

# Innovations in Supercritical Fluid Technology

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*NSF/SRC ERC for Environmentally Benign Semiconductor Manufacturing*



# Innovations in Supercritical Fluid Technology

## Supercritical Fluid Applications:

- Solvent replacement
- Extractions
- Drying processes
- Polymerizations
- Environmental applications
- Supercritical water oxidation
- Rapid expansion powder formation
- Pharmaceuticals and food processing

## Influence on Thrust D-Lithography:

- Awareness of other projects
- Similar challenges & limitations
- Scale-up of processing
- New Opportunities

# Supercritical Fluid: Environmental Compatibility

Sustainable Development  
in Technology

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graph TD; A([Sustainable Development in Technology]) --> B[Reduce Waste]; A --> C[Reduce Biohazards];
```

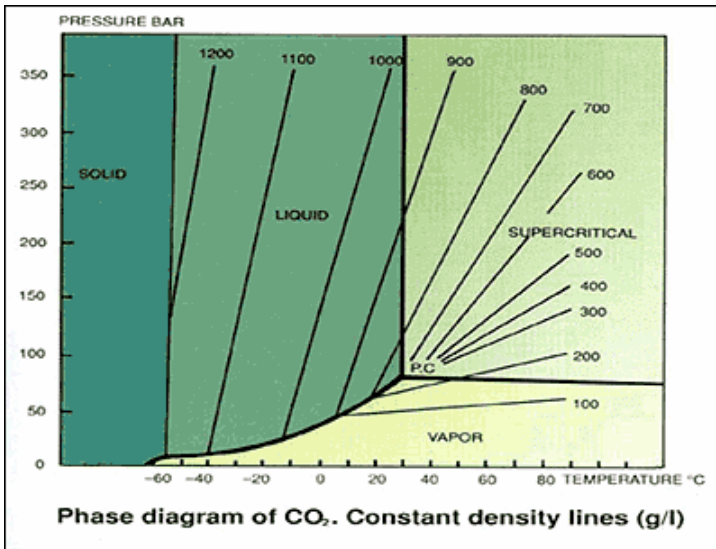
## Reduce Waste

- CO<sub>2</sub> from byproducts
- CO<sub>2</sub> from atmosphere
  - Recyclable
- No aqueous waste produced
- Water replaces organic solvents

## Reduce Biohazards

- No solvent exposure hazard
  - Non-flammable
  - Non-toxic
- Water and CO<sub>2</sub>

# Supercritical Carbon Dioxide



	GAS	Supercritical Fluid		Liquid
	P=0.1 MPa T= 15 °C	Tc, Pc	Tc, 4Pc	P=0.1 MPa T= 15°C
Density $\rho$ (g/cm <sup>3</sup> )	0.0006 – 0.002	0.2- 0.5	0.4- 0.9	0.6- 1.6
Viscosity $\mu$ Pa•s	10-30	10-30	30-90	200-3000
Diffusion cm <sup>2</sup> /s	0.1- 0.4	$0.7 \times 10^{-3}$	$0.2 \times 10^{-3}$	$0.2 \times 10^{-5}$ $2.0 \times 10^{-5}$

- High & variable density
- Higher diffusion than liquid
- Viscosity comparable to gas
- Tunable solvating power

# Supercritical Fluids

<u>Critical Point:</u>	<u>T<sub>c</sub></u>	<u>P<sub>c</sub></u>
CO <sub>2</sub>	31 °C	73.8 atm
Ethanol	240.9 °C	64.1 atm
CO <sub>2</sub> + 7 mol% Et:	52 °C	97 atm
Water	374 °C	220 atm

## Disadvantages:

- Elevated pressures required
- Compression costs
- High capital equipment investment

## Advantages:

- Controllable dissolving power
- SCF easily recoverable from extract
- Non-toxic solvents, no residues
- Separations not possible by traditional methods can be effected
- Thermally labile compounds can be extracted, low temperature use
- Relatively inexpensive solvents, continuously recycled.

