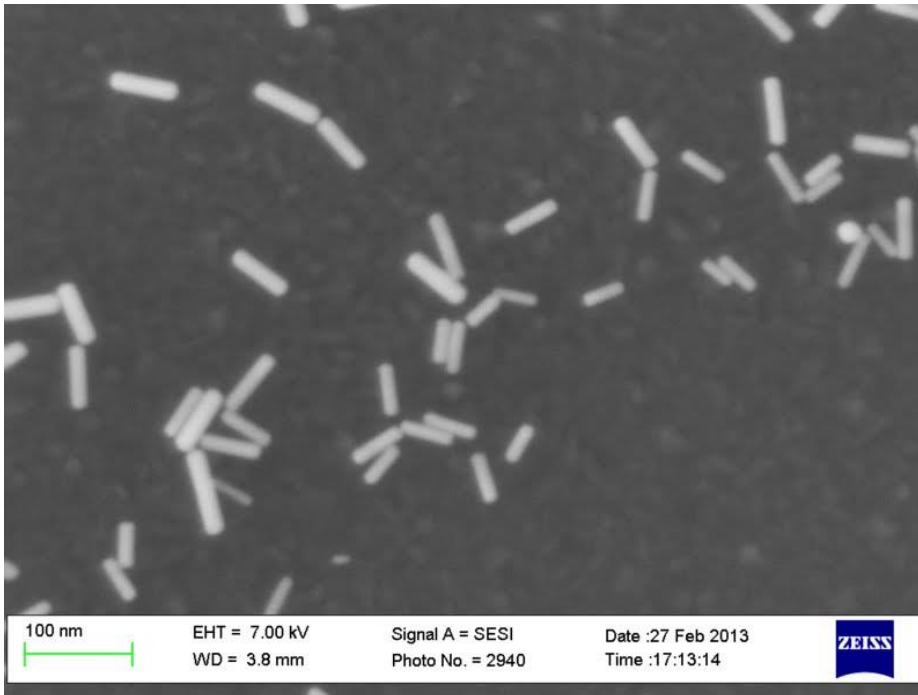
- **Physiochemical Characterization of starting materials**
  - **DLS (size, distribution, aggregation state), ICP-OES (impurities), XRD (crystallinity), VP-SEM and HRSEM (structure and morphology)**
- **Size, surface property and impurities - Important role in cytotoxicity as the surface area and aggregation state influence cytotoxic responses**

Physical properties of the different nanomaterials

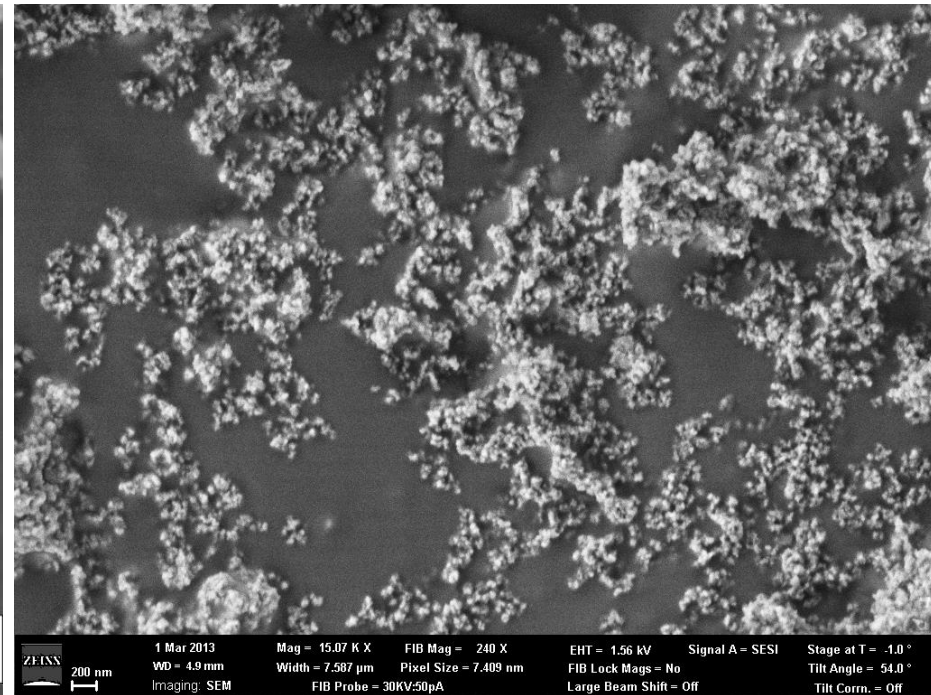
Material	Type	Size (nm)	Crystallinity	Surface
Silica	Particle	5-15	Amorphous	Pristine
ZnO	Particle	<100	Crystalline	Pristine
Au	Rod	10 by 45	Crystalline	CTAB
MWCNTs	Tube	6-13 by 2.5-20	Crystalline	Pristine
MWCNTs	Tube	100-170 by 5-9	Crystalline	Pristine

# Characterization of Nanomaterials

- Structural and morphological characterization of starting nanomaterials with and without modification

