

Role of Interfacial Contamination  
in  
Gate Dielectric Degradation

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# PRESENTATION OUTLINE

- **Motivation**
- **Results and discussion**
  - I. Organic contamination of ultra-thin SiO<sub>2</sub> gate dielectric
    - a. Effect of processing conditions
    - b. Mechanism of defect formation
  - II. Contamination of high-k gate dielectric films
    - a. Energetics and kinetics of trace-level H<sub>2</sub>O and IPA on ZrO<sub>2</sub> and HfO<sub>2</sub>
- **Conclusions**

# ORGANIC CONTAMINANTS IN THE FAB

volatile solvents

process chemicals

polymer outgassing

*Wafer carriers, storage boxes,*

HEPA/ULPA filters

sealants, paints, tubings

## ***SOURCES***

IPA, acetone, NMP

siloxanes, amines

DBP, BHT, esters

sulfonamides, alcohols

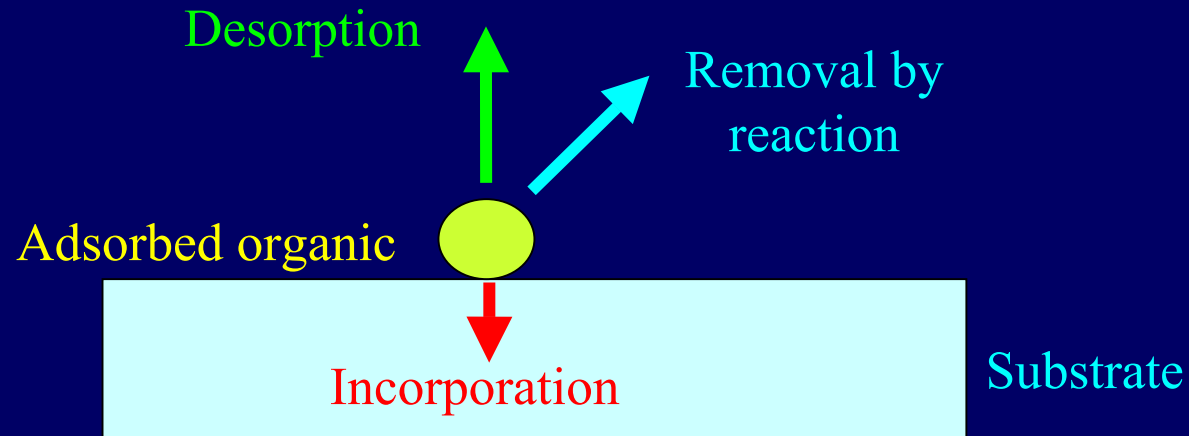
organophosphates, DOP

organosilicones

hydrocarbons

## ***COMPOUNDS***

# FATE OF ADSORBED ORGANICS



- **Competition** between desorption, volatilization by reaction and incorporation **governs the fate** of adsorbed contaminants.
- Rapid thermal processes and inert process ambient highly susceptible to retention of organics.

## EFFECTS OF ORGANIC CONTAMINATION

- Etch rate shifts due to incomplete wetting
- Contact corrosion
- Wafer and optics hazing
- Counter-doping
- Prevention of wafer bonding
- Delamination, non-uniform Cu seed deposition
- Malfunction of epitaxial growth
- Gate oxide degradation –

SiC formation, local oxide thinning, positive charge in the bulk,

▲ interface traps, threshold voltage shifts

Hattori et. al., Appl. Phys. Lett. 71 (25), p. 3670 (1997), Kasi et. al., Appl. Phys. Lett. 59 (1), p. 108 (1991)

Heyns. et. al., Jpn. J. Appl. Phys. – Pt. 1, 37 (9A), p. 4649, (1998), Jeon et. al., ECS- PV 99-6, p. 250 (1999)

- ITRS recommendation for front-end surface preparation:

@ 90 nm : Carbon <  $1.5 \times 10^{13}$  atoms/cm<sup>2</sup>

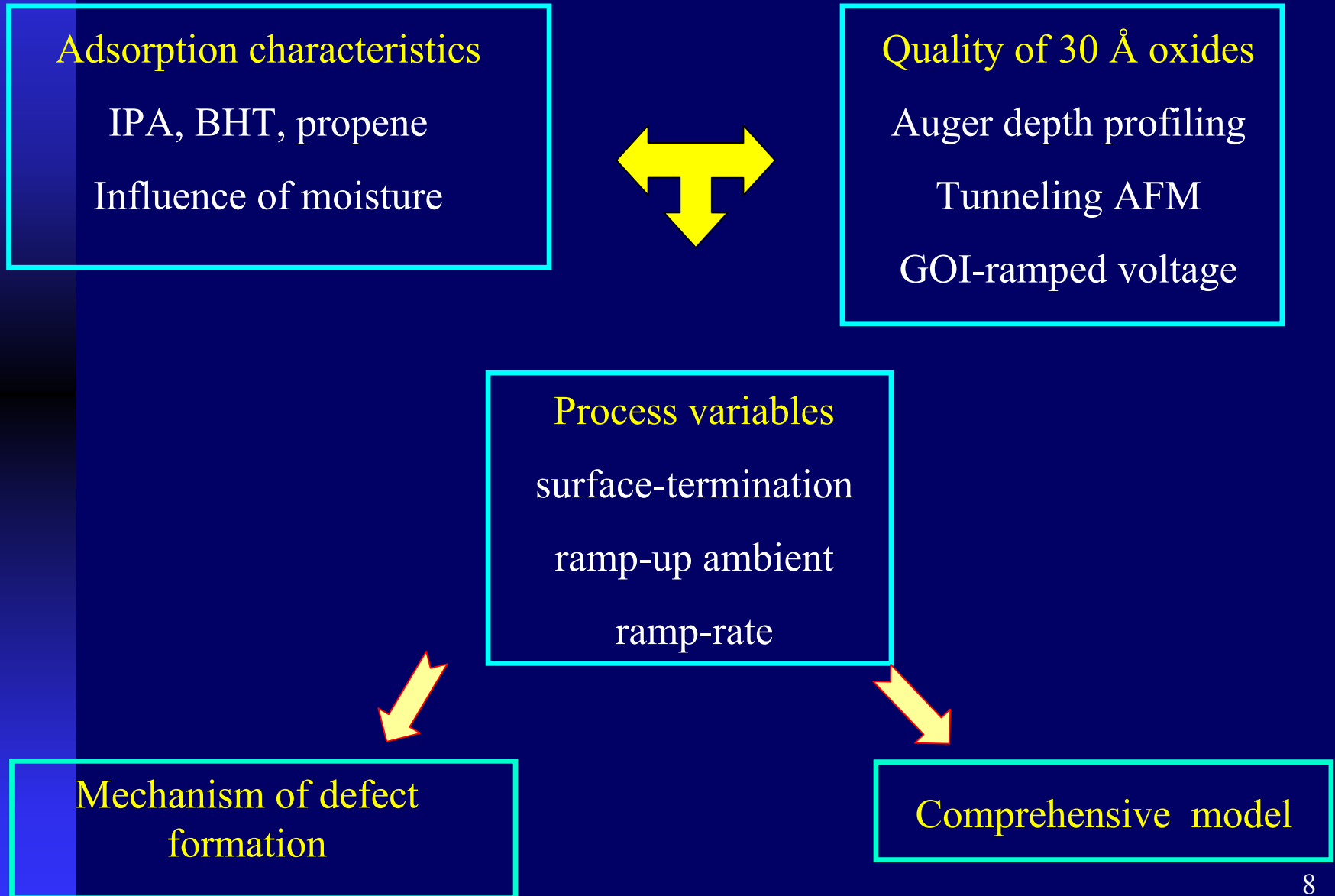
## ISSUES WITH NEW MATERIALS

- Incomplete desorption may lead to **trapping of organics** within the deposited films.
- **Organic precursors** can lead to carbon retention in the film.  
Mirica et. al., Applied Surface Science, 143 (1-4), p. 85 (1999)
- **ALD** – sequential film deposition  
contaminants can get dispersed throughout the deposited film.
- **Contamination on high-k film before poly deposition** can be equally problematic.
- **What is the sensitivity of high-k films** to organic contamination ?  
Current ITRS numbers are applicable only for silicon.



BEHAVIOR OF ORGANICS DURING GATE  
OXIDE GROWTH BY THERMAL OXIDATION

# METHOD OF APPROACH





# SCHEME OF EXPERIMENTS

- Contamination before oxidation  
Kinetics and extent
- Behavior during thermal oxidation
- Effect of processing conditions
  - Type of cleaning
  - Ramp-up ambient
  - Ramp rate
- Understand mechanism of carbon incorporation

## Objectives

Tracking real-time kinetics of organics during thermal processes is difficult:  
Catalytic oxidation - **measure organics as Total Organic Carbon.**

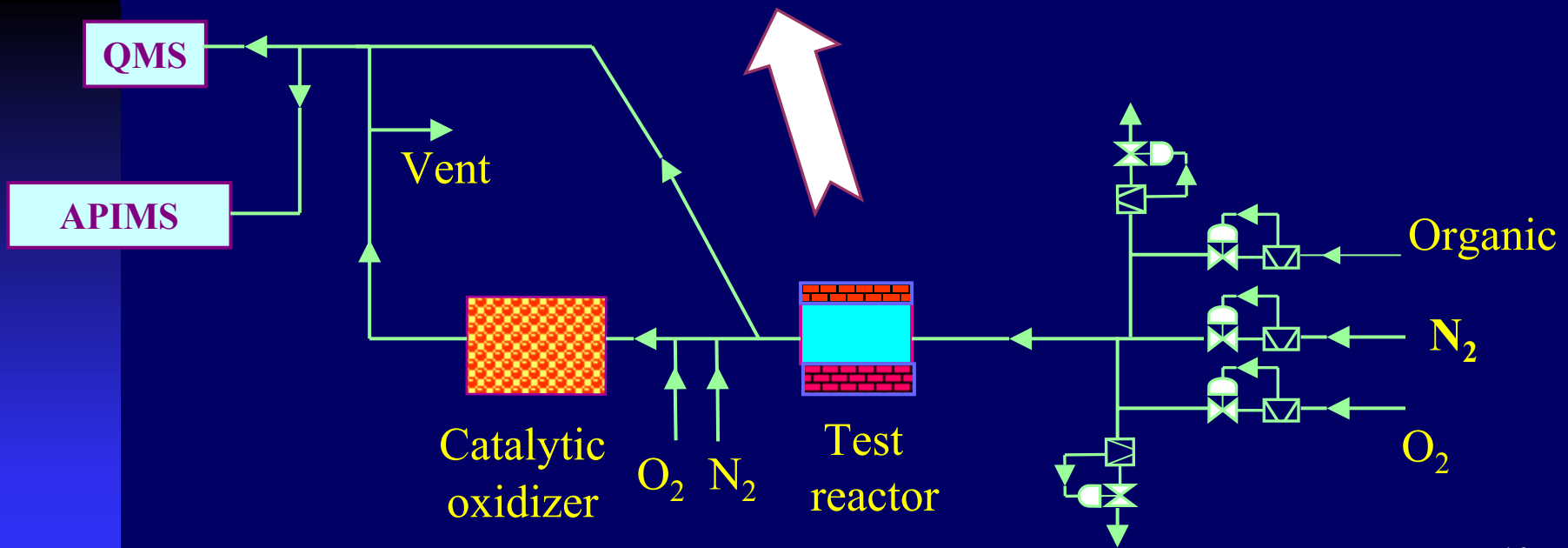
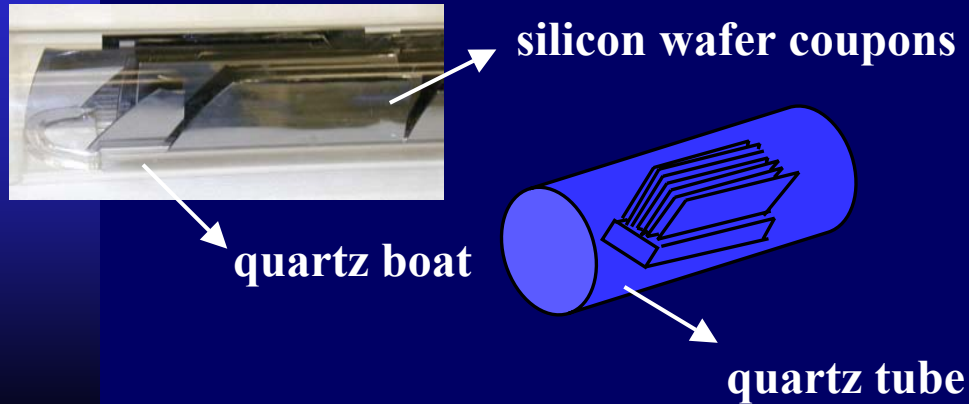
- Pre-gate oxidation cleaning
- Intentional organic contamination
- Desorption during oxidation
- Monitor outgassing of organics