# Supercritical Water

## Water: Reaction Solvent Affect

$\epsilon_{\text{H}_2\text{O}}$  : 78 at STP

$\epsilon_{\text{H}_2\text{O}}$  : 2-30 within SCF region (6 at Tc, Pc)

$\epsilon_{\text{Propane}}$  : 1.6

$\epsilon_{\text{Acetone}}$  : 20.7

$\epsilon_{\text{Carbon Tetrachloride}}$  : 2.2

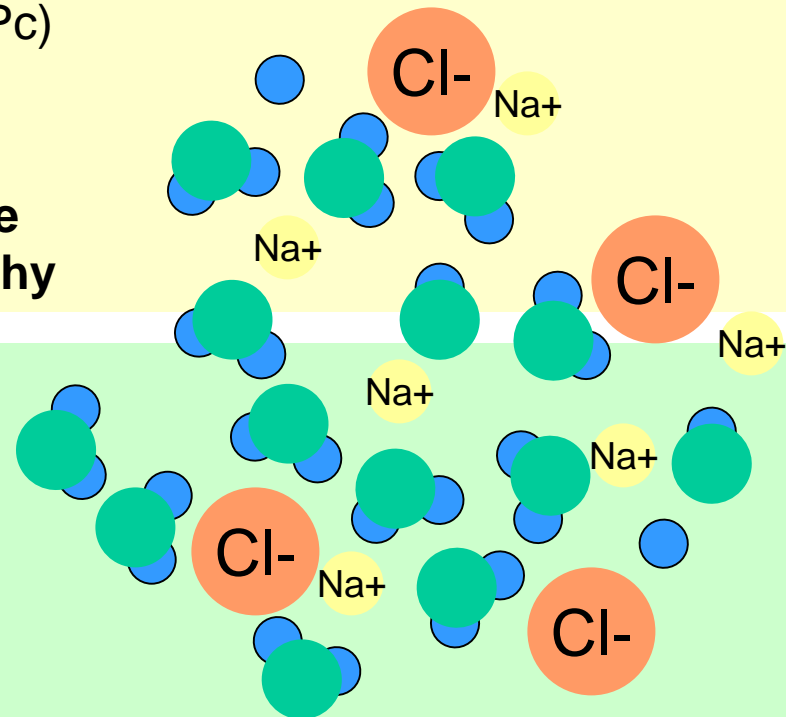
$\epsilon_{\text{Methanol}}$  : 32.6

- **Organic substances become soluble**
- **Tunable separations/ chromatography**

**SCW:** 77% contact ion pairs

**H<sub>2</sub>O:** 2.6% CIP; 2-6 x more H-bonds

Chialvo: molecular simulations



## Water: Ion Product Affect

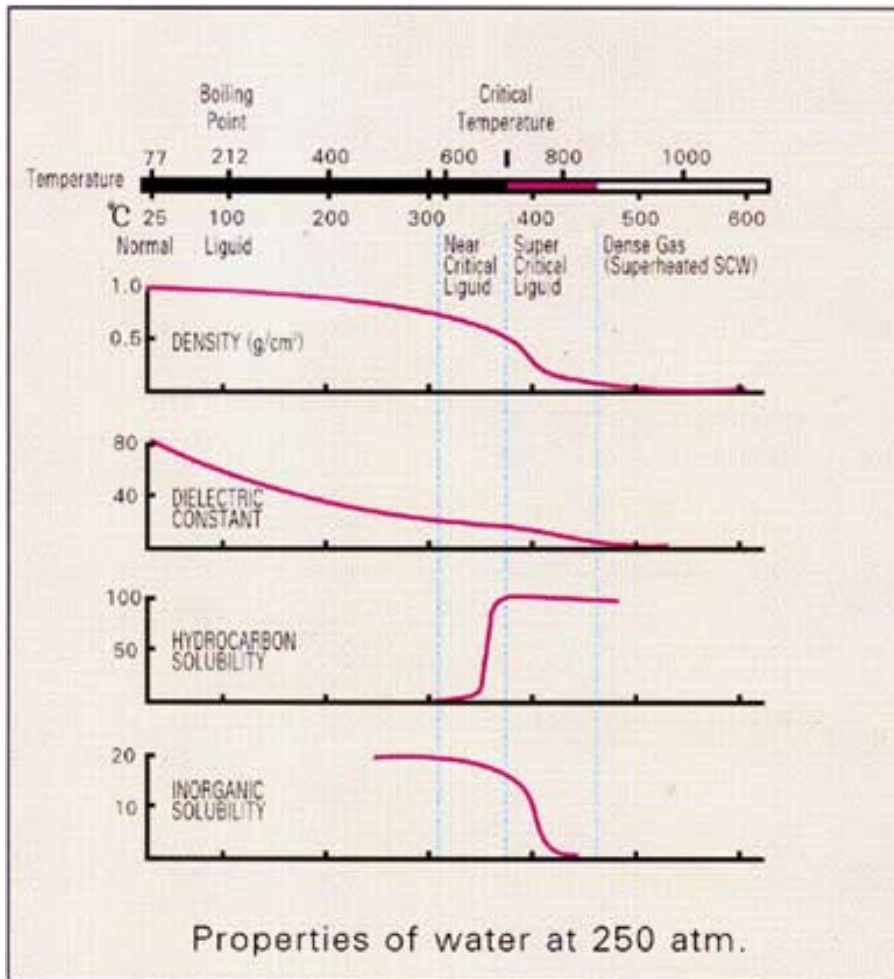
$$K_w = [\text{H}^+][\text{OH}^-]$$

$[\text{H}^+] = 1 \times 10^{-7}$  at STP

$[\text{H}^+] = 3 \times 10^{-6}$  at 300 °C

- **Acid or base catalyst**