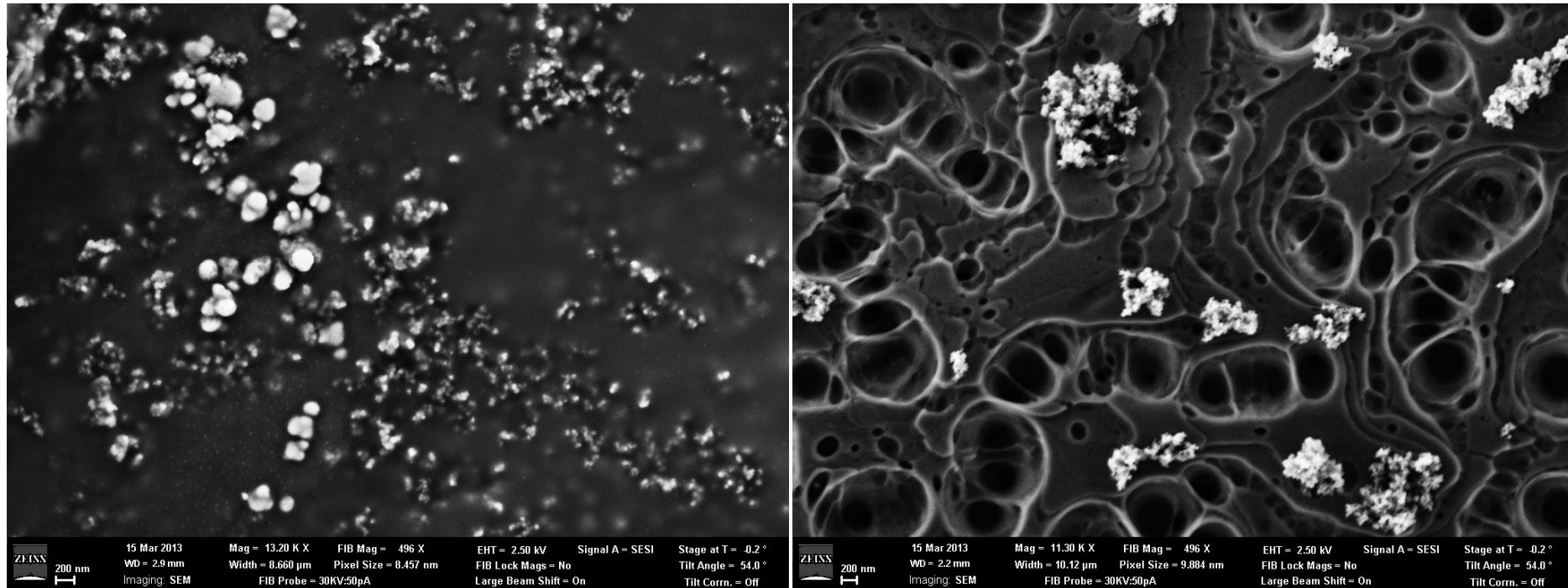


HRSEM image of as-obtained Au NRs in CTAB. Rods are about 10 nm in diameter and 45 nm in length.



HRSEM of as-obtained Silica nanoparticles without any modification. Aggregation can be evidenced.

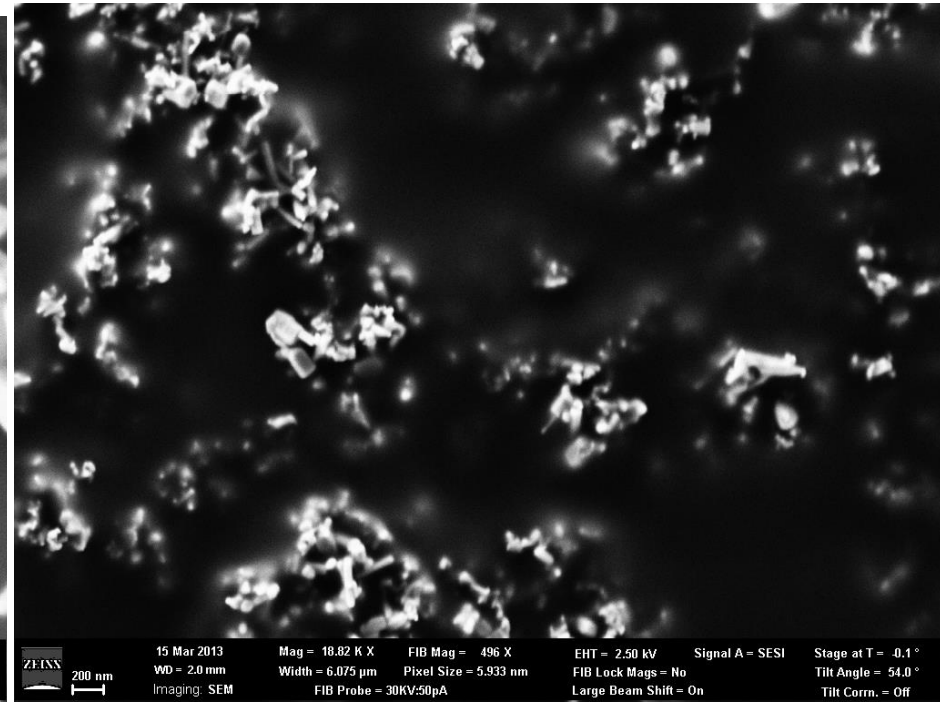
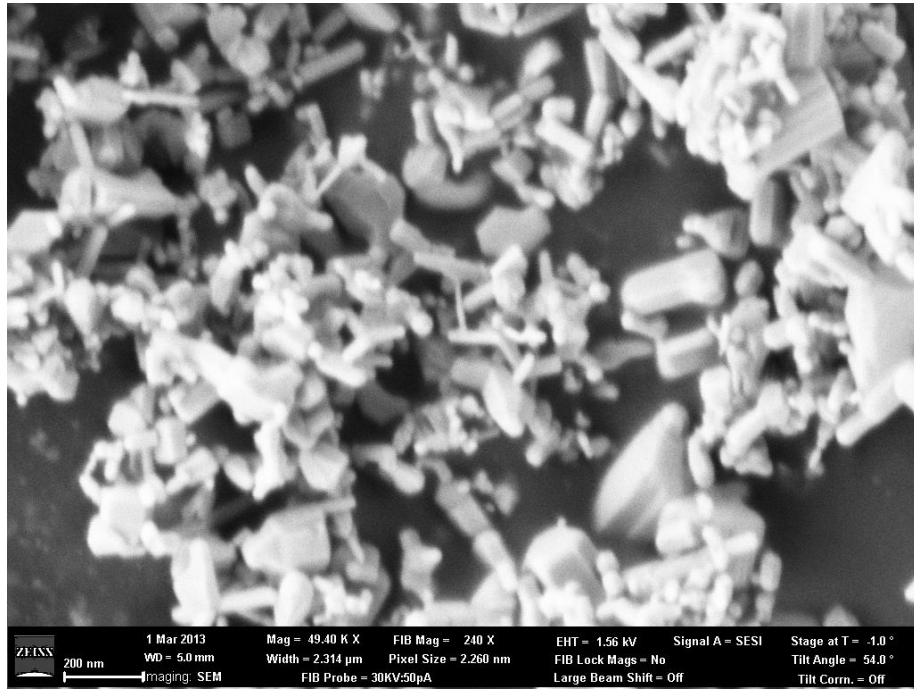
# Characterization of Nanomaterials



HRSEM of as-obtained Silica nanoparticles modified with (a) Pluronic (left) (b) SDS (right) to control degree of aggregation.

