# **Cell-Based Toxicity Assay-on-Chip for the**

### **Next-Generation CMOS Technology**

(Task Number: 425.037)

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#### **Cost Share (other than core ERC funding):**

• 25% cost-share (in cash) from Joint School of Nanoscience and Nanoengineering (collaboration between NCA&T and UNC-Greensboro)

# Year 2 Summary

- Comprehensive physical and chemical characterization of four model slurries and CNTs, along with undispersed/dried micro and nanoparticles of comparable size and method of synthesis
- Conducted NP pathway or lifecycle on interaction with a mammalian cell
  - **Surface interaction** Cell viability and membrane integrity (MTT and LDH assays)
  - **Cellular uptake and internalization** (using ICP-OES, microscopy, Confocal Raman, ECIS and ROS assays)
  - Interaction with nucleus (NP-induced DNA damage, Comet assay)
- Results indicate cellular uptake for all NPs and toxic potential (at least for silica slurry) at higher NP concentration, however minimal to no toxicity due to NP alone (dried/undispersed NPs)
- Trace element studies did not explain silica slurry toxicity; more analysis of slurry constituents is required to understand source of silica toxicity
- Preliminary CMP on interaction of colloidal silica slurries with GaAs



#### • Long-term objectives

- Understand ESH of Engineered Nanomaterials (ENs) used/to be used in semiconductor industry, particularly NP slurries and CNTs/BNNTs
- Develop high-content assays-on-chip and analytical/microscopic methods to rapidly assess influence of physiochemistry on ESH

• Year 3 goals

- Completion of cellular uptake and internalization studies with Model CMP slurries and A549 human alveolar basal epithelial cells (lung)
- Use validated toxicity and longitudinal methods (ECIS, HRTEM, ICP-OES and Confocal Raman) to conduct time- and dose-dependent cell response
- Conduct preliminary studies with post-CMP slurries and wastes, along with other bound NPs – CNTs and BNNTs to be used in semiconductor packaging

# **ESH Metrics and Impact**

- 1. Reduction in the use or replacement of ESH-problematic materials (CMP NP slurries)
  - Conducted comprehensive physicochemical characterization of four model and few "real" slurries and identified relationship between slurry NP properties and toxic potential using toxicity and uptake assays
- 2. Reduction in emission of ESH-problematic material to environment
  - Conducted preliminary CMP of HDP oxide and III-V (GaAs) using given model and "real" slurries; analyze physicochemical and toxic potential of slurry waste after dilution or neutralization
- **3.** Reduction in the use of natural resources (water and energy)
  - Results from Metric 2 will inform us on the efficient use of water for dilution and neutralization processes
- 4. Reduction in the use of chemicals

# <u>Understanding influence of</u> <u>Physicochemical Properties on Toxicity</u>

- Number of physicochemical properties and mechanisms occur at the surface of nanomaterial, on its interaction with and inside a cell, thus affecting toxicity (see figure)
- Variability is huge due to different properties, cell types, processing and assay conditions
- Studies with characterized ENs exposed to same cell type/conditions are ideal
- Goal establish doseresponse relationships for predictive nanotoxicity and safe design of EN



Schematic representation of some possible interactions of nanomaterials with a cell in culture media (Schrand et al., 2009. Ch. in Safety of Nanoparticles, T.J. Webster (ed.))

# **Critical Questions to be Addressed**

- Focusing the research efforts, Schrurs et al. Nature Nanotechnology, 2012
  - Are ENs more cytotoxic than their larger counterparts?
  - Does EN aggregation influence the cytotoxic activity?
  - Which properties of ENs drive their cytotoxic activity? Physiochemical properties such as size, distribution, aggregation, shape, composition, area, morphology, surface charge, chemistry, protein corona
  - Does cytotoxicity of ENs vary with cell type?
  - Do ENs penetrate into cells and how does intracellular trafficking of ENs occur?
  - Address technical interferences and positive control