## Experimental strategy

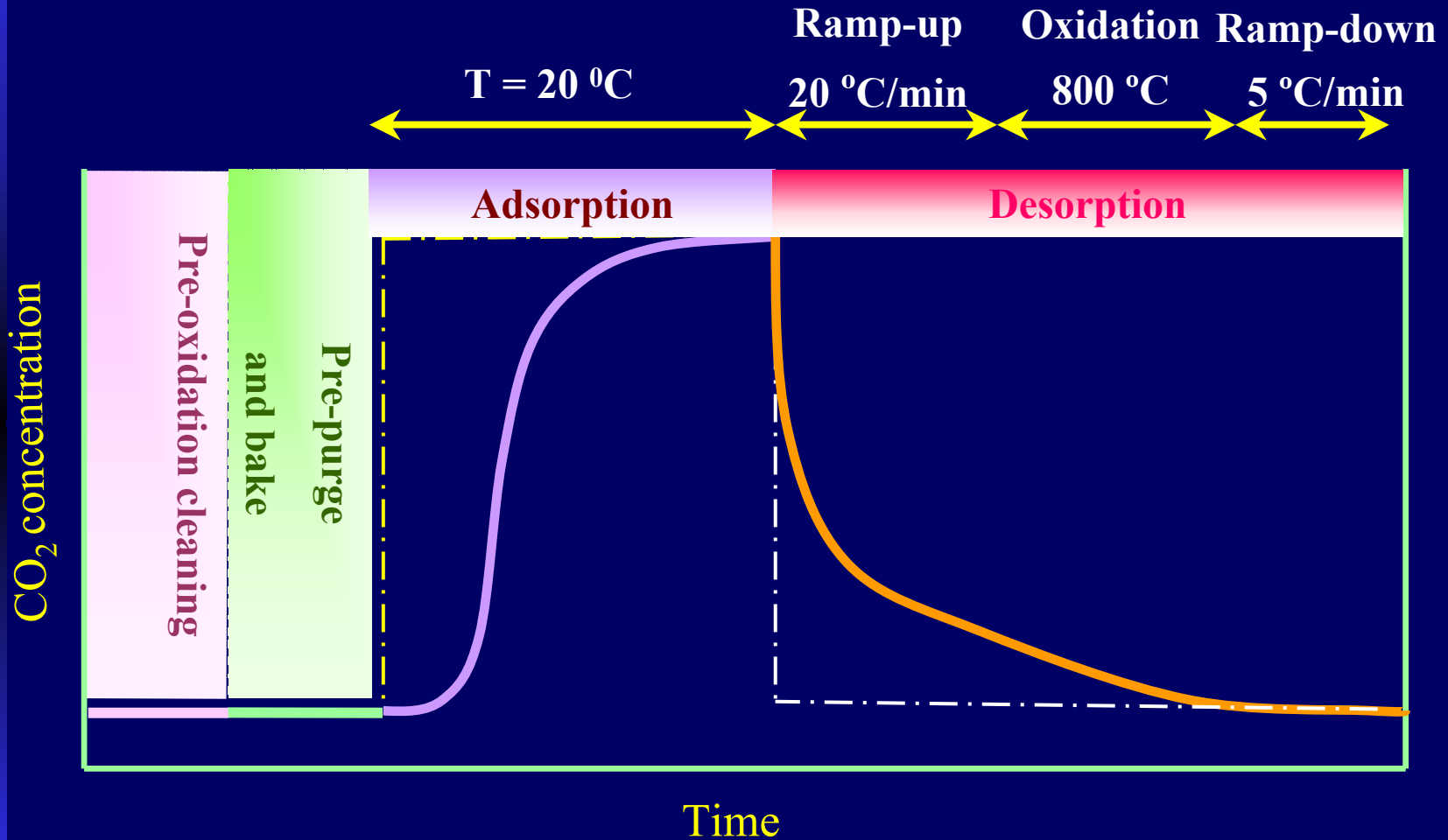
# EXPERIMENTAL SETUP

## Salient features

- ❖ EPSS tubing
- ❖ all-metal MFCs
- ❖ certified gas mixtures
- ❖ ppm-ppt level detection

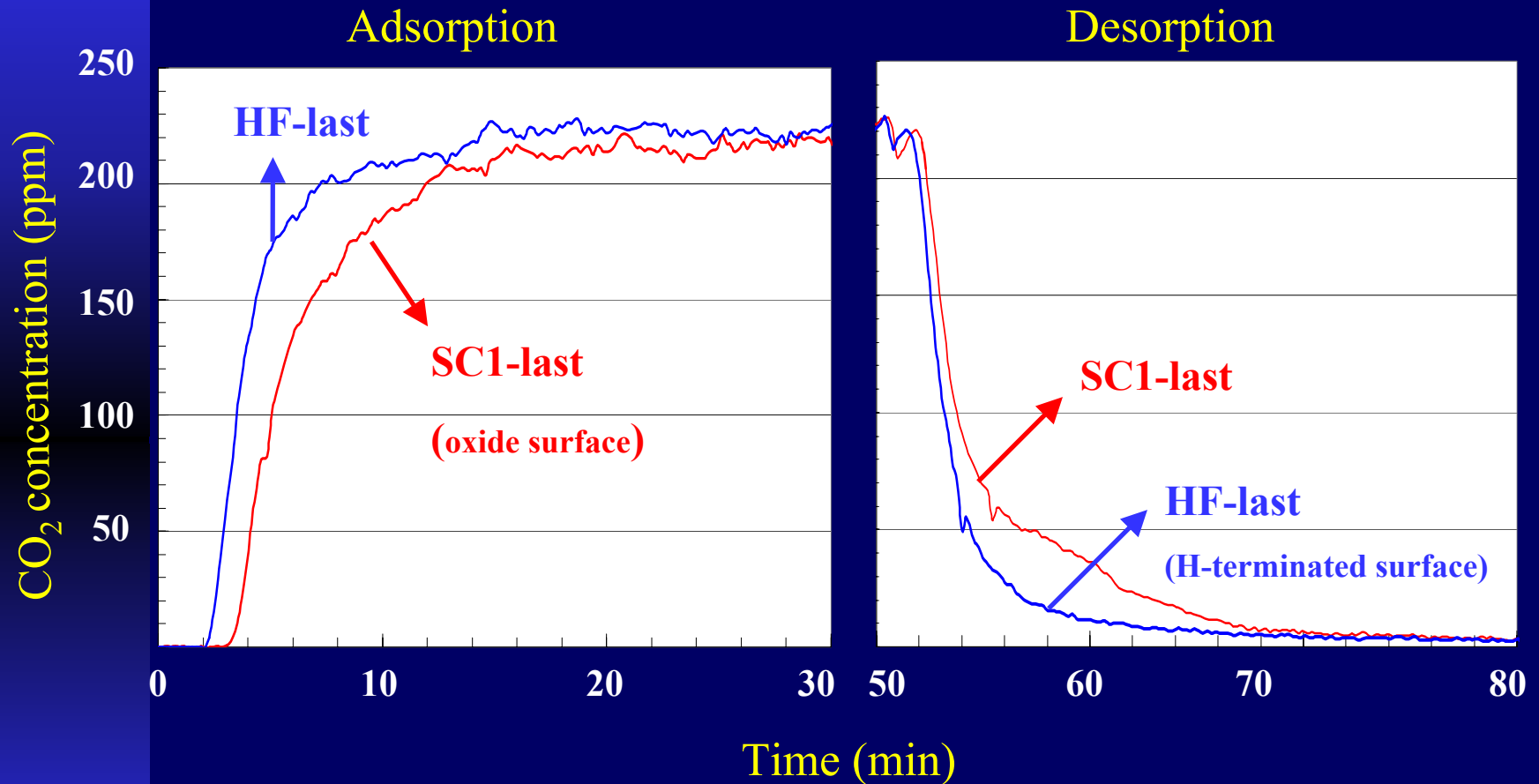


# EXPERIMENTAL PROCEDURE



- Desorption gas represents the ramp-up ambient in thermal oxidation – 100 % N<sub>2</sub> (inert ramp-up) or O<sub>2</sub> in N<sub>2</sub> (oxidizing ramp-up)