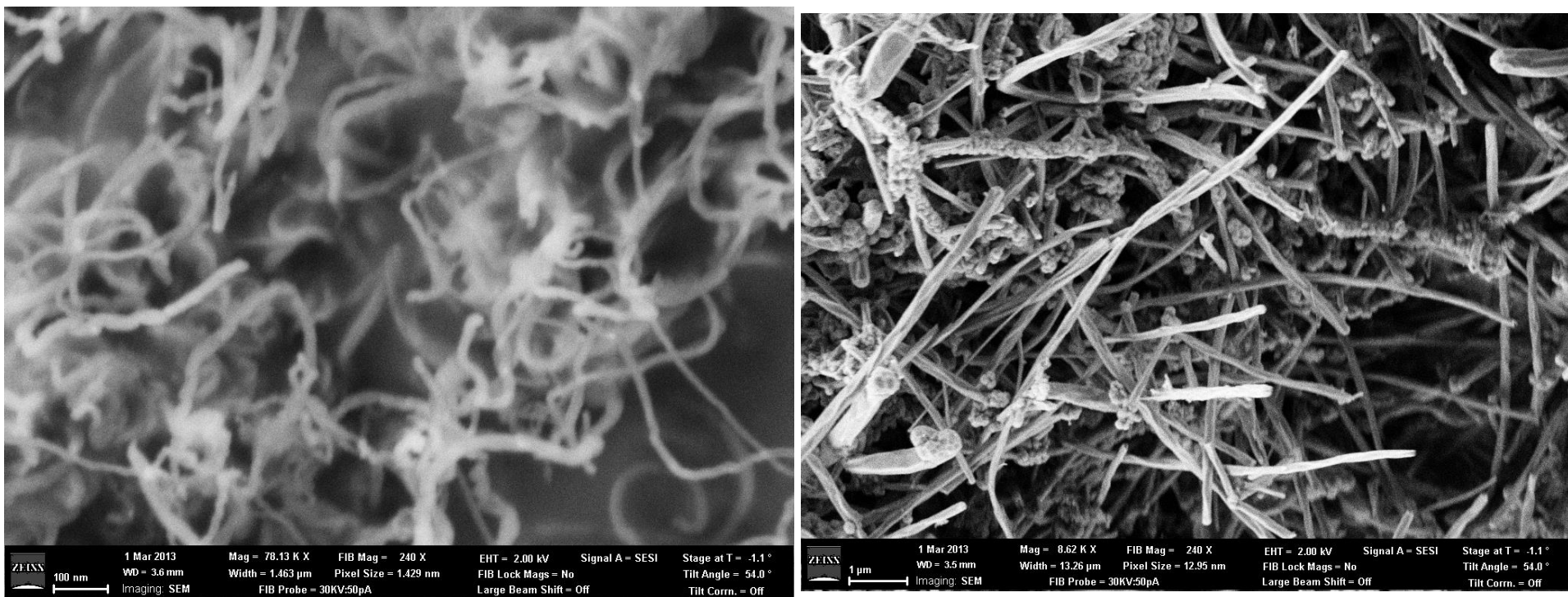


# Characterization of Nanomaterials



HRSEM image of ZnO NPs (a) as-obtained without any surface modification and (b) modified with Pluronic. Diameter is less than 100 nm.

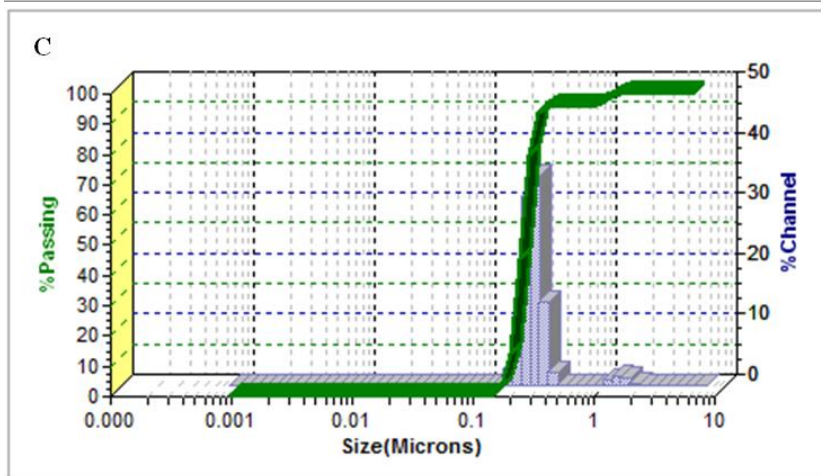
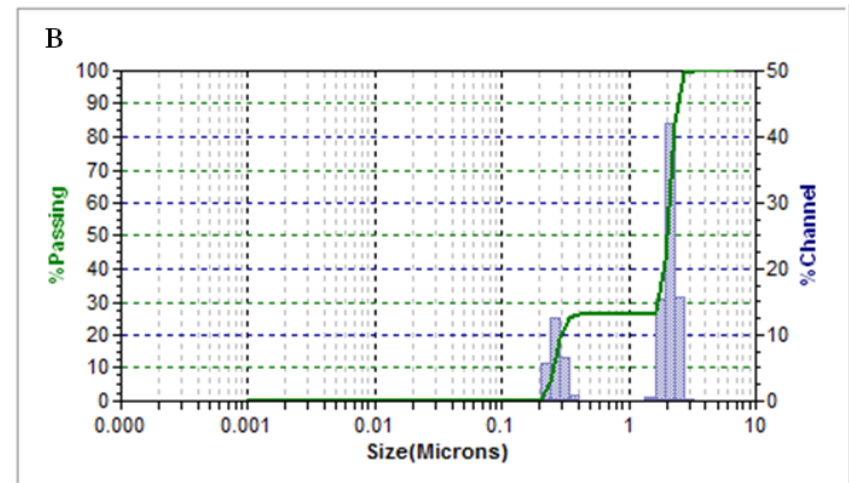
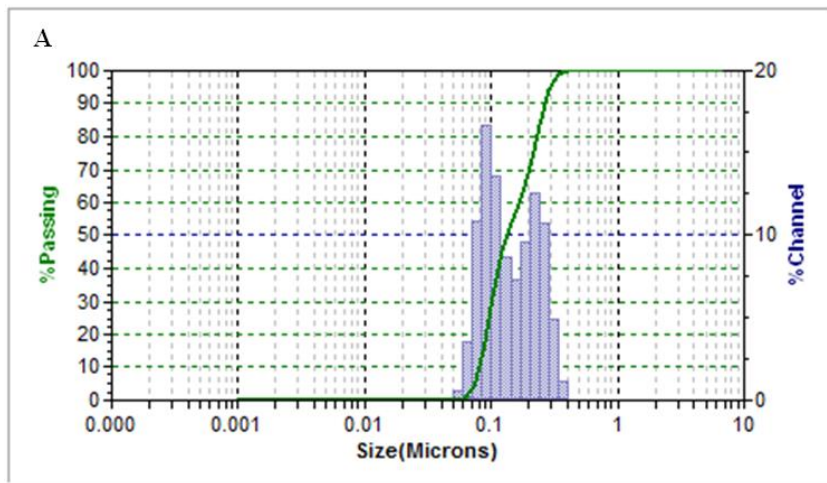
# Characterization of Nanomaterials



HRSEM of as-obtained short (left) and long (right) MWCNTs without any modification. Large-scale aggregation can be evidenced.