- **Electrolyte solvent**
- **Effective Hydrolysis**

# Supercritical Water



**Changes occur at SCF transition:**

Decrease in Density

Decrease in Dielectric Constant

Increase in Hydrocarbon Solubility

Decrease in Inorganic Solubility

<http://www.labkorea.com/products/reactor/>

# Supercritical Fluid Extraction

4. Oven containing extraction vessel

5. Trapping vessel

1. Incoming CO<sub>2</sub> mobile phase

3. Digital Temperature and Pressure control

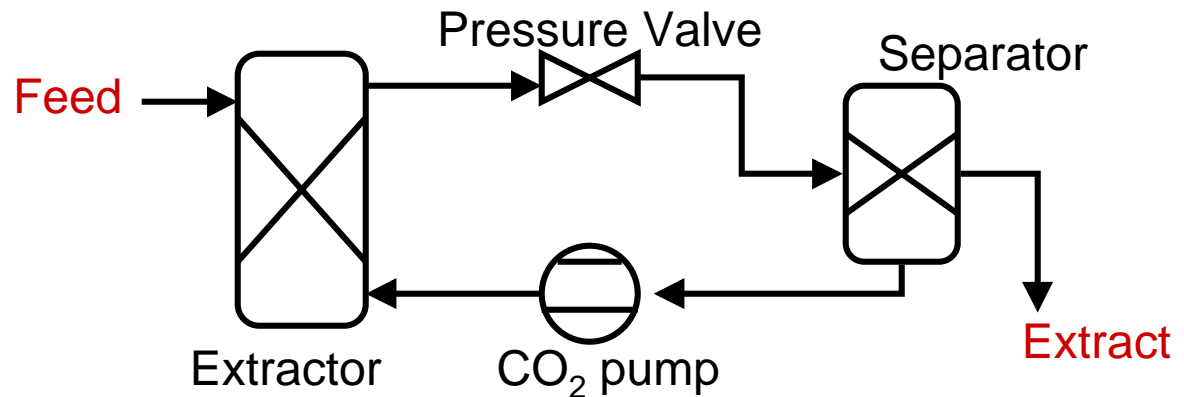
2. Pressurizing pump





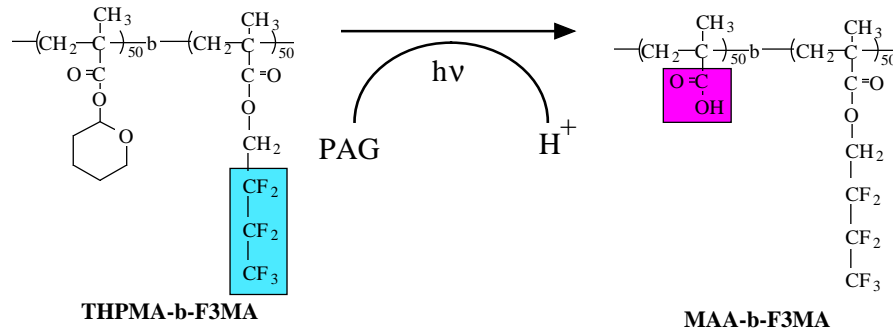
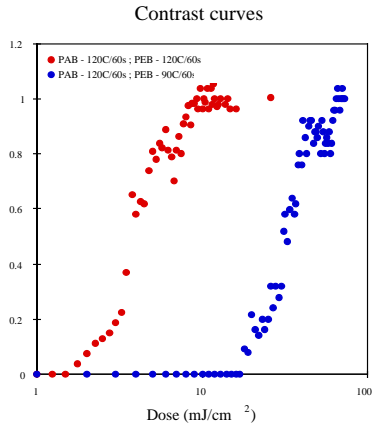
# Supercritical Fluid Extraction

Inexpensive, no solvent residue, non-organic, easy processing control.

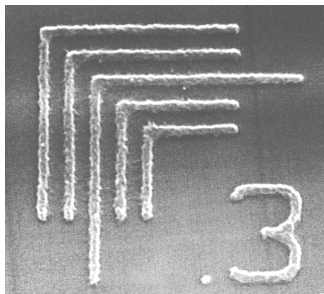


- Purification of odorants