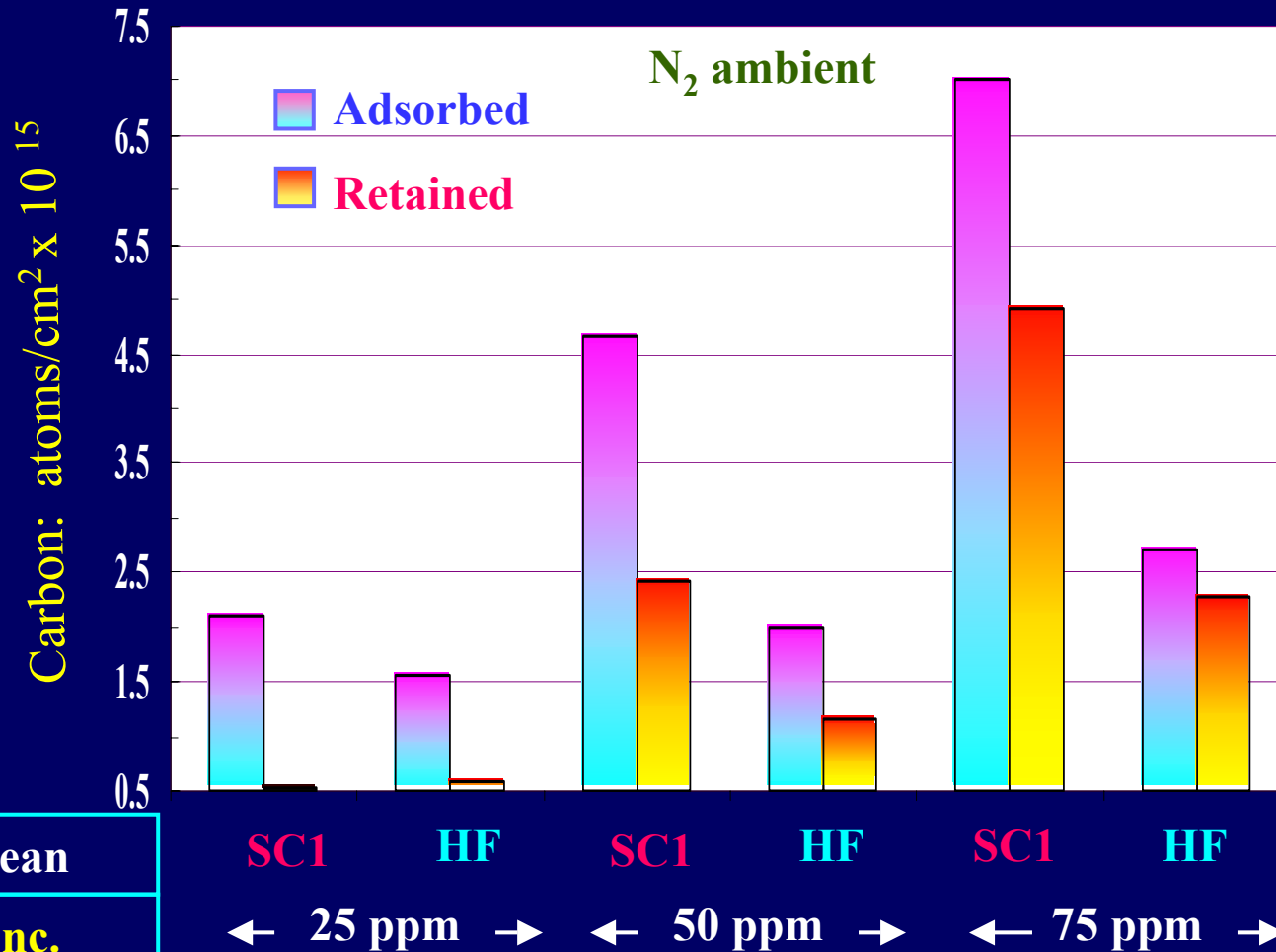
# EXPERIMENTAL RESPONSE



75 ppm IPA challenge, ramp-up to 800 °C at 20 °C/min in N<sub>2</sub>

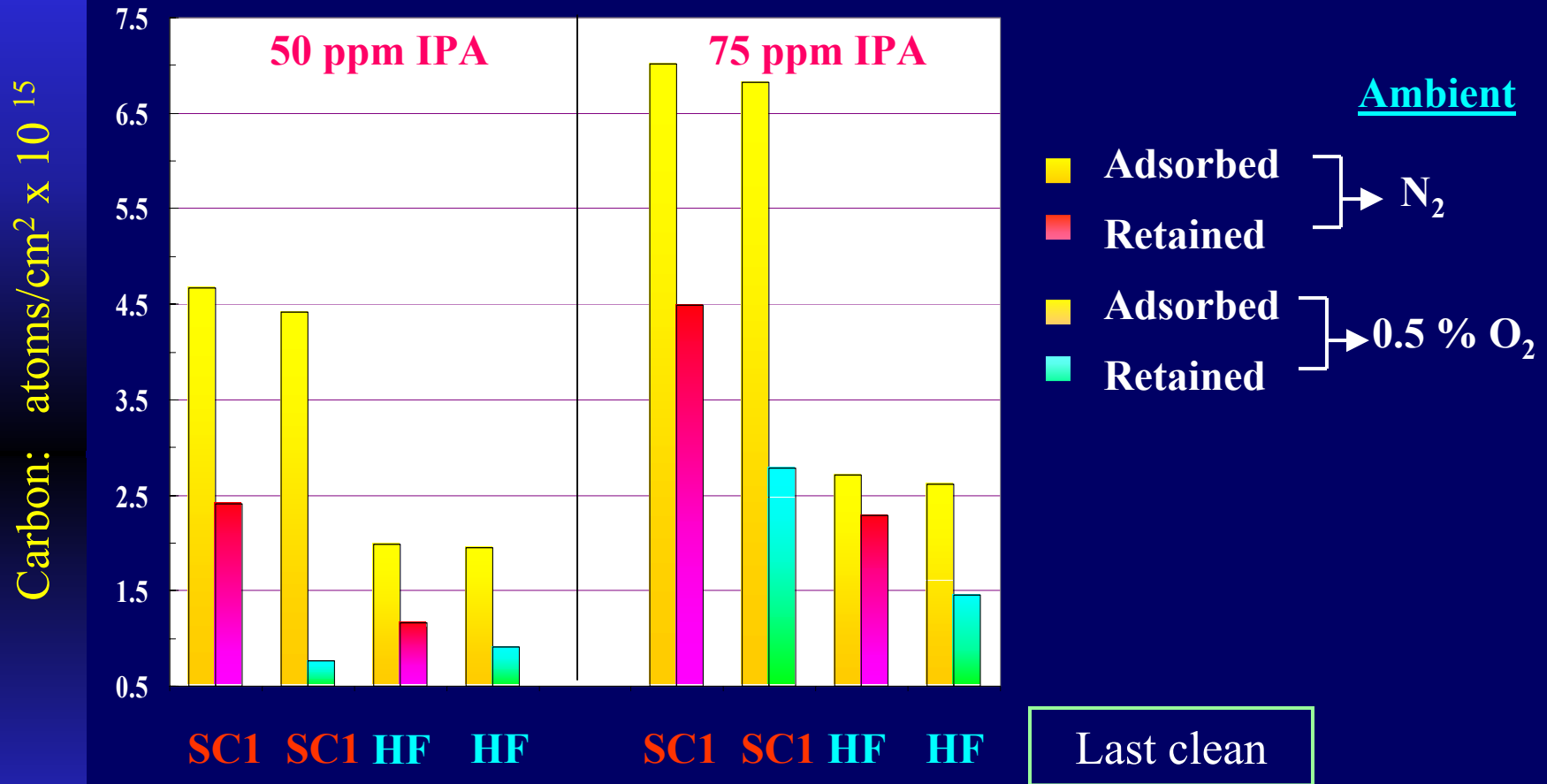
- SC1-last adsorbs more IPA than HF-last surface.

# EFFECT OF CLEANS AND ORGANIC CONCENTRATION



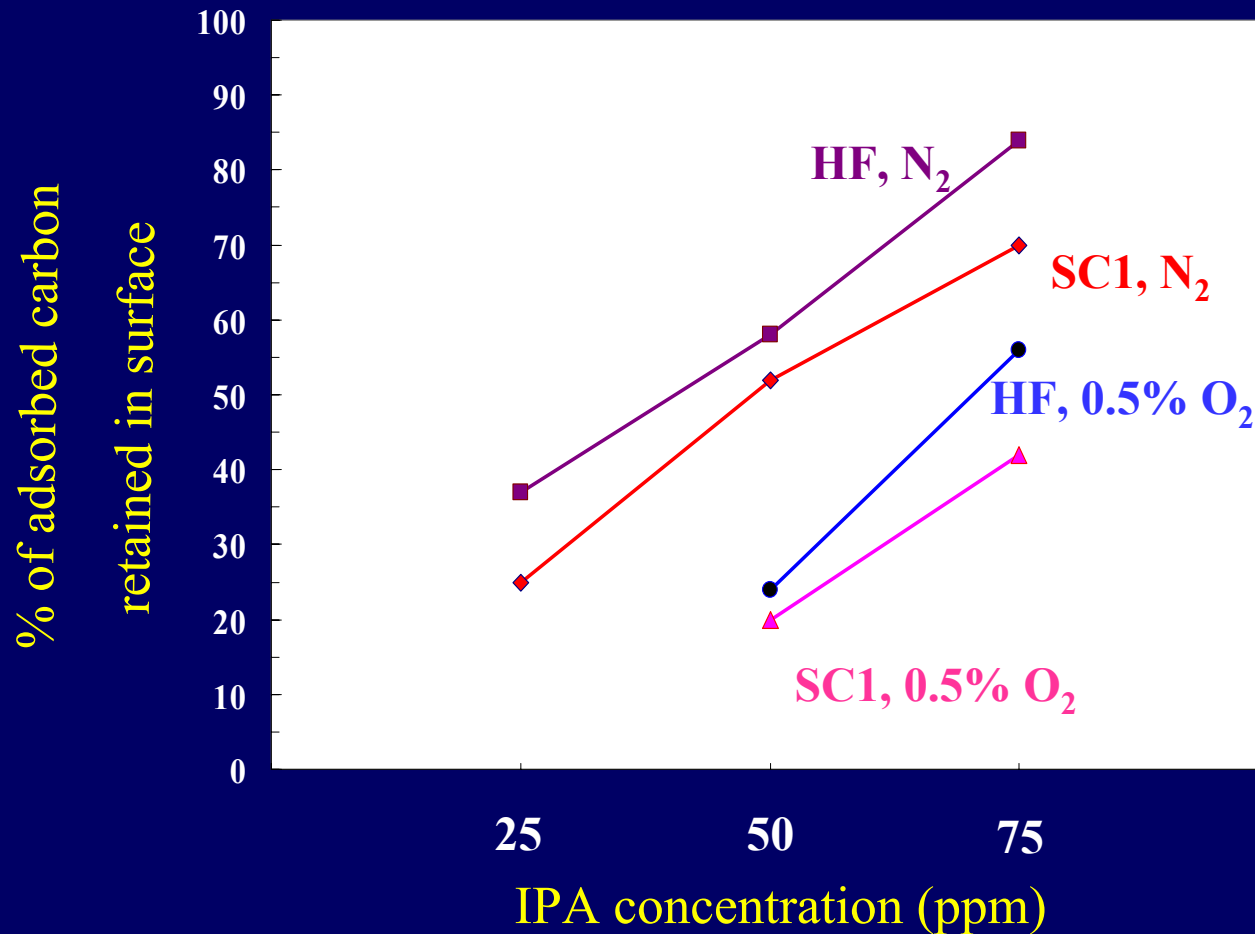
- Carbon adsorption proportional to ambient concentration.
- Greater carbon incorporation in SC1-last surfaces.