# Characterization of Nanomaterials

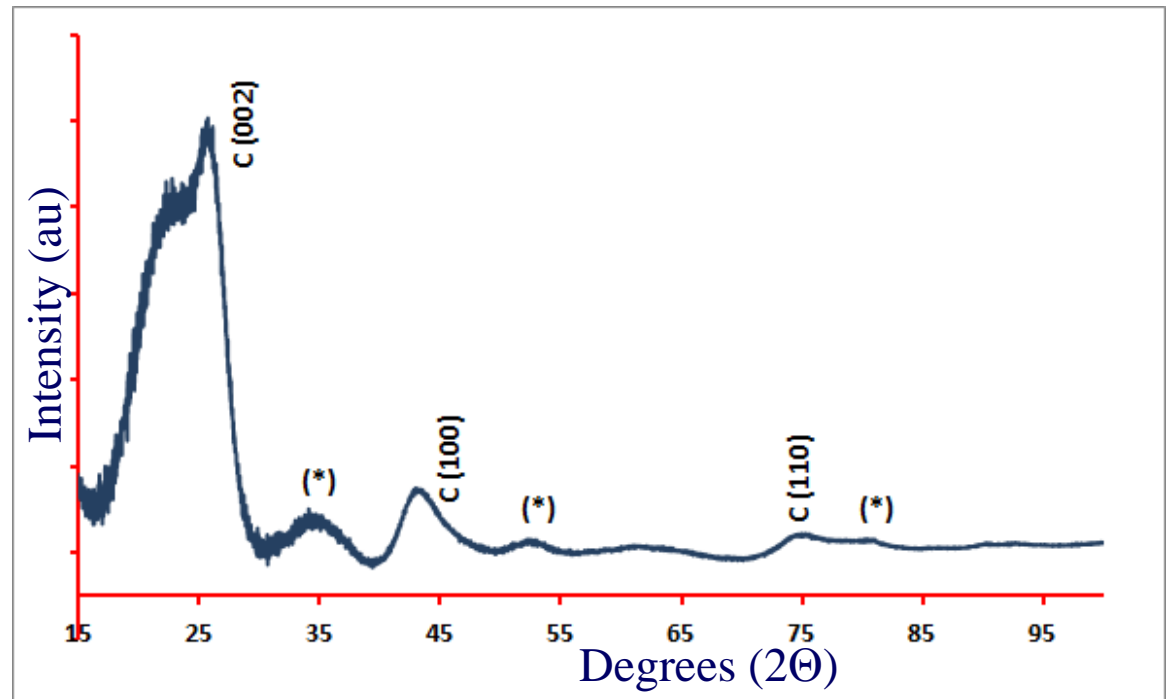
- DLS distribution of the starting nanomaterials



- DLS data of (A) Au NRs, (B) Silica NPs and (C) ZnO NPs
- Increased nanoparticle size is seen in DLS due to particle aggregation.
- Initial experiments were done without surface modification of nanoparticles
- Currently studying effect of surfactants and resultant aggregation state

# Characterization of Nanomaterials

- North Carolina A&T/UNC-Greensboro as part of the consortium obtained MWCNT containing powder from UT-Dallas
- X-ray diffraction (XRD) patterns were measured with a Oxford Gemini X-Ray Diffractometer with Cu K $\alpha$  radiation ( $\lambda = 1.5418 \text{ \AA}$ )
- Graphite like peak (002) is observed along with a family of carbon peaks due to honeycomb lattice of single graphene sheet



XRD of MWNT containing powder. (\*) are metal oxides

# Characterization of Nanomaterials

- **Varian 710 ES ICP Axial Spectrometer - Inductively coupled plasma optical emission spectrometry (ICP-OES) used to evaluate and measure metal impurity content in the as-obtained samples**
- **DI water used as control showed no impurities**

Impurity content as measured in ICP-OES

Material	Size (nm)	% Impurities
Silica	5-15	<0.005
ZnO	<100	<1
MWCNTs	100-170 by 5-9	<0.01
MWCNTs	6-13 by 2.5-20	<0.2

% impurity in MWVNT containing powder obtained from UT Dallas

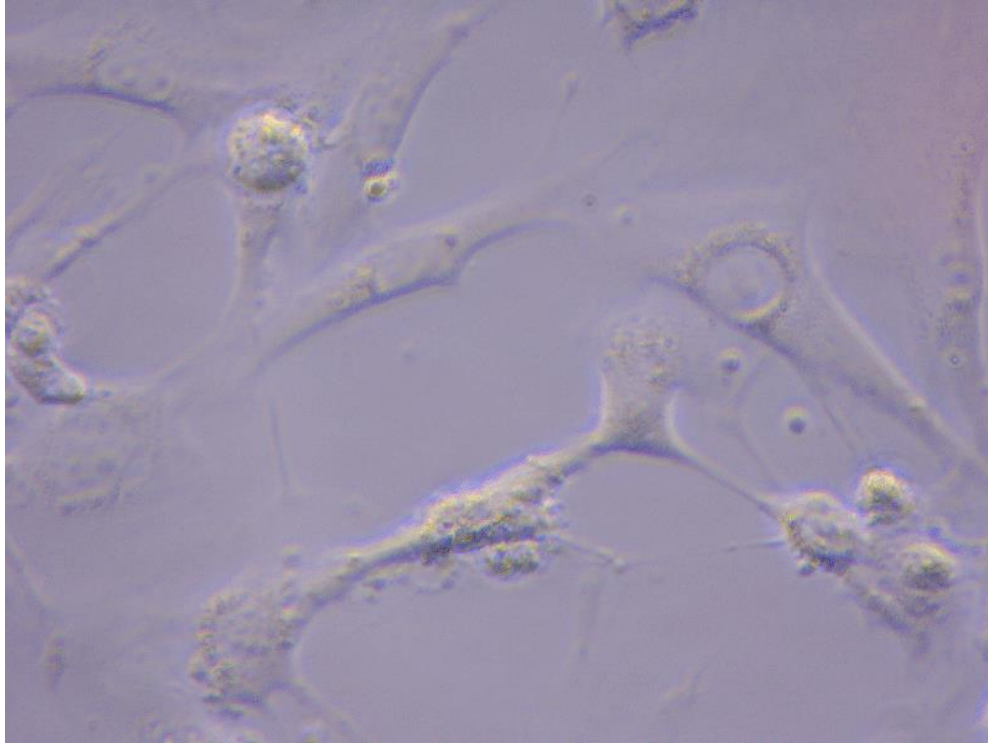
Element	% content
Ni	0.03-0.15
Fe	0.01
Si	0.01-0.07
Al	Nil

- **Trace levels of impurities were measured**
- **Continue to measure size, distribution, structure and composition - Raman Spectroscopy, Nanoparticle Tracking Analysis and Thermogravimetric Analysis**