- Removal of agrochemicals from ginseng extract.
- Removal of caffeine from coffee
- Removal of water from ethanol.
- Fractionation; removal of monomer from polymer
- CO<sub>2</sub> Dry cleaning to replace carcinogenic PERC

# Solvent Replacement: Lithography



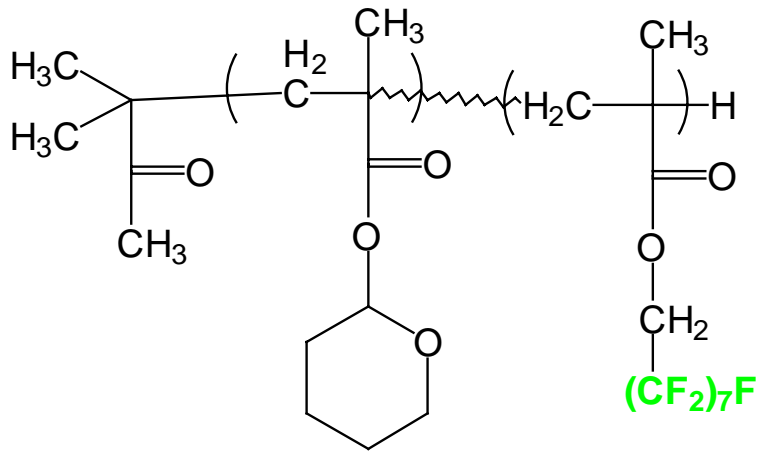
Thickness ~ 2700 Å  
 Halo alkyl Iodonium  
 PAG ~ 1 wt %  
 PAB - 120C/60s  
 PEB - 120C/60s  
 SCF CO<sub>2</sub> at 45C,  
 4500 psi



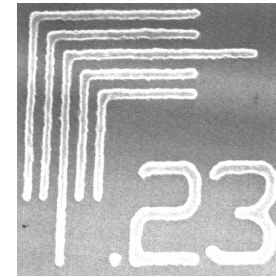
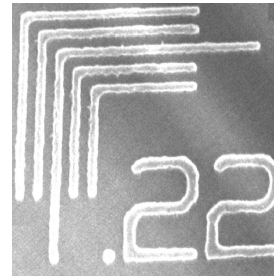
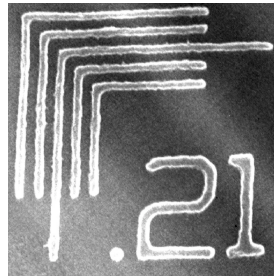
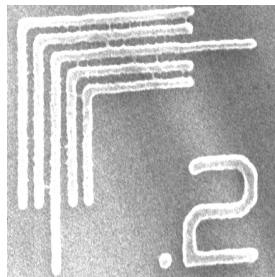
Narayanan Sundararajan  
 Cornell University

Polymer	Vol. fraction (%) of fluorocomponent	Pressure (psi)	Temperature (°C)
THPMA-b-F3MA	22	Insoluble at conditions tried	