# EFFECT OF OXYGEN IN RAMP-UP AMBIENT



- 0.5% oxygen in ramp-up reduces carbon incorporation, but does not eliminate it.

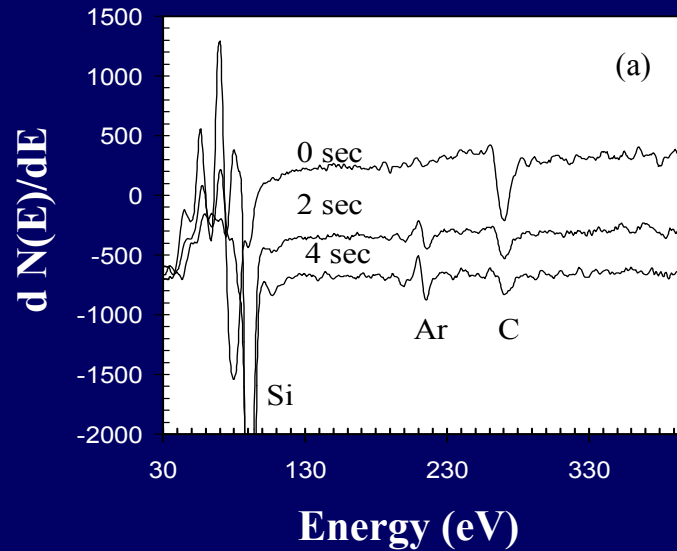
## EXTENT OF CARBON INCORPORATION



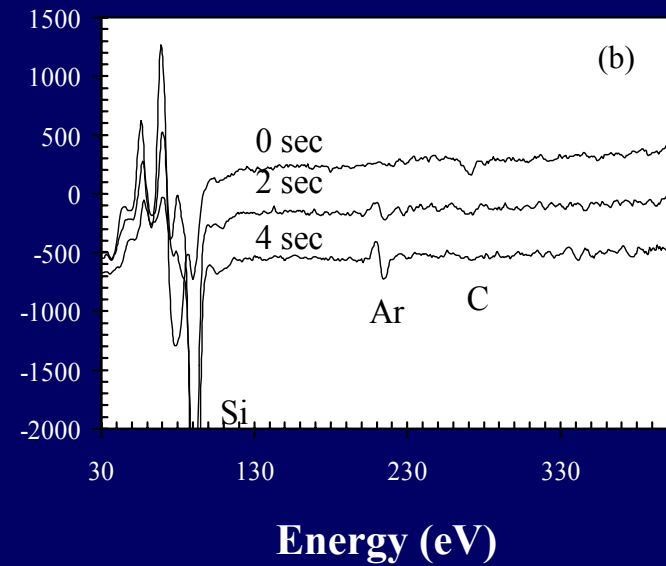
- Carbon incorporation proportional to concentration.
- In spite of lower adsorption, HF-last retains a greater fraction of adsorbed carbon.

# SURFACE AND ELECTRICAL ANALYSIS OF GATE OXIDES

### Inert ramp-up

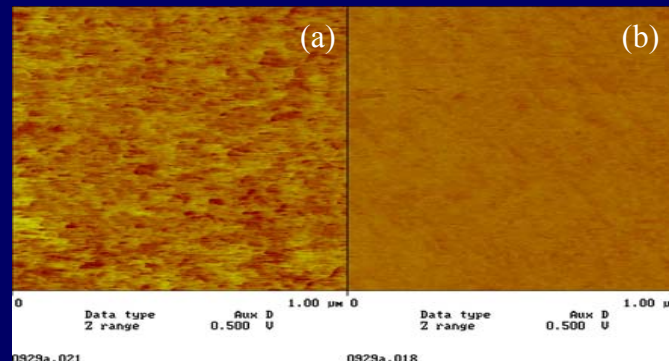


### Oxidizing ramp-up



Auger depth profile

TAFM



GOI defect density

$E_{bd} = 12 \text{ MV/cm}$

$> 20 \text{ cm}^{-2}$

$0.26 \text{ cm}^{-2}$

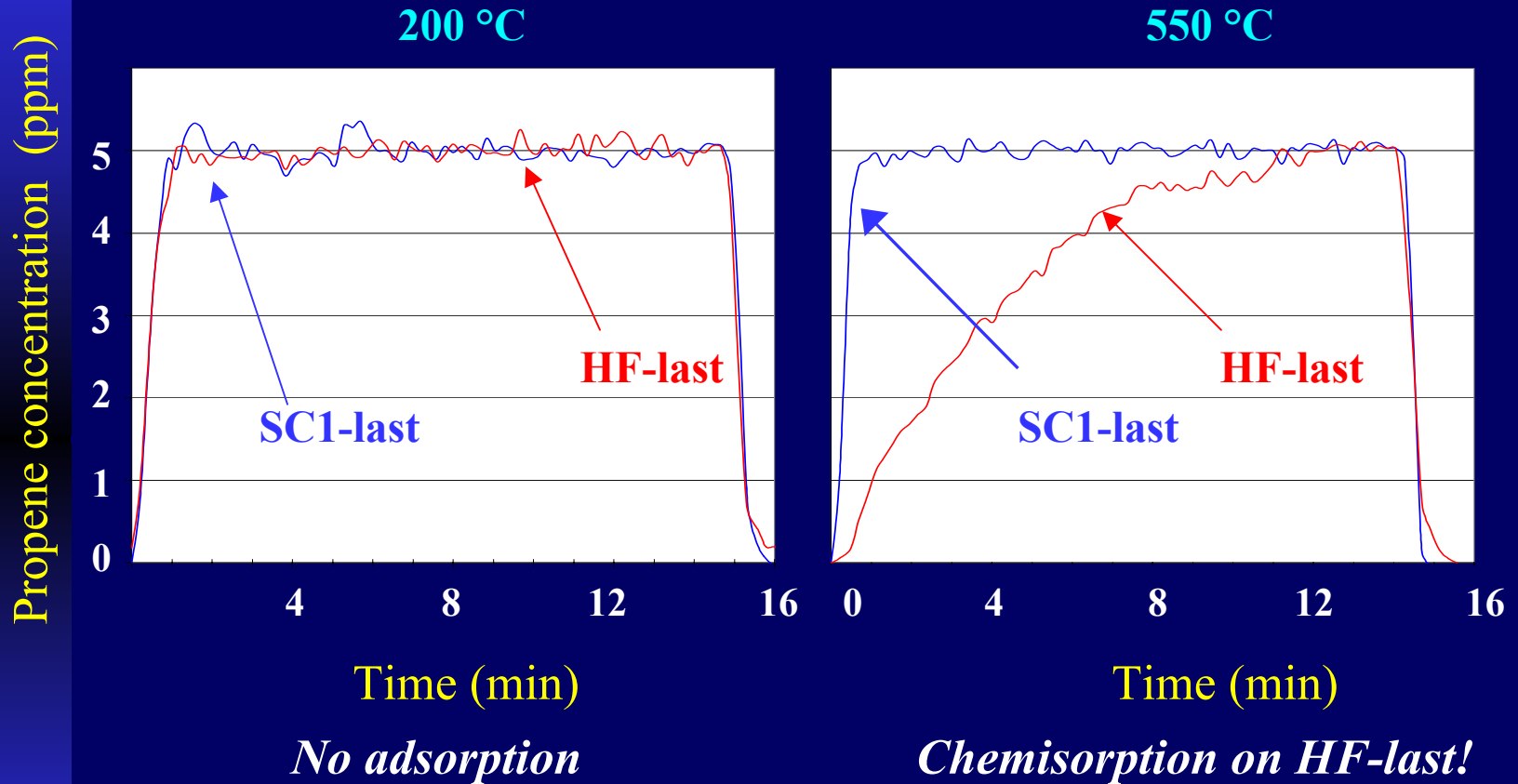


## THERMAL DECOMPOSITION OF IPA

Why did HF-last wafers give bad oxides than SC1-last wafers?

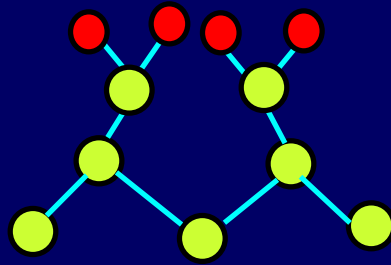
- IPA decomposes above 400 °C.
- Primary product is propene + some acetone.
- Similar decomposition to unsaturated compounds or compounds with double bonds expected for other organics.

# UNSATURATED COMPOUNDS AT HIGH TEMPERATURES



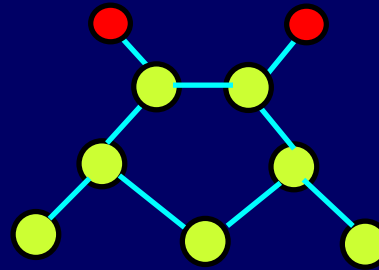
- HF-last adsorbs propene at higher temperatures, but SC1-last does not.

# HYDROGEN TERMINATED SILICON AT HIGH TEMPERATURES



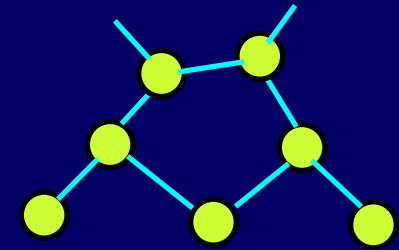
SiH<sub>2</sub> (1x1)

T < 410 °C



SiH (2x1)

410 °C < T < 530 °C

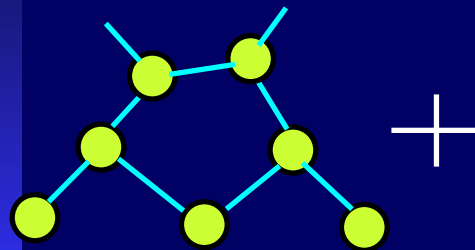


Si (2x1)

530 °C < T

**Si dimer with  
dangling bonds**

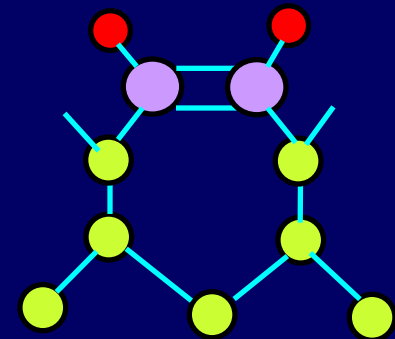
Kasi et. al., Appl. Phys. Lett. 59 (1), p. 108 (1991).