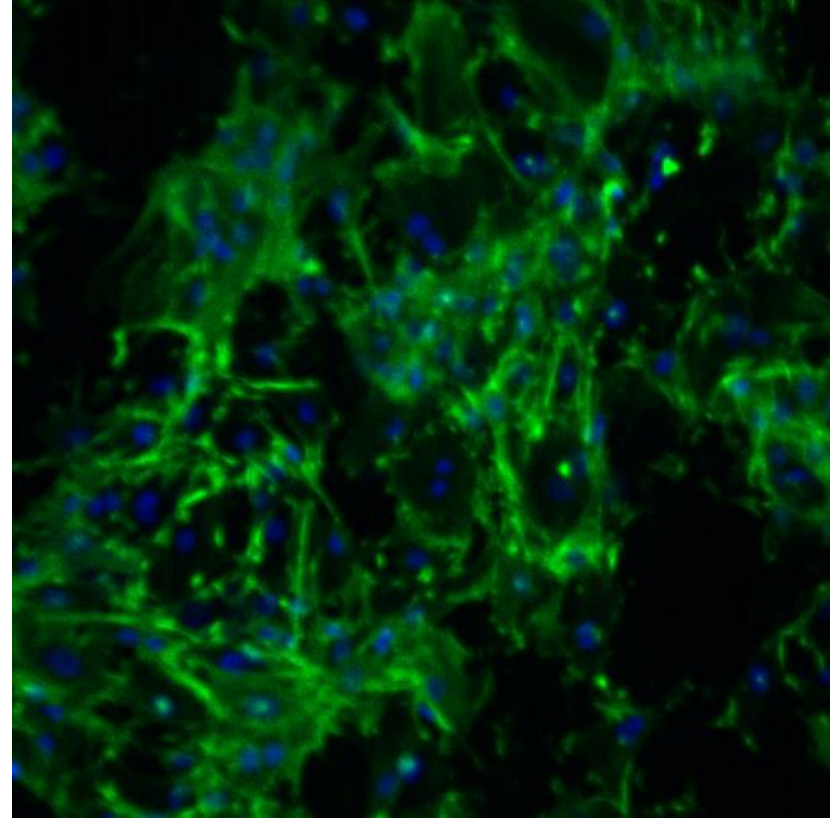
# Experimental Methods

- **Cytotoxicity assays to study cell membrane integrity and cell viability**
- **Address two key questions...**
  - **Does cytotoxicity of ENs vary with cell type?**
  - **Is cytotoxicity driven by composition, dose and time?**
- **MTT ((3-(4,5-Dimethylthiazol-2-yl)-2,5-diphenyltetrazolium bromide)**
  - **Colorimetric assay for measuring the activity of cellular enzymes that reduce the tetrazolium dye, MTT, to its insoluble formazan (in viable cells), giving a purple color**
- **Lactate Dehydrogenase (LDH) assay**
  - **To assess membrane integrity - by monitoring the passage of substances that are normally sequestered inside cells to the outside.**
- **Positive and negative controls were incorporated to ensure the assays can detect cytotoxic activity**

# Cell Morphology



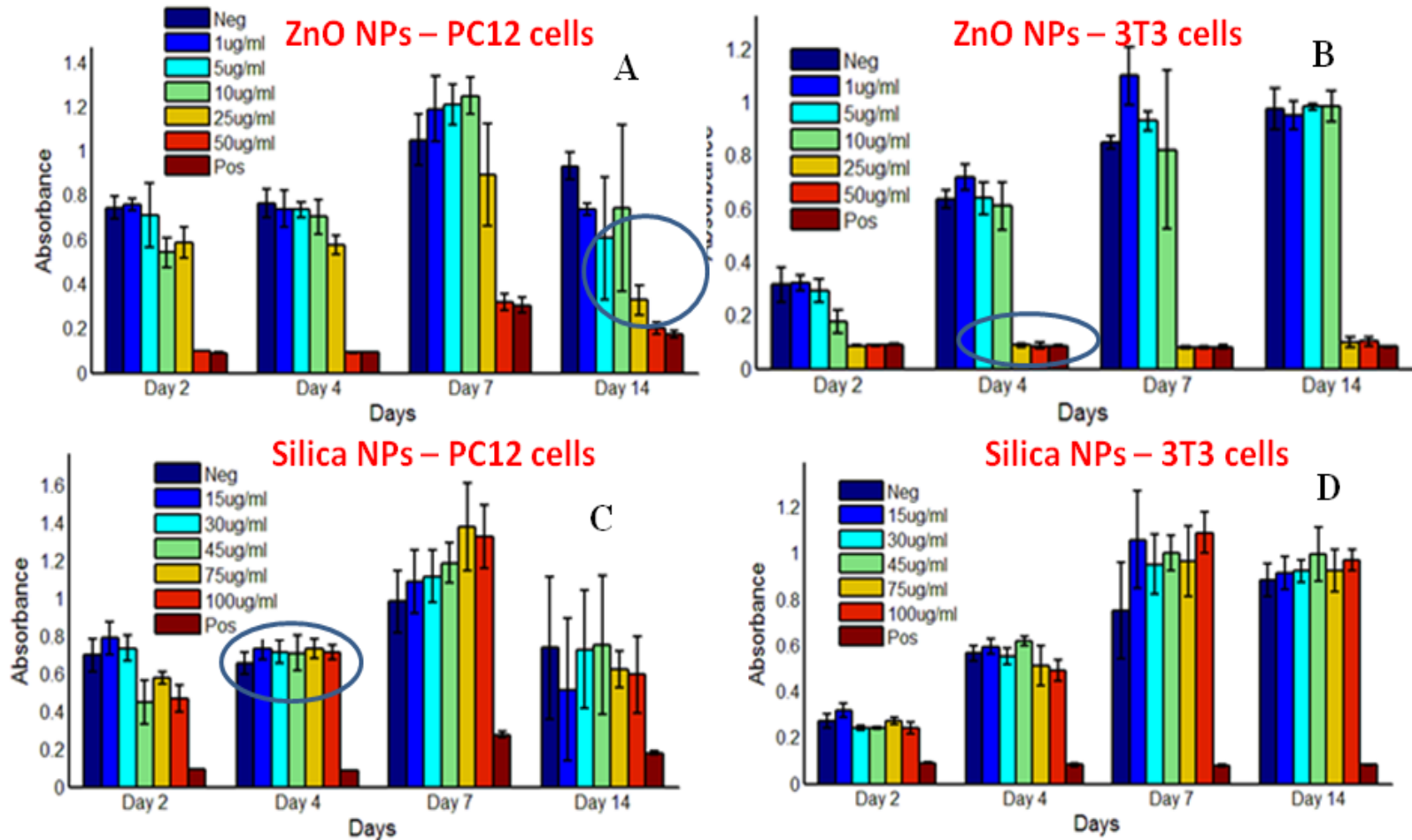
Representative phase-contrast image showing the morphology of NIH/3T3 fibroblastic cells



Representative fluorescence images of nuclei and actin filaments (Molecular Probes, NuCBlue-DAPI, ActinGreen-Alexa Fluor 488)