THPMA-b-F3MA	32	Insoluble at conditions tried	
THPMA-b-F3MA	46	Insoluble at conditions tried	
THPMA-b-F3MA	51	4500	45
THPMA-b-F3MA	56	6500	65
THPMA-b-F3MA	62	2800	45

# Solvent Replacement: Lithography



- Block copolymer resist and supercritical development at Cornell
- 193 nm ArF excimer exposure performed at IBM Almaden
- Long image stability (~1 week)



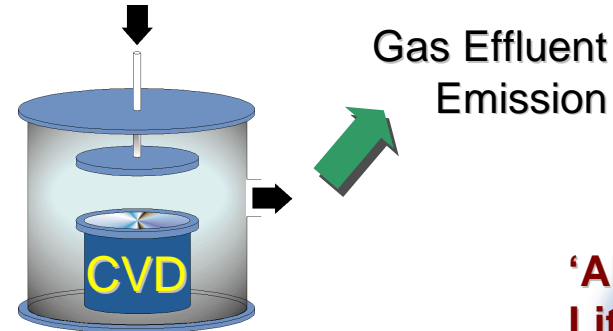
N. Sundararajan, S. Yang, J. Wang, K. Ogino, S. Valiyaveetil, C. K. Ober, S. K. Obendorf and R. D. Allen, "Resists Processed in Supercritical  $CO_2$ ", *Chem. Mater.*, accepted.

# Solvent Replacement Thrust D: Lithography

Photoresist  
Application

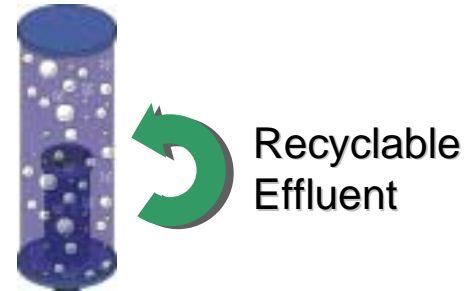


Semiconductor International, Sept, 1998



**'All Dry'  
Lithography  
Process**

Development



Waste  
Disposal