### **Experimental Pathway**



# **Experimental Methods and Approach**

#### Materials tested

- Model CMP NP slurries and dried/undispersed NPs
- "Real" CMP slurries relevant commercial slurries of colloidal and fumed silica, ceria and alumina NPs
   Dried/undispersed

Size

<10 µm

Alumina (PA2)

				-	
Sample	Composition	nH	Size(nm)	Particles	
Sample	composition	P11		Colloidal Silica (PS1)	80 nm
Colloidal Silica (NS-0813-01, Slurry 1)	3% precipitated Silica, adjusted with acetic acid	2.5-4.5	50-60	Colloidal Silica (PS3)	1-3 µm
Fumed silica	5% silica, adjusted with	10	120-140	Fumed Silica (FS1)	7 nm
(NS-0813-02, Shuffy 2)	KOII			Fumed Silica (FS2)	200-300 nm
Ceria	1% ceria	3-4	60-100		
(NS-0813-03, Slurry 3)				Ceria (PC1)	50-105 nm
Alumina	3% Alumina, adjusted	4.5-5	80-100		
(NS-0813-04, Slurry 4)	with nitric acid			Ceria (PC2)	1-2 μm
				Alumina (PA1)	80

• Cells studied

•

A549 adenocarcinomic human alveolar basal (lung) epithelial cells

#### **Model CMP NP Slurries**

- Previously reported physical and chemical characterization of model CMP slurries and dried NPs
  - Size (DLS) and zeta potential
  - Main and trace elemental analysis ICP-OES, EDS
  - Structure and morphology HRSEM, TEM
  - BET surface area analysis
  - X-ray diffraction, FTIR, Raman spectroscopic analysis
- Highlights of physiochemical analysis of "real" CMP NP slurriescolloidal/fumed silica, ceria, alumina (shown later in the presentation)

- Dose and time-dependent end-point cytotoxicity assays to assess the plasma membrane integrity and cell viability
- Address one key question Is cytotoxicity driven by composition, dose and time acute or delayed response?
- MTT ((3-(4,5-Dimethylthiazol-2-yl)-2,5-diphenyltetrazolium bromide) colorimetric assay
  - Based on the conversion of MTT into formazan crystals (purple color) by living cells, which determines its mitochondrial activity. For most cells the total mitochondrial activity is a indicator of number of viable cells, a measure of in-vitro cytotoxicity
- Lactate Dehydrogenase (LDH) assay
  - Assessment of membrane integrity by monitoring the passage of substances that are normally sequestered inside cells to outside.
- Positive (H<sub>2</sub>O<sub>2</sub> or lysis buffer) and negative (healthy cells in media) controls were incorporated to ensure the assays can detect cytotoxic activity



Mitochondrial reductase



#### MTT ((3-(4,5-Dimethylthiazol-2-yl)-2,5-diphenyltetrazolium bromide) colorimetric assay

(MTT: (3-(4,5-dimethylthiazol-2-yl)-2,5-diphenyltetrazolium bromide))

(2*E*,4*Z*)-(4,5-Dimethylthiazol-2-yl)-3,5-diphenylformazan *I*<sub>max</sub> 560 nm

Cells were seeded (density of 10,000 cells/cm<sup>2</sup>) in 96 well plate allowed to adhere for 24 hours

Media was replaced and cells were exposed to shurries/nanoparticles for different time points (6,12,24,48,72 hours)

> Cells were washed and phenol-red free media was added to the cells and absorbance was obtained at 570 nm, used as baseline.

MTT assay: 10  $\mu$ l of the MTT solution and incubated for 4 hours for formazan crystal formation. 100  $\mu$ l of solubilizing solution (SDS) to dissolve formazan crystals

> Absorbance at 570 nm after 4-16 hours. Cell viability was evaluated as percent negative control after baseline negation



Formazan crystals in cells

Cells were seeded (density of 10,000 cells/cm<sup>2</sup>) in 96 well plate allowed to adhere for 24 hours

Lactate Dehydrogenase (LDH) assay

Media was replaced and cells were exposed to slurries/nanoparticles for 48 hours

Reaction mixture:0.6 ml of assay buffer in 11.4 ml of substrate mix (lysophilizate)