# Experimental Results

## MTT Assays

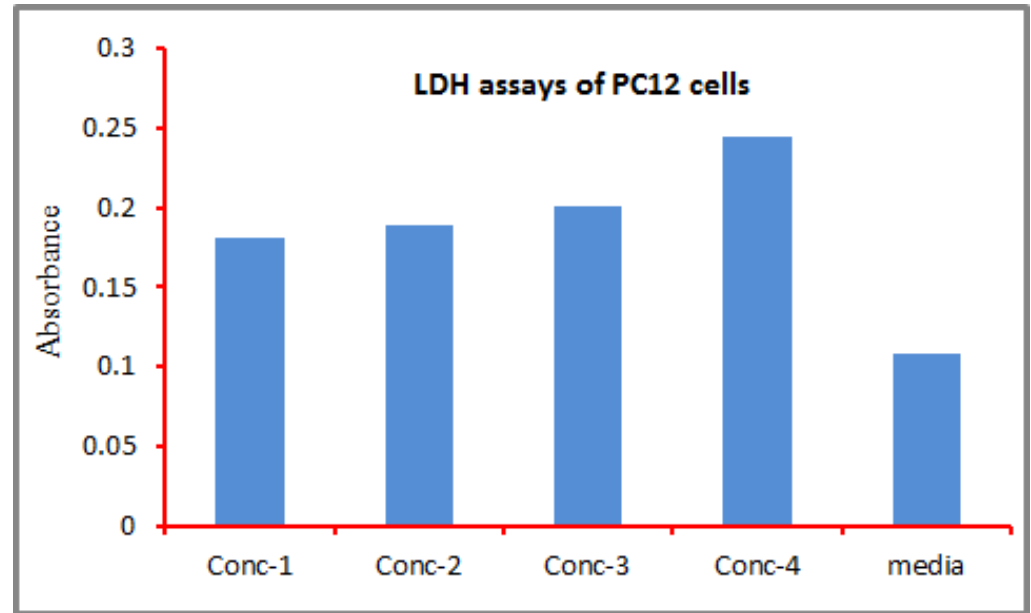


MTT assays of PC12 cells (A and C) exposed to ZnO and Silica nanoparticles and 3T3 cells (B and D) exposed to ZnO and Silica nanoparticles



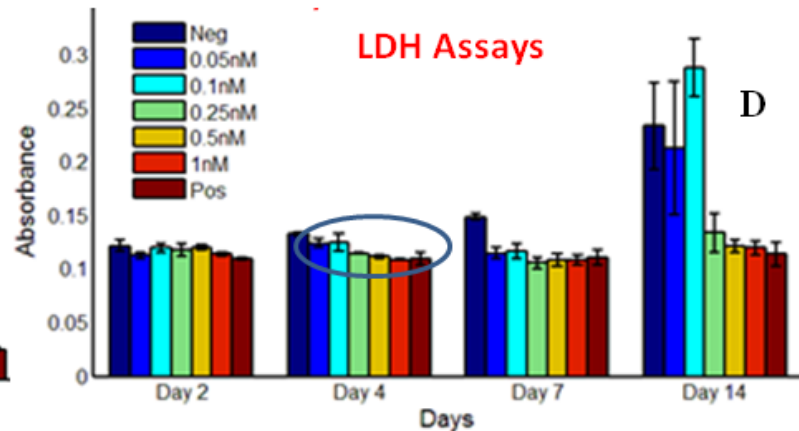
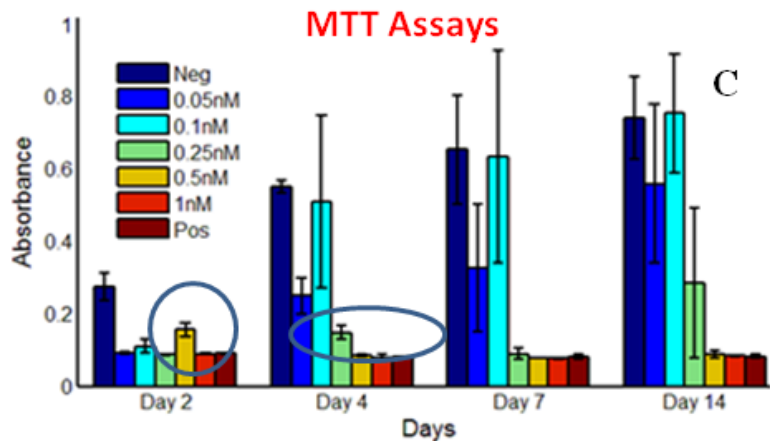
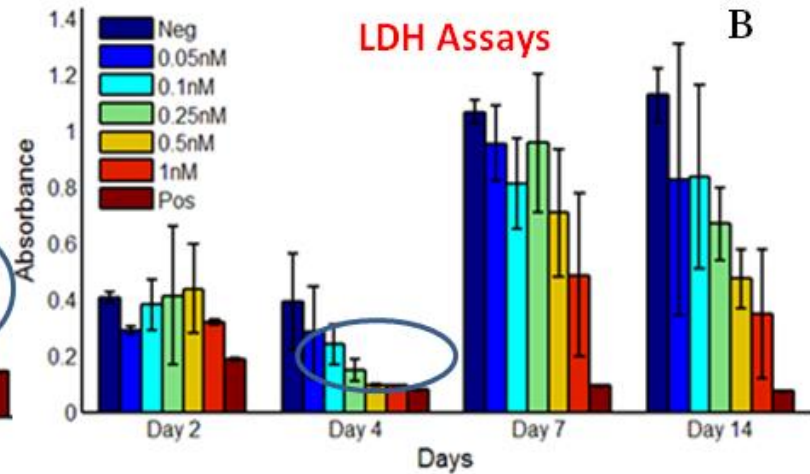
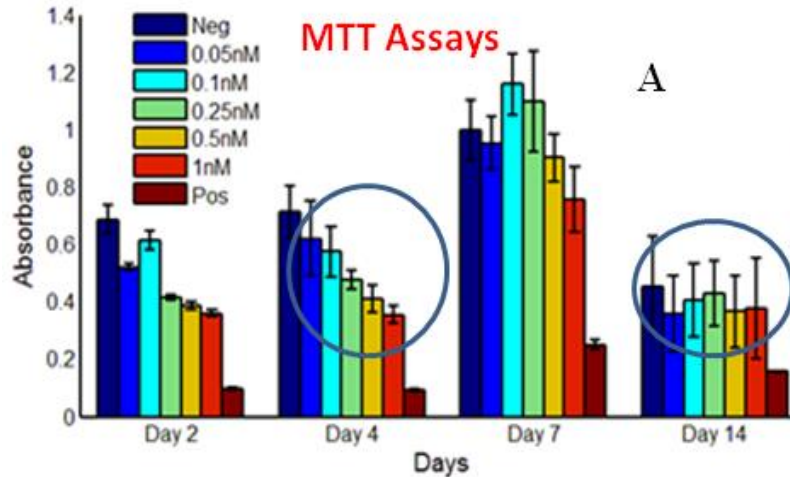
# Experimental Results

- **Lactate dehydrogenase (LDH) activity in the cell culture medium was determined by an LDH Kit.**
- **LDH catalyzed the oxidation of lactate to pyruvate with simultaneous reduction of  $\text{NAD}^+$  to NADH.**
- **The rate of  $\text{NAD}^+$  reduction was directly proportional to LDH activity in the cell medium.**



Calibration of LDH assay of PC12 cells at different cell concentrations. Absorption was measured using a UV–Visible Spectrophotometer at 340 nm