Wet Etch

VOC  
Emissions

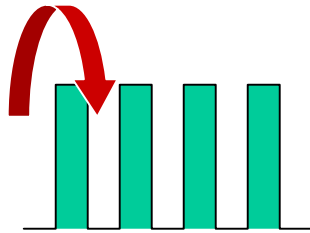
Solvent  
Exposure

Supercritical CO<sub>2</sub>

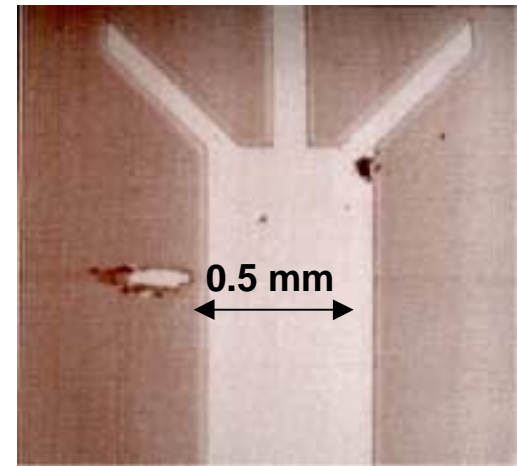
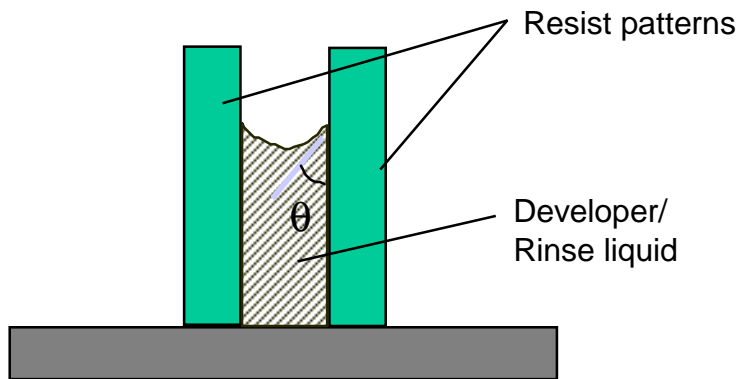
No net environmental CO<sub>2</sub> increase

# Solvent Replacement Thrust D: Lithography

High Diffusivity: Enables even development of high aspect ratio features



Low surface tension: Prevents pattern collapse



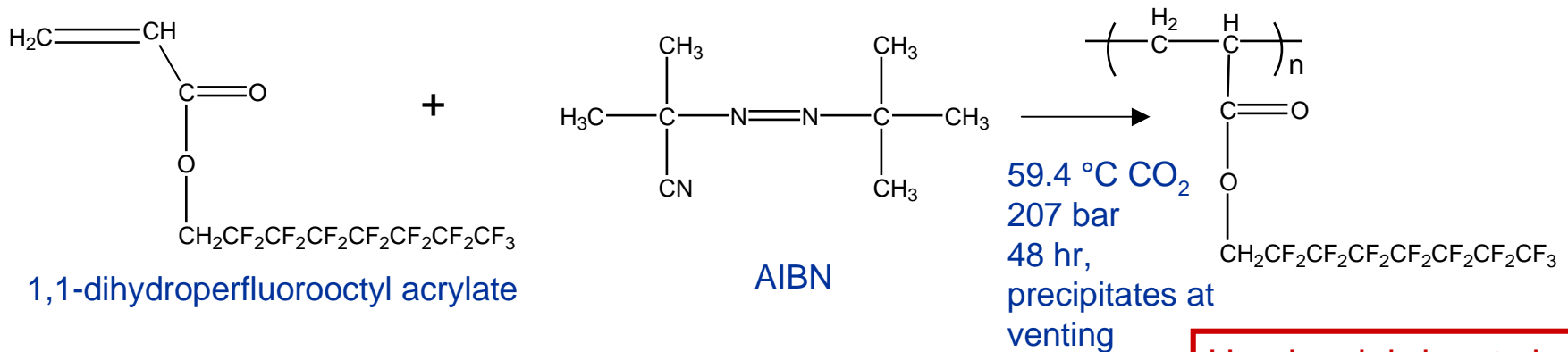
6000  $\mu\text{C}/\text{cm}^2$  CHFPO.HF7

Nonpolar: Enables dissolution of 157nm-transparent polymers

Organosilicon  
Si:C:O:H

Fluorocarbon  
-CF<sub>2</sub>-CF<sub>2</sub>-

# Solvent Replacement: Fluoropolymer Polymerization

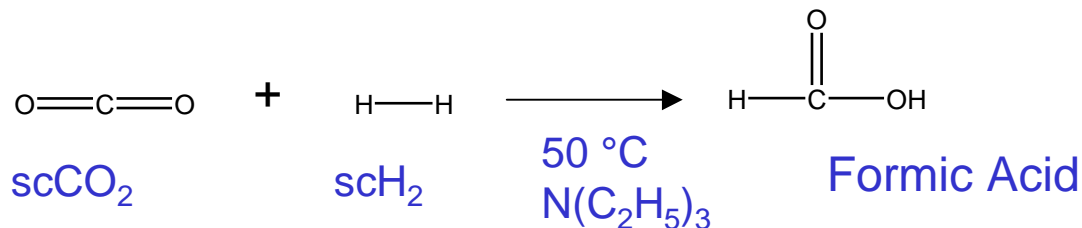


Used as lubricants in computer disk drives, protective coatings.