 $50 \ \mu$ l of the supernatant transferred to another 96 well plate and 50 \ \mul of the reaction mixture was added, incubated for 30 minutes at RT

50 ul of the stop solution was added to stop the reaction and absorbance was measured at 490 and 680 nm





Sample		Volume of slurry (in μL)	Concentration (mg/mL)	рН
	High (H) 10		2.03	7.55
Slurry 1	Medium (M)	1	0.203	7.74
	Low (L)	0.1	0.0203	7.78
	High (H) 10		3.34	8.2
Slurry 2	Medium (M)	1	0.334	7.83
	Low (L)	0.1	0.0334	7.76
	High (H)	10	0.52	7.7
Slurry 3	Medium (M)	1	0.052	7.74
	Low (L)	0.1	0.0052	7.73
Slurry 4	High (M)	10	2.01	7.72
	Medium (M)	1	0.201	7.81
	Low (L)	0.1	0.0201	7.85



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S1: Colloidal silica CMP slurry NPs
PS1: Dried colloidal silica NPs (80 nm)
PS3: Dried colloidal silica MPs (1-3 microns)
PC: Positive control (H<sub>2</sub>O<sub>2</sub>)
NC: Negative control (media)



Colloidal silica NPs (hour 72)

ANOVA (Analysis of Variance) - "one-way
ANOVA" using a significance level of 0.05
(95% confidence intervals); \*\*\* - 99.9%
confidence interval; \*\* - 99% confidence
and \* - 95% confidence interval





Exposure	С	Significant difference		
time	Low (0.02303 mg/ml)	Medium (0.2303 mg/ml)	High (2.303 mg/ml	) in cell viability was
6 hours	99%	91%	32%	exposed to NPs. MPs.
12 hours	86%	<sup>83%</sup> P value 0.00	01 21% P	• At higher
24 hours	86%	80%	19.7%	concentration, dried
48 hours	92%	85%	18%	NPs behaved as
72 hours <sup>3/16,</sup>	/2015 100%	95%	34%	microparticles

**Aggregation of colloidal silica NPs in** slurries and dried colloidal silica NPs



TEM of Colloidal Silica NPs in Slurry 1

# 1500· Average PSD (d.nm) 1000

500

PSD of Slurries 1 & 2 and Pristine Silica NPs

XTitle



PS1 – dried colloidal silica (80 nm) PS3 – dried colloidal silica (200 nm)

253 553X

TEM of dried colloidal Silica NPs of same size as silica in slurry 1

Fumed silica Slurry NPs



Exposure	Cell Viability compared to control					S2: Fumed silica CMP
time	Fum	ed Silica Slurry N	Ps	FS1 (7 nm)	FS 2(200-300nm)	slurry NPs
	Low (0.0334 mg/ml)	Medium (0.334 mg/ml)	High (3.34 mg/ml)	Low (0.0334 mg/ml)	Low (0.0334 mg/ml)	FS1: Dried fumed silica
6 hours	83	78%	12.5%	80%	NS	FS2: Dried fumed silica
12 hours	81 <u>.6%</u> P	value <sub>77.4%</sub> 0.0001	→ 8%	72.5%	72%	NPs (200-300 nm)
24 hours	83%	83.7%	11.4%	64%	77.7%	PC: Positive control
48 hours	84%	78%	10%	73%	NS	NC: Negative control
72 hours	95%	73%	12.5%	77%	NS	
	I SIL LIIGH	ICCINIS ACOUNCIL	CINCI JUI		DUNISH SUMOUNANU	or manufacturing



Exposure	Cell viability compared to control exposed to Ceria NPs					
time	Low (0.0052 mg/ml)	Medium (0.052 mg/ml)	High (0.52 mg/ml)			
6 hours	NS	78%	53%			
12 hours	NS	77.4%	66.5%			
24 hours	NS	NS	88%			
48 hours	NS	NS	93%			
<b>72 hours</b> /2015	NS	NS	NS ↓			