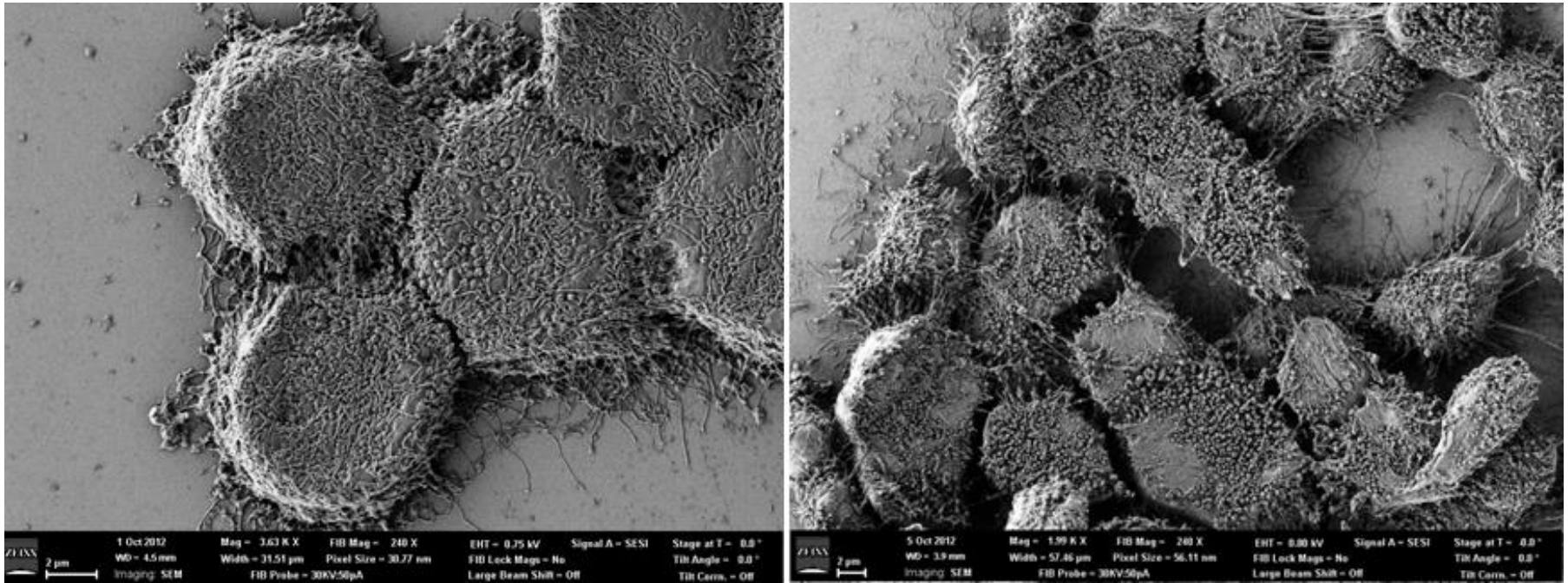
# Experimental Results



MTT and LDH assays for Au nanorods exposed to (A and B) PC12 cells seeded at 10000 cells/cm<sup>2</sup> and (C and D) NIH 3T3 fibroblasts seeded at 4000 cells/cm<sup>2</sup>

# Experimental Results

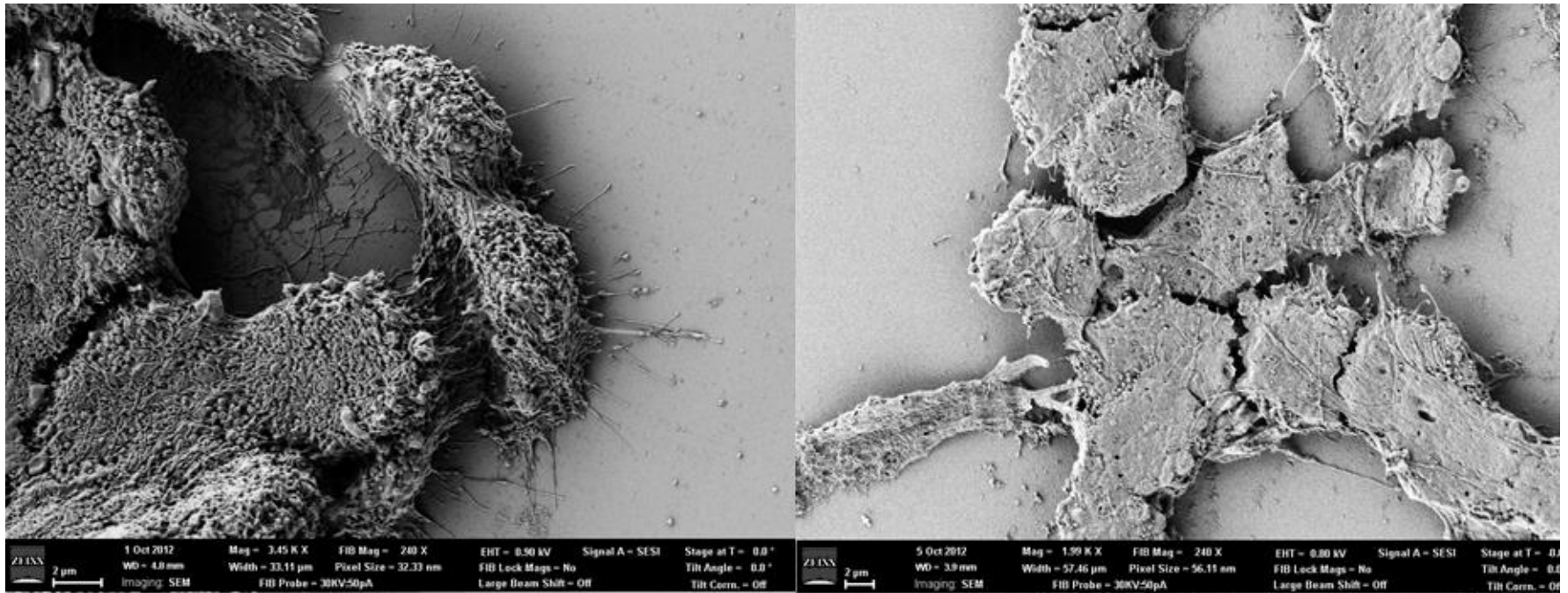
- Do ENs penetrate into cells and how does intracellular trafficking of ENs occur?



SEM image of PC12 cells after exposure to 50µg/mL of ZnO nanoparticles at 7 day time point (right), and control (left).