Si(100)- (2x1)



HC≡CH



di-σ bonded organic 19

Yates, Jr. et. al., J. Am. Chem. Soc., 114 (17), p. 6755 (1992).

# MECHANISM FOR INTERACTION OF ORGANICS

## SC1-last surface

Stable oxide film

Passivation loss:  $> 900\text{ }^{\circ}\text{C}$  + highly inert

Polar organics can chemisorb

## HF-last surface

H passivation lost around  $525\text{ }^{\circ}\text{C}$

Si(100)-2x1 created with dangling bonds

Unsaturated molecules can cleave Si-Si bond to chemisorb

Thermal dissociation + loss of H-termination makes HTS more vulnerable<sub>20</sub>

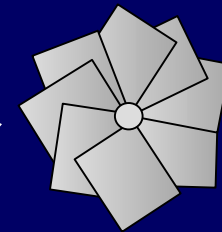
CONTAMINATION OF ALD DEPOSITED  
 $\text{ZrO}_2$  and  $\text{HfO}_2$   
HIGH-K GATE DIELECTRICS

# HIGH-K GATE DIELECTRIC MATERIALS

$\text{HfO}_2$  and  $\text{ZrO}_2$  – leading high-k candidates for replacing  $\text{SiO}_2$  as the gate dielectric.

## Contamination problems

- Airborne contamination of moisture and organics before poly-deposition
- 50 Å films deposited by ALCVD<sup>TM</sup> on double-polished Si wafers

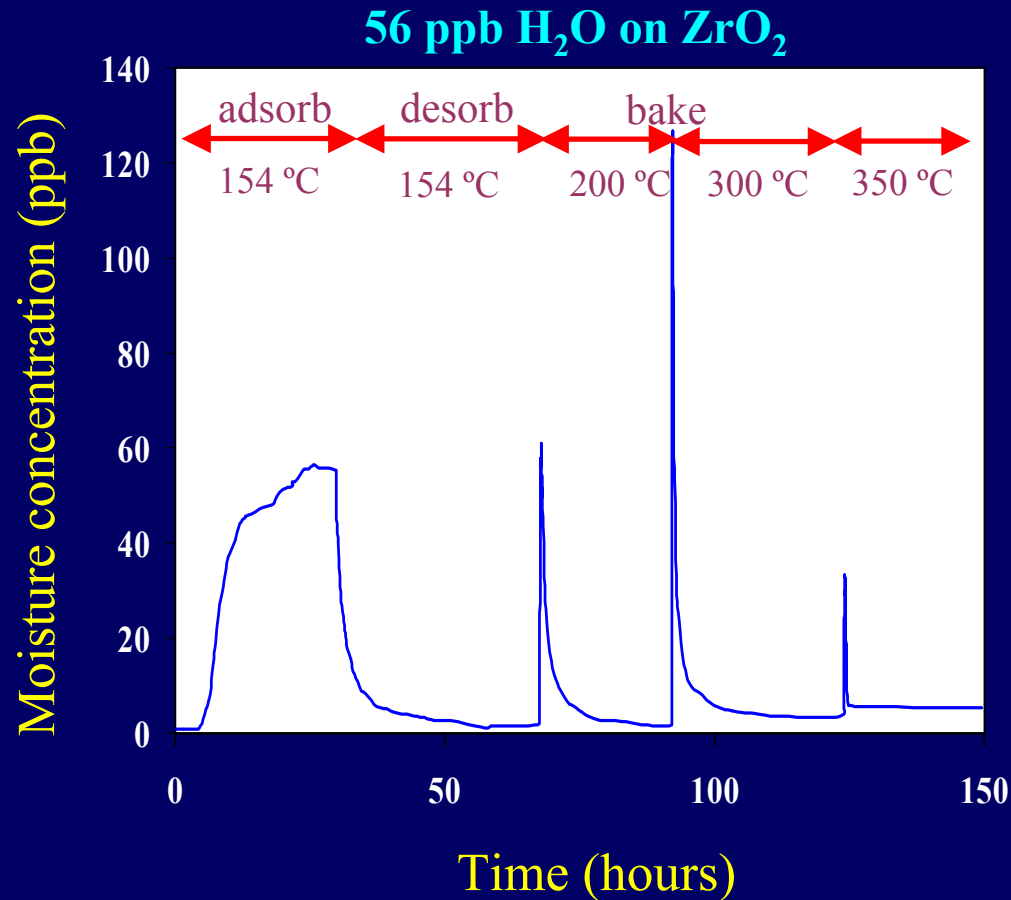


wafer coupons

Pyrex reactor

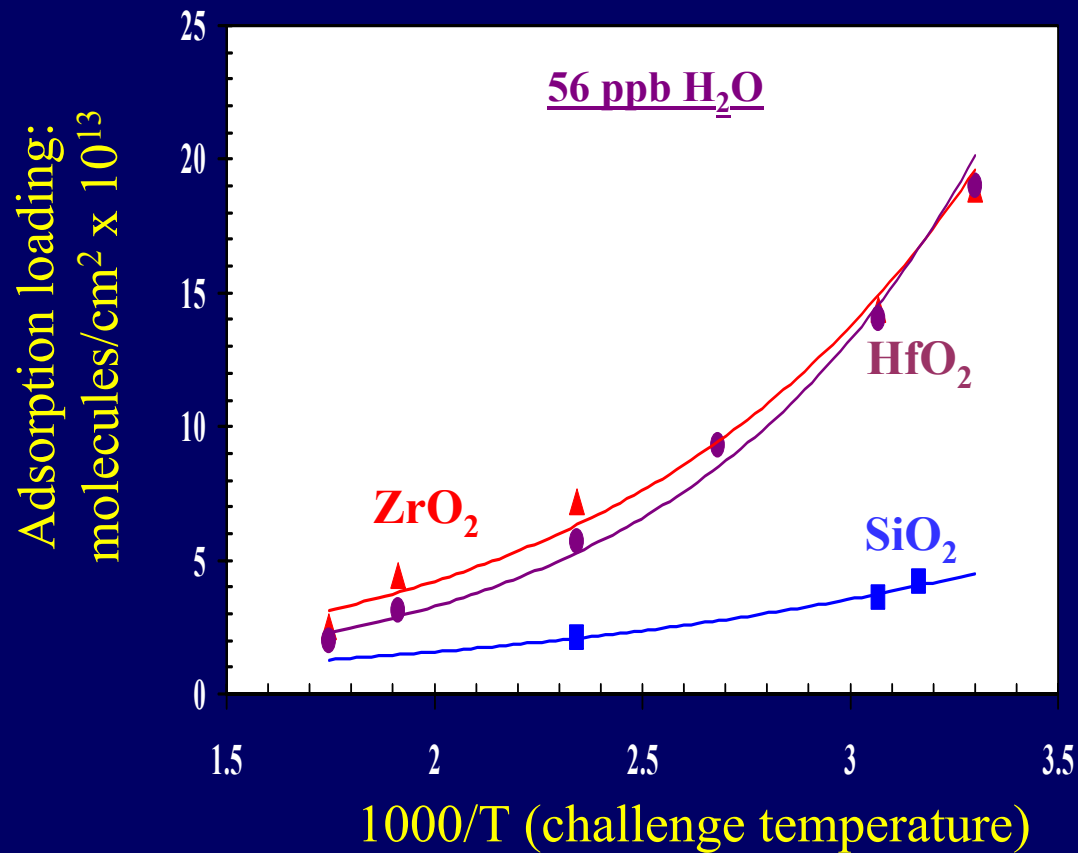
High surface area + efficient gas mixing !

# EXPERIMENTAL PROCEDURE



- Experiments performed on APIMS.
- Equilibrate contaminant at a given temperature, then desorb and bake upto 300 °C.

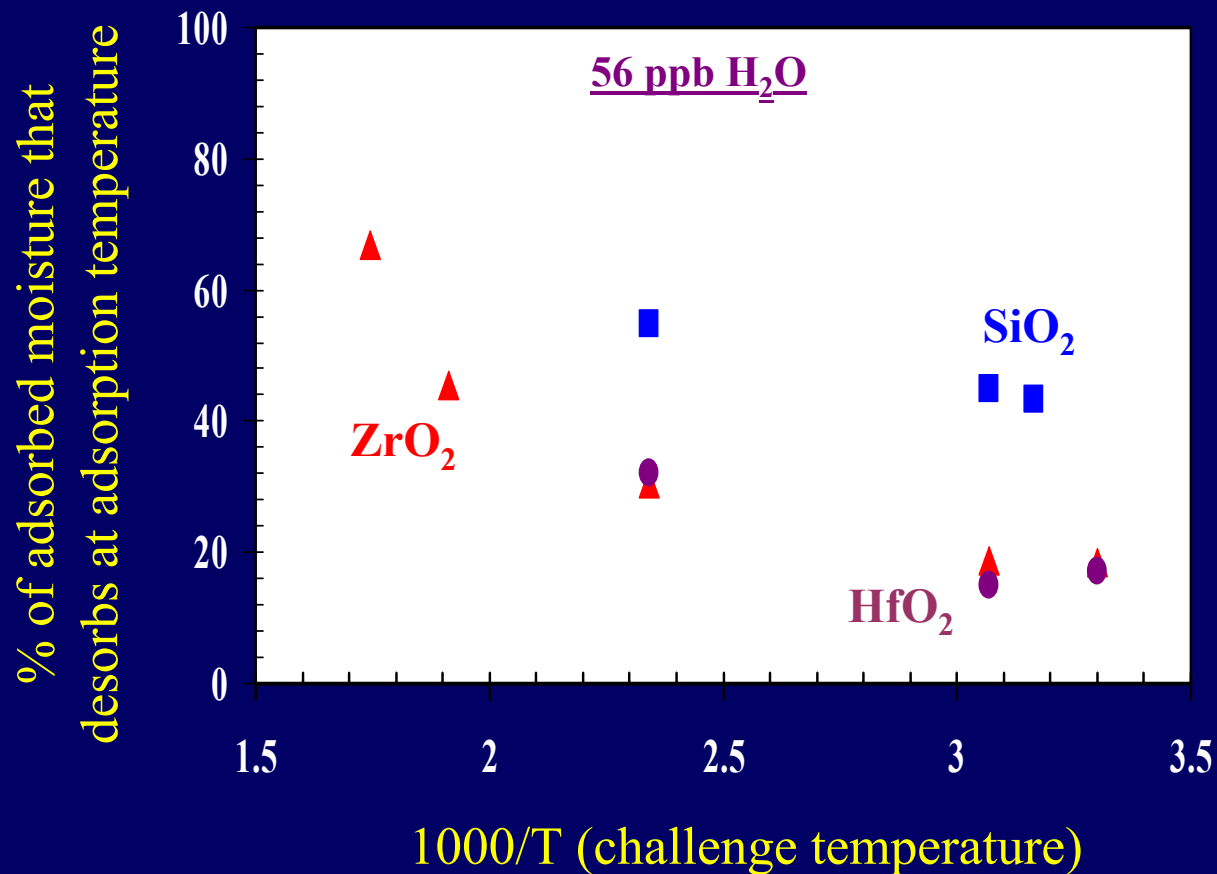
# ENERGETICS OF MOISTURE ADSORPTION



- Higher moisture adsorption on ZrO<sub>2</sub> and HfO<sub>2</sub> than on SiO<sub>2</sub>.
- Adsorption on ZrO<sub>2</sub> and HfO<sub>2</sub> is more temperature sensitive:  
more energetic !