S3: Ceria CMP slurry NPs
PC1: Dried ceria NPs (50-105 nm)
PC2: Dried ceria MPs (1-2 μm)
PC: Positive control
NC: Negative control



S4 – Alumina CMP slurry NPs PA1 – Dried alumina NPs (50-105 nm) PA2: Dried alumina MPs (1-2 μm)

• There was no significant change in the cell viability when exposed to alumina slurry NPs or dried NPs and MPs

• IC-50- Half maximal inhibitory concentration is a measure of effective of a substance in inhibiting a specific biological or biochemical function

Exposuro	IC50 (mg/mL)				
Time	c-silica	f-silica	ceria		
6 hours	1.679247	1.599972	0.557932		
12 hours	1.310647	1.4896	0.898468		
24 hours	1.261406	1.649783	NS		
48 hours	1.315033	1.562016	NS		
72 hours	1.755825	1.677886	NS		

- Effect of slurry supernatants on A549 cell viability
- Supernatants were prepared by UTD by removing nanoparticles by centrifugation at 200,000g
- As stated earlier, the same MTT and LDH cell viability procedures were followed
- Supernatants of slurries showed no to minimal cytotoxicity, compared to control cells



- Do ENs penetrate into cells? If so, where do they accumulate or internalize? What about Reactive Oxygen Species (ROS)?
- Studied cell uptake and internalization
  - Inductively coupled plasma optical emission spectrometry (ICP-OES)
  - Confocal Raman Microscopy
  - Ultrastructural characterization using HRTEM and Helium Ion Microscopy (HeIM)
  - Electrochemical Cell Impedance Spectroscopy (ECIS)
- Oxidative stress defined as disturbance in the prooxidant-antioxidant balance that is in favor of Pro-oxidant leading to potential damage
- Hierarchical oxidative stress hypothesis (A. Nel, Science 2006)
  - Antioxidant and detoxification enzymes
  - Pro-inflammatory responses
  - Cellular apoptosis and cytotoxicity

#### **Intracellular ROS production analysis**



#### **Intracellular ROS production analysis**

Hour 24

Hour 48



**Ceria Slurry NPs** 



Concentration	Colloidal slurry NF	silica <sup>S</sup>	Fumed silica Slurry NPs		Ceria slurry NPs	
	24 hour	48 hour	24 Hour	48 Hour	24 Hour	48 Hour
Low	52%	37%	18%	55.8%	8%	22%
Medium	43.7%	42%	25.7%	34.8%	13.9%	23%
High	82.3%	94%	90%	89.5%	31.5%	58%

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- Confocal Raman, a non-invasive, non-destructive and label-free technique, was employed to study uptake and localization of NPs
- Horiba XploRA Raman Confocal Microscope System; Spot size 1.12 μm
- NPs show Raman active vibration modes
- Before analysis, cells were thoroughly washed to remove surface bound NPs







X (µm)

5

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XY Map of cell selected, overlay with the intensities at different spots



Z plane scanning of cells exposed to ceria slurry NPs

• Development and optimization of a high-content screening/monitor method – Electrochemical Cell Impedance Spectroscopy (ECIS)



Schematic of microelectrode-based cell chip, below: impedance recorded at the frequency of 4 kHz during the cell growth on the electrode (Giaever and Keese 1993)

ECIS Design I to monitor cell impedance

- Electrochemical Cell Impedance Spectroscopy (ECIS) of A549 cells
- Cells density: 10, 000 cells/cm<sup>2</sup>; single circular 250  $\mu$ m electrode with area of 0.8 cm<sup>2</sup>
- Concentrations : Slurry 1- 2.03 mg/mL, Slurry 2 3.34 mg/mL, Slurry 3 0.52 mg/mL and Slurry 4 - 2.01 mg/mL