# Experimental Results

- Initial evidence of cell disruption and morphological alterations

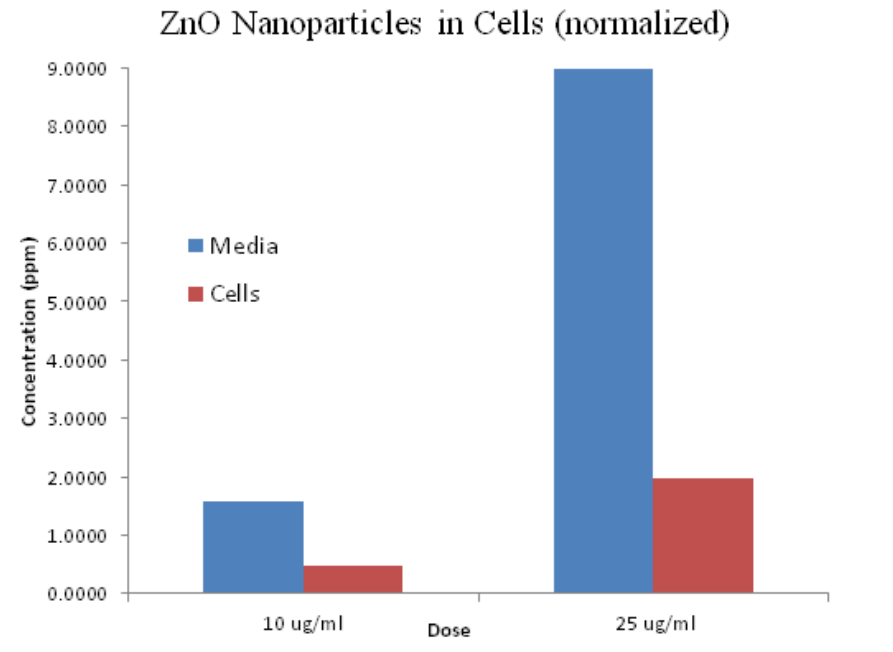
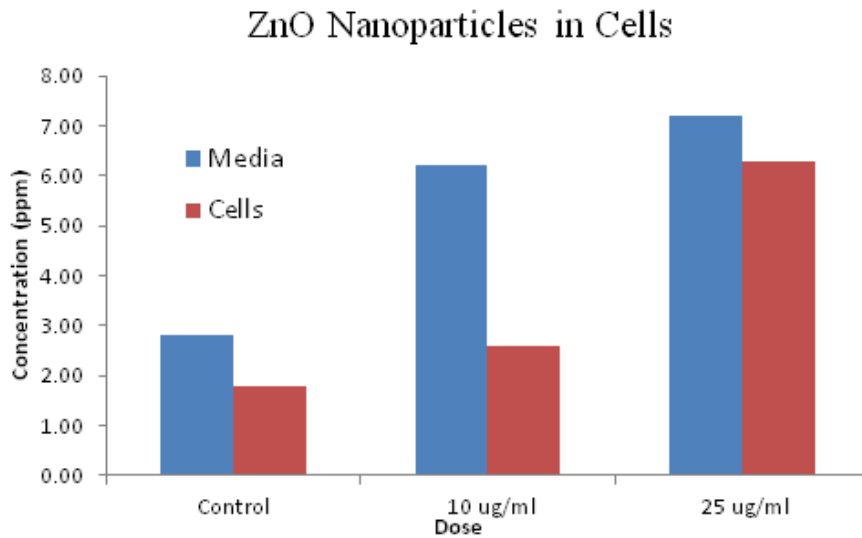


SEM image of PC12 cells exposed to 75 ug/mL of Silica nanoparticles (left) and 0.5nM of Au nanorods (right) at the 7 day time point

- Currently working on sectioning (ultra-microtome) and ultra-structural characterization and histology (staining of organelles and particles)

# Experimental Results

- **ICP-OES used to study cellular uptake of nanomaterials by evaluating metal content in digested cells**



(Above) Concentration of Zn in media and cells for three ZnO NP doses. (Right) Normalized concentration of ZnO in exposed cells

- **Digestion of cells exposed to ZnO NPs and Au NRs in nitric acid**
- **Measured intensity peaks for Zn and Au in the spectrogram**

# Discussion of Results

- **Clear evidence for presence contaminants/impurities in pristine starting nanomaterials. Especially, Si, Fe and Ni in MWCNTs (consortium work)**
- **Increasing dose and time had more pronounced toxic potential, in particular with PC12 cells**
- **Au NRs were seen to be more detrimental to NIH 3T3 compared to PC12 even at lower concentrations**
- **ZnO NPs were more cytotoxic to cells beyond a specific dose – 25µg/mL**
- **Silica NPs (at low dose levels) enhanced the cell growth of both cell types peaking on the 7th day**
- **Morphological alterations to the cell membrane were observed for Au NRs, not pronounced for silica NPs**
- **Clear evidence for cellular uptake of nanomaterials (ZnO and Au) are measured by ICP-OES**

# Industrial Interactions and Technology Transfer

- **SRC/ERC Nanotoxicity consortium meetings - Discussed research needs with academia and industrial members**
  - **Conduct round-robin studies with other consortium members on CNTs and CMP materials such as CeO**
- **Hosted Teleseminars for SRC/ERC for Environmentally Benign Semiconductor Manufacturing on May 31<sup>st</sup> 2012 and Sept 6<sup>th</sup> 2012**

# Future Plans

## Next Year Plans

- **Continue with nanomaterials decided by consortium – MWCNTs (long, short), CeO and other CMP materials – Al<sub>2</sub>O<sub>3</sub>, Silica, ZnO**
- **Complete comprehensive starting material characterization including DLS, XRD, SEM, TEM, ICP, Raman, FTIR, TGA**
- **Relevant cell types in the respiratory track – human derived cells especially A549 lung epithelial cells and macrophages**
- **Quantify cell uptake and long-term toxicity**
  - **Using longitudinal electrical impedance spectroscopy (EIS)-on chip and analytical and microscopy (ICP-OES, HeIM, TEM, Confocal)**
- **Cyto/Genotoxic assay- Live-cell imaging, ROS and DNA damage**

## Long-Term Plans

- **Validation of non-conventional techniques to assess toxicity**
- **Dose-response relationship for different physiochemical ENs**
- **Mechanism of cellular uptake (track cell behavior) and toxicity in order to safely design and use nanomaterials**



# Publications, Presentations, and Recognitions/Awards

- **S. Aravamudhan, Invited Talk at Nano@Tech, Georgia Institute of Technology, Oct 2012**
- **K. Garde, S. Crawford, S. Aravamudhan, “Understanding Cytotoxicity of Engineered Nanomaterials,” ECS Transactions, 50, 2013**
- **K. Garde, S. Aravamudhan, “Toxicity Assay-on-Chip for Engineered Nanomaterials,” 2012 Electrochemical Society Meeting**
- **S. Aravamudhan, K. Garde, S. Crawford, K. Kosaraju, “Toxicity Models and Platforms for Engineered Nanomaterials,” Chapter in Advances in Nanoscience and Nanoengineering, CRC Press (Tentatively to be published in late 2013)**
- **K. Garde, S. Woosley, S. Crawford, S. Aravamudhan, “Towards Tracking Cellular Response and Understanding Cytotoxicity of Engineered Nanomaterials,” TECHCON 2013 (submitted)**