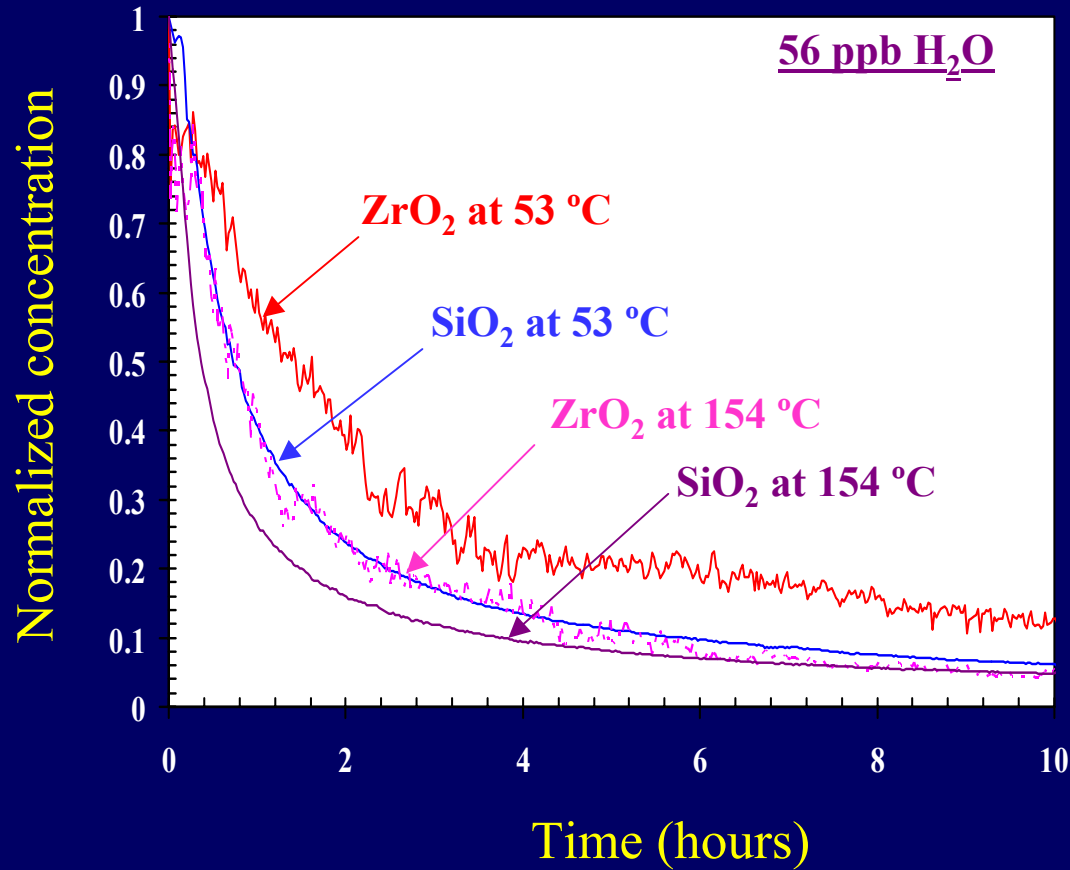


## RELATIVE EASE OF MOISTURE DESORPTION



- Much more difficult to desorb moisture from ZrO<sub>2</sub> and HfO<sub>2</sub> at a given temperature.

# MOISTURE DESORPTION KINETICS



- Desorption of moisture is slower from ZrO<sub>2</sub> as compared to SiO<sub>2</sub>.

# FUNDAMENTALS OF MOISTURE ADSORPTION

- Dissociative chemisorption on both  $\text{SiO}_2$  and  $\text{ZrO}_2$

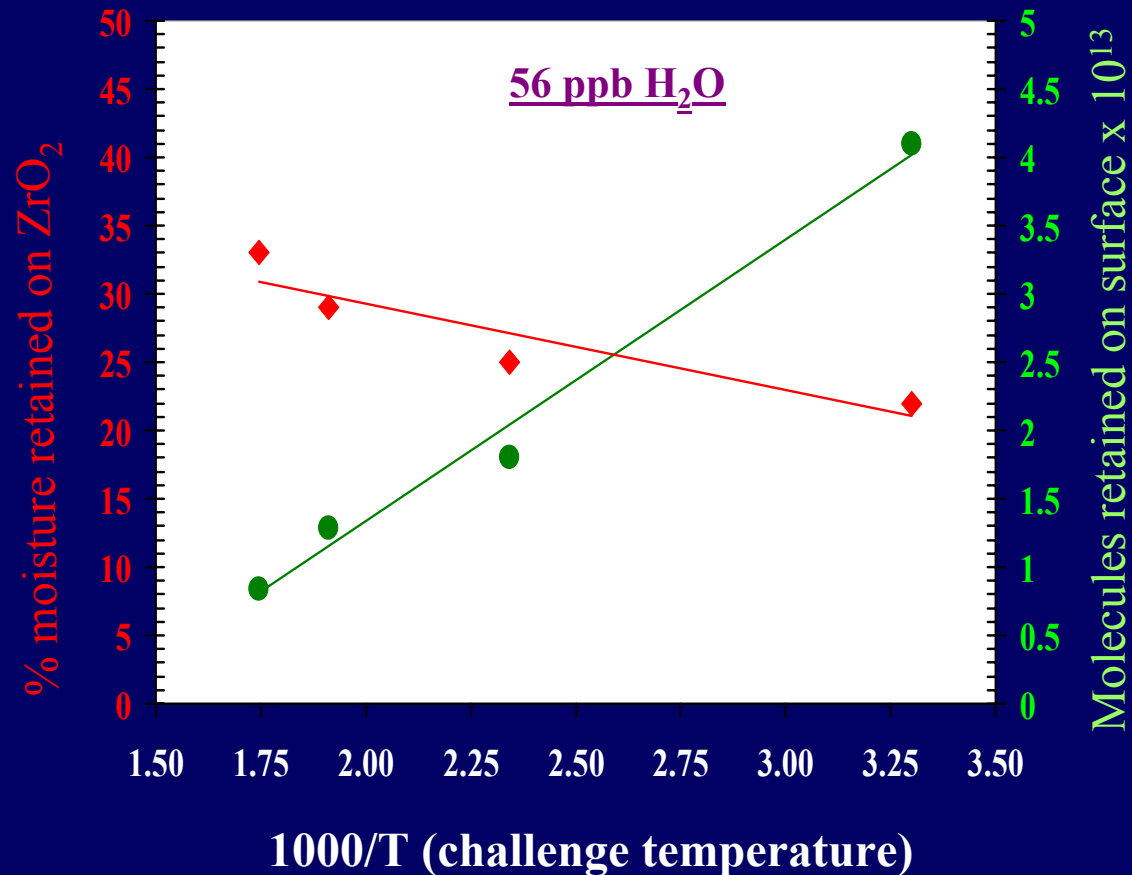
	$\text{SiO}_2$	$\text{ZrO}_2$
$\Delta$ Electronegativity	1.7	2.1
-OH site density	$4.6 \times 10^{14} \text{ cm}^{-2}$	$1.5 \times 10^{15} \text{ cm}^{-2}$ (monoclinic)

- Compare fractional surface coverage L

$$L / (1-L)^2 \propto K_{\text{eqm}}$$

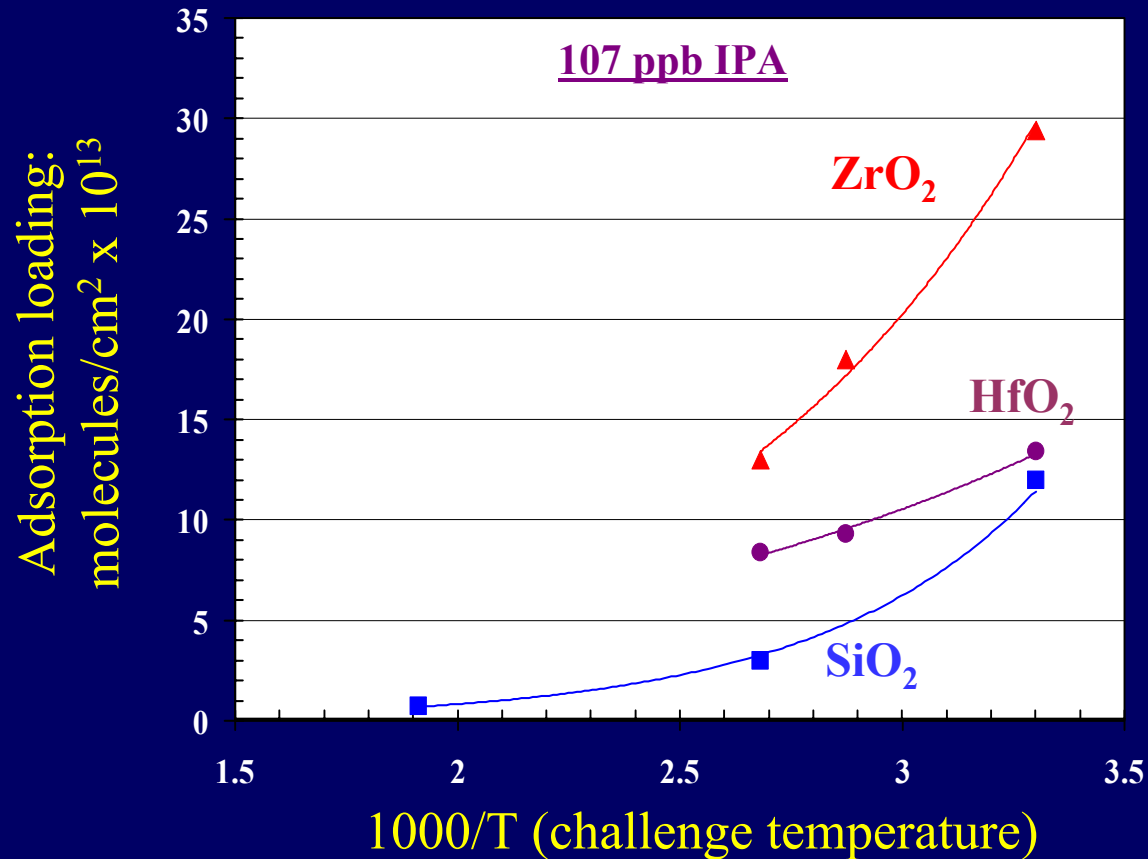
L indeed higher for  $\text{ZrO}_2$  : more favorable adsorption