#### **"Real" CMP NP Slurries**

### **Experimental Methods and Approach**

#	Name	Applications	рН	Size (nm)	Solid %	DLS (nm)	Measure d pH	Zeta
C1	Ultrasol 200S; Colloidal Silica	Si, GaAs, InP, Ge other IR materials	9.5	30	24	23.96	9.06	
<b>C2</b>	Dow Klebosol 15 01-50; Colloidal	ILD, STI	10.9	50	30	65.43	10.5	37.8
	silica							
C3	Dow Klebosol 30 H50; Colloidal silica	W, Cu	2.0	50	30			
<b>C4</b>	Ultrasol 3005; Ceria	STI, ILD, BK7, Fused Silica, Glass	8.8	550	10	319.9	8.56	-89.3
C5	Ultrasol 200A; Alumina	Al, CdZn, Te, GaAs, InP, Ni, Spinel, ZnSe Chalcogenides	4.0	100	20	281	3.19	54
<b>C6</b>	Cabot Semi- Sperse® 12E	ILD, PMD, polysilicon, STI	10.9	140	10	158.7	8.57	-63.2
• S	election criteria	(a) applications. (b)	<del>pH ar</del>	nd (c) c	lose to	model	slurries	
N	SRC Engineering	Research Center for Enviror	nmentall	y Benign	Semicond	uctor Man	ufacturing	





HRSEM and TEM of Slurry C2, colloidal silica, 50 nm



#### HRSEM and TEM of Slurry C4, ceria, 300-500 nm

• FT-IR spectroscopy – ATR on Varian 600 FT-IR spectrophotometer



• Raman spectra of Slurry – C4 and C5

![](_page_37_Figure_2.jpeg)

- NOVA quantachrome 2200e BET surface area analyzer
- Dried slurries were degassed for 4 hours after which nitrogen adsorption and desorption curves were obtained.
- Surface area was calculated using an extension of Langmuir Theory

Slurry	Surface Area (m²/g)
NS-0813-1 (S1)	99.509
NS-0813-2 (S2)	50.997
NS-0813-3 (S3)	16.979
NS-0813-4 (S4)	50.37

![](_page_38_Figure_5.jpeg)

Sample	BET surface area, m²/g	Langmuir surface area, m²/g
Dow Kklebsol 1501- 50; colloidal silica	37.99	54.19
Dow Klebosol 30N 50; Colloidal silica	53.93	78.83

### **Preliminary CMP Results**

- Previously presented CMP of 6" GaAs substrates on IPEC Avanti 472 CMP
- CMP slurry used Colloidal silica NP slurry (CS2) and ceria NP slurry (CS4)
- Conditions Carrier/platen speed- 60/30 rpm, Down pressure 2.0.psi; Back pressure 4.5 psi, slurry rate 200 ml/min, Dow® IC1000<sup>™</sup> perforated polishing pad, pad conditioner (diamond), conditioner 2 lbs, 30 rpm, continuous sweep, Time 4 minutes
- Blanket 200 mm wafers of 1  $\mu$ m HDP CVD oxide film
- Post-CMP characterization, toxicity and uptake post-CMP slurry/waste

![](_page_39_Picture_6.jpeg)

IPEC Avanti 472 CMP. Inset shows polishing pad/surface

![](_page_39_Picture_8.jpeg)

Lam DSS-200 Series II Brush Cleaner

### **CMP Results with Colloidal Si NPs**

#### Silica NP CMP 0 mins

![](_page_40_Picture_2.jpeg)

![](_page_40_Picture_3.jpeg)

#### pH, PSD and zeta potential of post-CMP silica

CMP time (min)	pH of post-CMP samples	PSD (d.nm)	Zeta potential
0	10.38	75.95	-36.7
1	10.42	74.11	-15.8
3	10.37	71.89	-24.3
Rinse	7.74	82.83	-0.042

#### Post-CMP, silica NPs surface area did not change

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![](_page_40_Picture_8.jpeg)

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#### **Preliminary CMP Results**

![](_page_41_Figure_1.jpeg)

### Cell viability of A549 cells exposed to post-CMP silica

#### Silica Pre and Post-CMP

![](_page_42_Figure_2.jpeg)

# Post-CMP colloidal silica uptake by A549 cells

#### Colloidal Silica Uptake by A549 Cells

![](_page_43_Figure_2.jpeg)