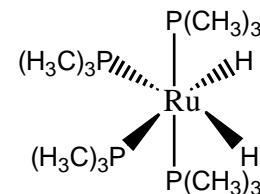
- Insolubility necessitates use of CFC solvents
- Solute-solvent interaction with F and CO<sub>2</sub> allows 25% solubility of high MW fluoropolymers (>250,000 g/mol)
- Wide range of solvent strengths in SCF by ΔP
- AIBN composition 2.5 times lower than in benzene (benzene has higher ε)
- Efficiency factor in CO<sub>2</sub> f=0.83, in benzene f=0.53: low viscosity aids diffusion and avoids recombination
- FOA copolymerized with ethylene, styrene, MMA, butyl acrylate

DeSimone et al: Science 1992, 257, 945

# Other Chemical Reactions: CO<sub>2</sub> as a Reactant



Ru II catalyst complex



- Successful hydrogenation of CO<sub>2</sub>
- Homogenous catalysis with Ru II complex
- Addition of base to favor product formation
- Product obtained by pressure reduction
- Turnover frequency 1400 h<sup>-1</sup>; in conventional water 290 h<sup>-1</sup>

Jessop, Ikarlya, Noyori: Nature 1994, 368, 231

# Supercritical Fluid Chromatography (SFC)

**HPLC:** 2 pumps provide mixed mobile phase, packed column in oven, pressure and flow-rates controlled.

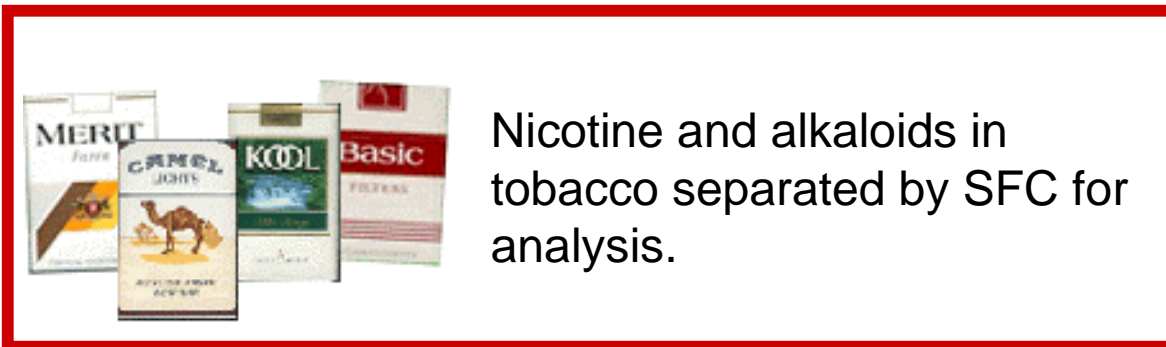
**GC:** syringe pump & restrictor, flame-ionization detector.

**SFC:** Higher flow rates  
Liquid-like solubility

**SFC:** Lower Temperatures

- Successful for analytes that won't vaporize, nor have functional groups for detection by HPLC detectors.

- High resolution & sensitivity.
- Investigation of SFC for enantiomeric separation using chiral stationary phase.



Nicotine and alkaloids in tobacco separated by SFC for analysis.