## MOISTURE RETENTION ON $\text{ZrO}_2$



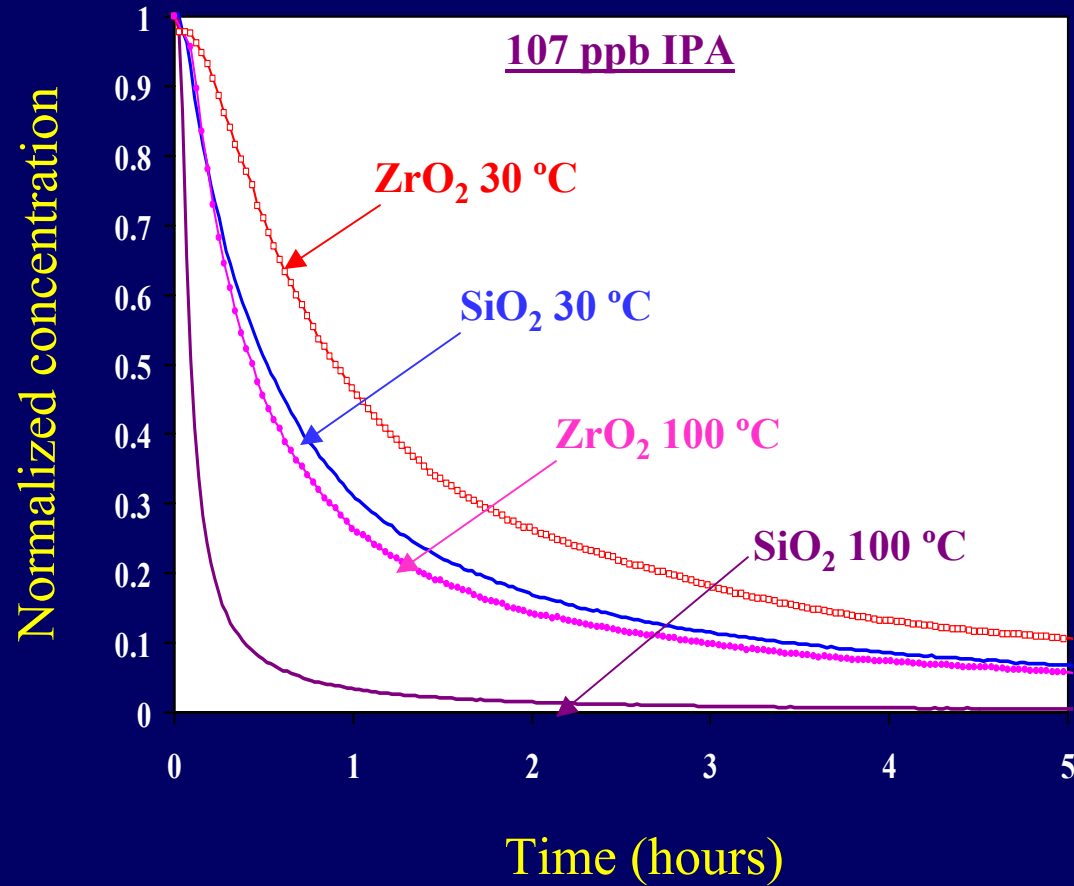
- Moisture retention is negligible on  $\text{SiO}_2$  and  $\text{HfO}_2$  but not so on  $\text{ZrO}_2$ .
- Does moisture desorb from  $\text{ZrO}_2$  above 350 °C ?
  - could form **interfacial oxide** by diffusion at poly-deposition temperatures<sub>28</sub>

# IPA ADSORPTION ON $\text{ZrO}_2$ , $\text{HfO}_2$ AND $\text{SiO}_2$



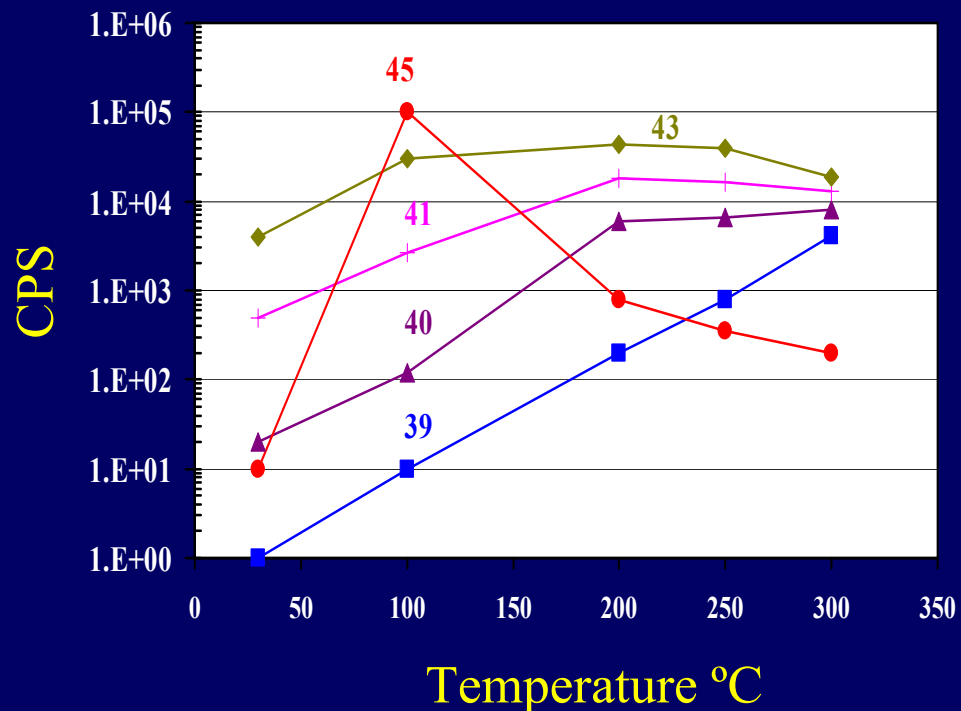
- Adsorption of IPA is highest on  $\text{ZrO}_2$ .
- ITRS calls for  $2.8 \times 10^{13}$  atoms/cm<sup>2</sup> of carbon for the 60 nm node
- ppb level organics enough to adsorb carbon above acceptable levels!

# IPA DESORPTION KINETICS



- Like moisture, desorption of IPA is slower from ZrO<sub>2</sub>.

# THERMAL CONVERSION OF IPA ON ZrO<sub>2</sub> AND HfO<sub>2</sub>

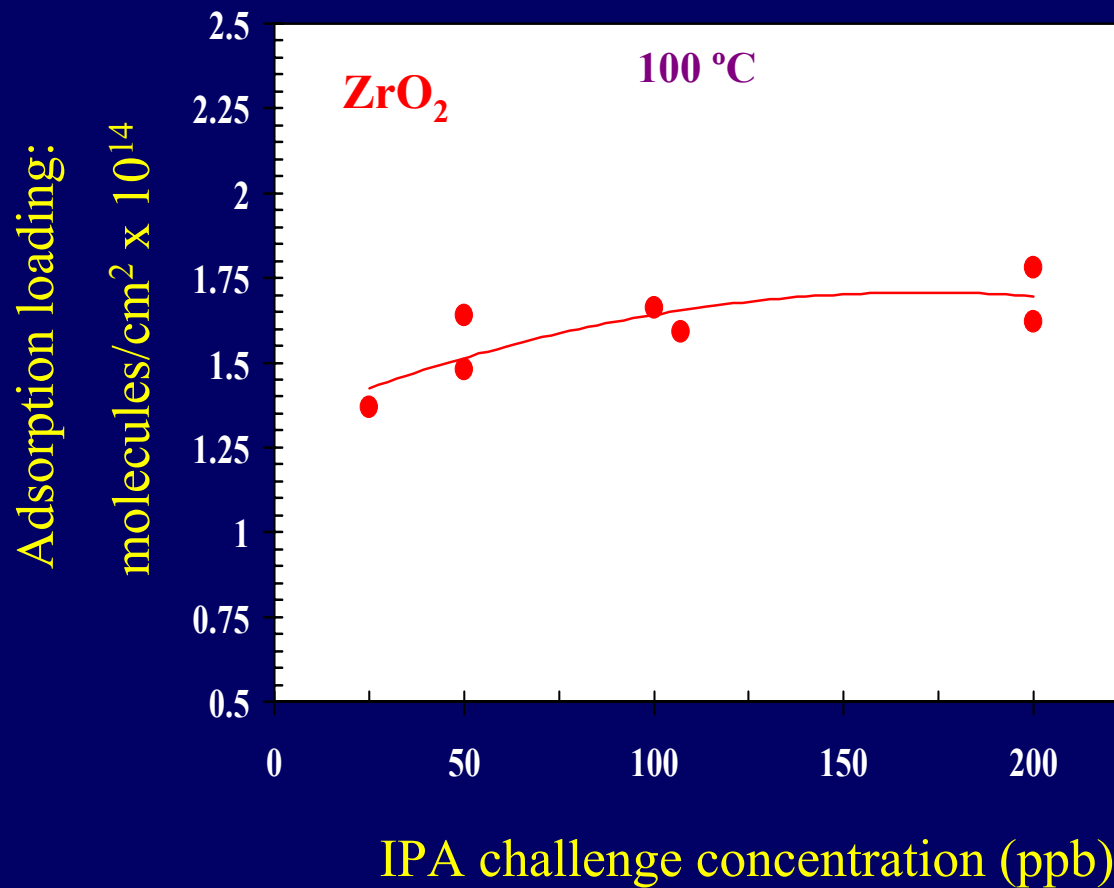


Note: counts at 30 °C are for zero-gas.

All other temperatures are for 100 ppb IPA

- ZrO<sub>2</sub> has a catalytic effect on IPA – same is true for HfO<sub>2</sub>
- Thermal decomposition at temperatures as low as 130 °C
- Main products: propene and acetone
- Above 250 °C, further fragmentation occurs

# EFFECT OF CONCENTRATION ON IPA ADSORPTION LOADING



- Amount of contamination is insensitive to IPA concentration.
- ZrO<sub>2</sub> surface appears to have a saturation limit for IPA in ppb range.