# **Preliminary CMP Results with Ceria NPs**

#### Ceria NP CMP 0 minutes

![](_page_44_Picture_2.jpeg)

![](_page_44_Picture_3.jpeg)

#### pH, PSD and zeta potential of post-CMP ceria

CMP time (min)	pH of post- CMP samples	PSD (d.nm)	Zeta potential
0	9.74	99.95	-88.7
1	9.02	4427	-96.6
3	8.32	4855	-90
Rinse	7.94	2078	-78.4

![](_page_44_Picture_6.jpeg)

200 nm

# Cell viability of A549 cells exposed to post-CMP ceria

![](_page_45_Figure_1.jpeg)

![](_page_45_Figure_2.jpeg)

# Post-CMP colloidal ceria uptake by A549 cells

![](_page_46_Figure_1.jpeg)

# **Summary of Results**

- Conducted comprehensive physical and chemical characterization of four model slurries, bound ENs and few "real" slurries along with dried micro and NPs of comparable size and method of synthesis
- Investigated NP interaction with a mammalian cell
  - Surface Interaction, cellular uptake and internalization, interaction with nucleus (NP-induced DNA damage, Comet assay)
- With model slurries
  - Silica NP slurries NPs showed dose and time dependent toxicity (both colloidal and fumed silica NPs), NP aggregation major factor in toxicity
  - Acute toxicity observed in case of Ceria slurry NPs and Alumina slurry NPs showed no significant toxicity.
  - Significant increase in production of intracellular ROS, indicating that silica NPs cause cellular toxicity via oxidative stress.
  - Raman Spectroscopy of cellular uptake was used and showed the internalization and inhomogeneous distribution of ceria NPs in cells
- With "real" slurries preliminary physiochemical, toxicity and uptake data

# **Industrial Interactions and Technology Transfer**

- Acknowledgements to SRC technical liaisons Chris Lee (TI) and Reed Content (Global Foundries) for valuable comments and suggestions
- SRC/ERC Nanotoxicity consortium with academic researchers and industry liaisons
  - Conducted round-robin studies with other consortium members on these slurries
  - Joint consortium paper on model slurry characterization
- Hosted teleseminars for SRC/ERC for Environmentally Benign Semiconductor Manufacturing during 2014

# **Publications, Presentations, and Recognitions/Awards**

- K. Kosaraju, M. Tarannum, S. Crawford, S. Aravamudhan, "Effect of aggregation on the toxicity of silica nanoparticles", Environ. Sci. Tech. (submitted)
- K. Kosaraju, M. Tarannum, S. Crawford, S. Aravamudhan, "Cellular uptake of ceria nanoparticles..", Chem. Res. Toxicicol.(in preparation)
- Consortium paper D. Speed et. al., Physical, Chemical and In Vitro Toxicological Characterization of Nanoparticles in ....Environmental Science: Nano (under review)
- J.M. Starobin, S. Aravamudhan, K. Kosaraju, et al., Analysis of cardiac repolarization ...Sustainable Nanoteechnology Organization Conference, Boston, MA, Nov 2-4, 2014.
- K. Kosaraju, K. Garde, S. Crawford, S. Aravamudhan, "Examining the Cellular Uptake of Engineered Nanomaterials," ECS Trans. 61(36), 15-21, 2014
- K. Garde, K. Kosaraju, S. B. Ravari, S. Aravamudhan, "Towards Understanding Toxicity of Engineered Nanomaterials," Ch. in Nanoscience and Nanoengineering: Advances and Applications, CRC Press, ISBN 9781482231199, 2014.
- K. Kosaraju et al., "Examining the Cellular Uptake of Engineered Nanomaterials," 225th ECS Meeting, Orlando, FL, May 11-16, 2014.
- K. Kosaraju, M. Tarannum, S. Crawford, K. Garde, S. Aravamudhan, "Examining Cellular Uptake of CMP Nanoparticles," TECHCON 2014, Sep 7-9, 2014.

![](_page_50_Picture_0.jpeg)