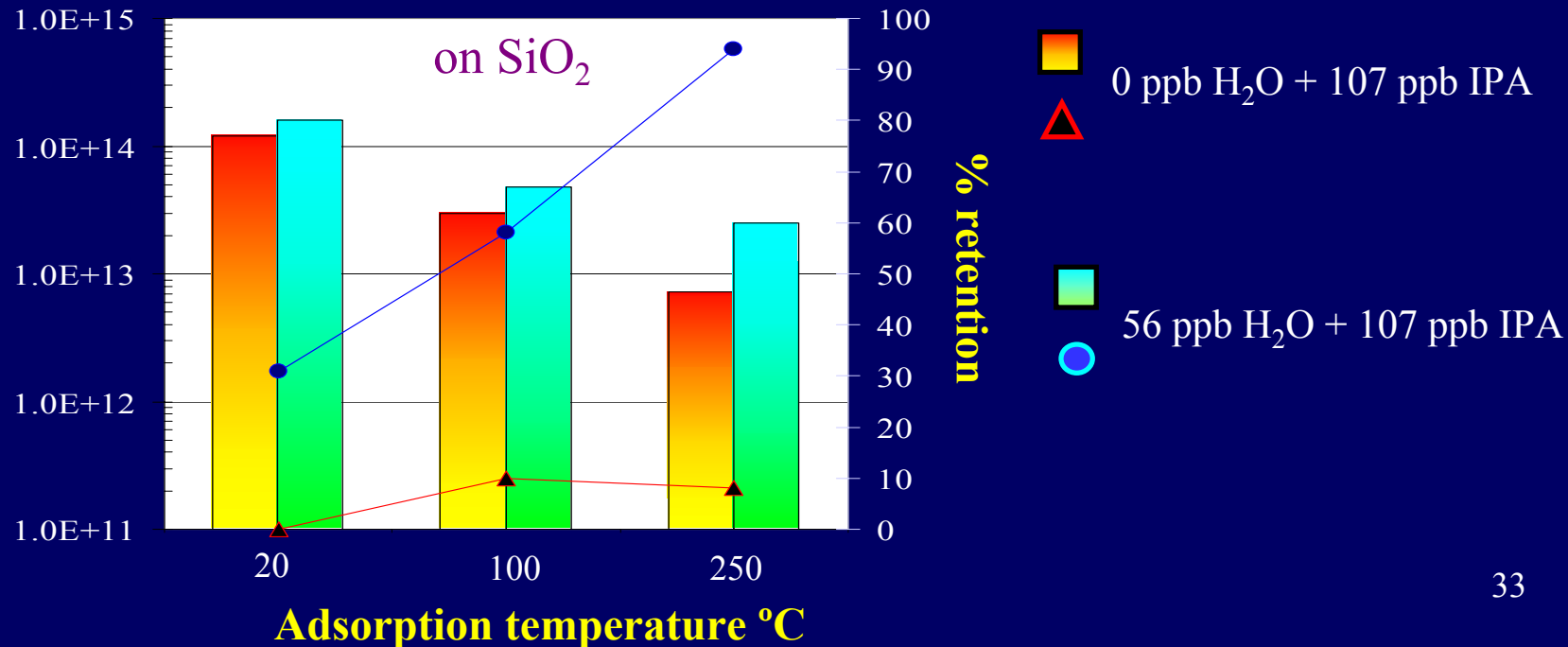
# ROLE OF MOISTURE IN ATTRACTING ORGANICS

- Moisture acts as a glue in attracting polar organics such as IPA, BHT, DOP on  $\text{SiO}_2$  through hydrogen bonding.

N. Kagi et. al., IEST proceedings, p. 569 (1998).

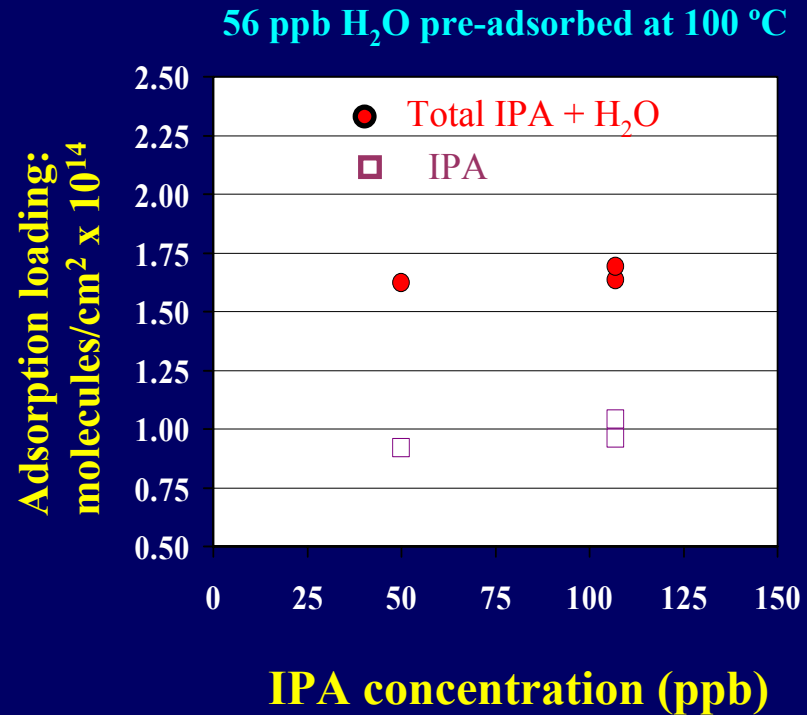
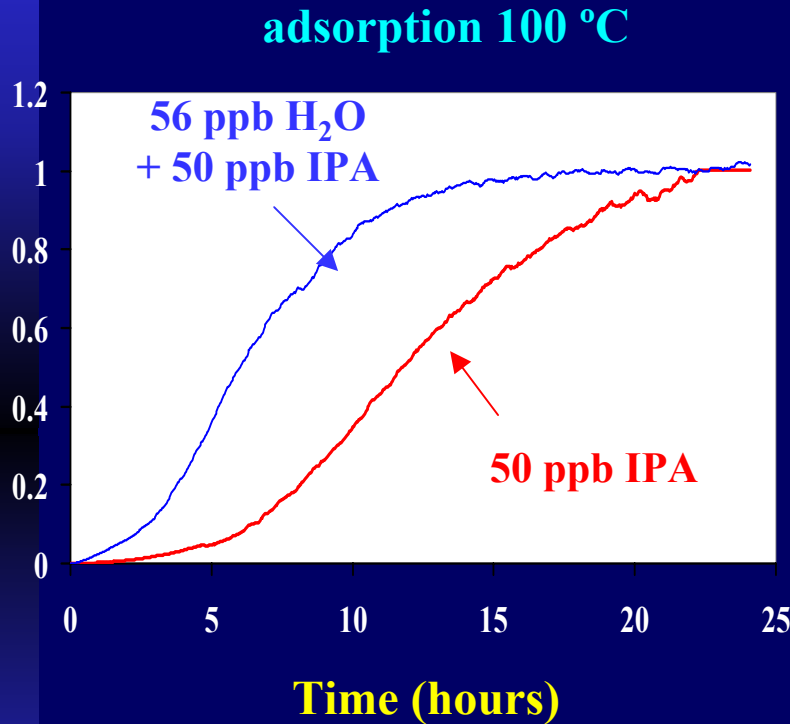
- It leads to chemisorption of IPA at high temperatures through alkoxy formation. Verghese et. al., ECS proceedings, PV 98-6, p. 112 (1999).

IPA loading: molecules/cm<sup>2</sup>



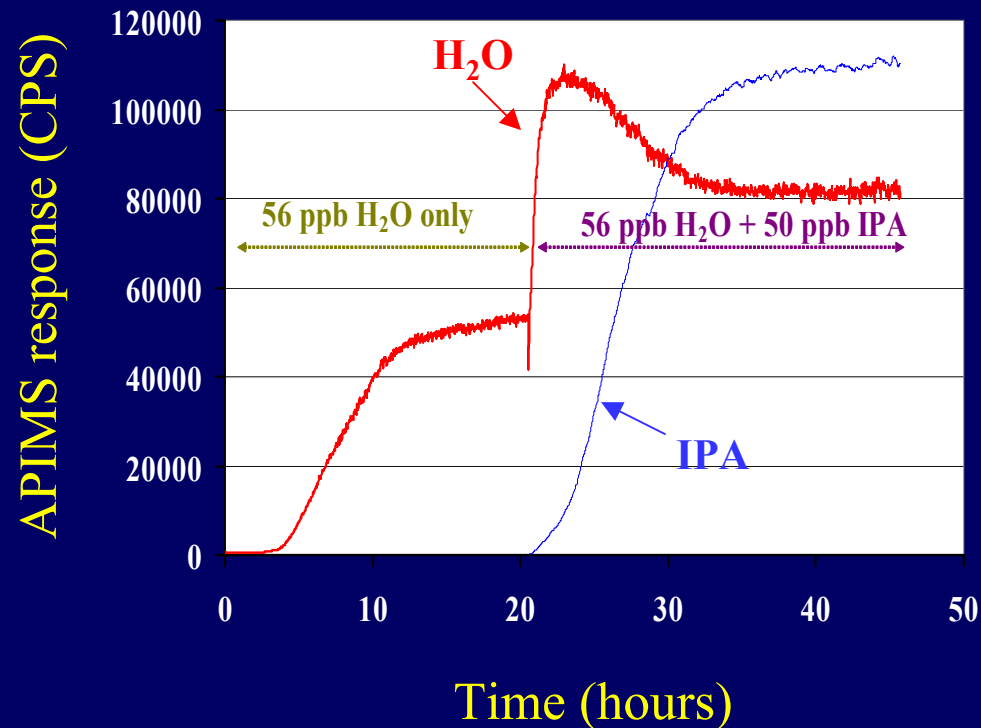
# EFFECT OF HYDROXYLATION ON IPA ADSORPTION ON $ZrO_2$

Dimensionless IPA concentration



- Hydroxylation by pre-adsorbed moisture reduces IPA adsorption on  $ZrO_2$  vs. an increase in IPA adsorption on  $SiO_2$  !
- Total coverage of  $H_2O + IPA$  tends to reach the saturation limit.

# IPA ADSORPTION ON HYDROXYLATED $ZrO_2$



- Moisture gets released upon IPA challenge.
- This could be water formed through either alkoxy formation or competitive adsorption of IPA and water.

## FUTURE WORK

### ZrO<sub>2</sub> and HfO<sub>2</sub>

- High concentration H<sub>2</sub>O and organics exposure
- Can moisture be removed from ZrO<sub>2</sub> above 400 °C ?
- Effect of contamination on electrical performance of high-k films

## CONCLUSIONS

- **Interfacial contamination** due to organics can be **detrimental** to ultra-thin gate dielectrics.
- **Processing conditions** play an important role in oxidation/ incorporation of organics in silicon.
- A **mechanism for organic retention** in silicon oxide was **proposed**.
- Moisture and IPA **contamination of high-k candidates**  $\text{ZrO}_2$  and  $\text{HfO}_2$  is **more severe** than that on  $\text{SiO}_2$ .
- Upto 350 °C, **moisture does not completely desorb** from  $\text{ZrO}_2$  – can be problematic.
- $\text{ZrO}_2$  and  $\text{HfO}_2$  have a **catalytic role** in dissociation of IPA.
- **Moisture** pre-adsorption **enhances adsorption of polar organics on  $\text{SiO}_2$** , studies with other organics are required to establish the effect on  $\text{ZrO}_2$ .

# ACKNOWLEDGEMENTS

- SRC
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