

# Evaluation and Modeling of the Effect of Novel Pad Grooves on Copper CMP

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January 25, 2007



**NSF/SRC ERC for Environmentally Benign  
Semiconductor Manufacturing**

# Grooved Pads in CMP

## Grooves in CMP pads:

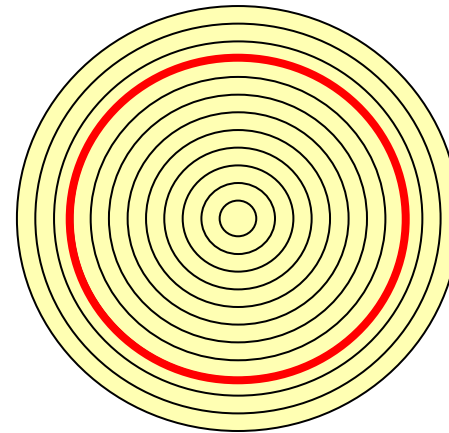
Prevent hydroplaning

Ensure uniform slurry distribution across the pad and into the wafer-pad interface

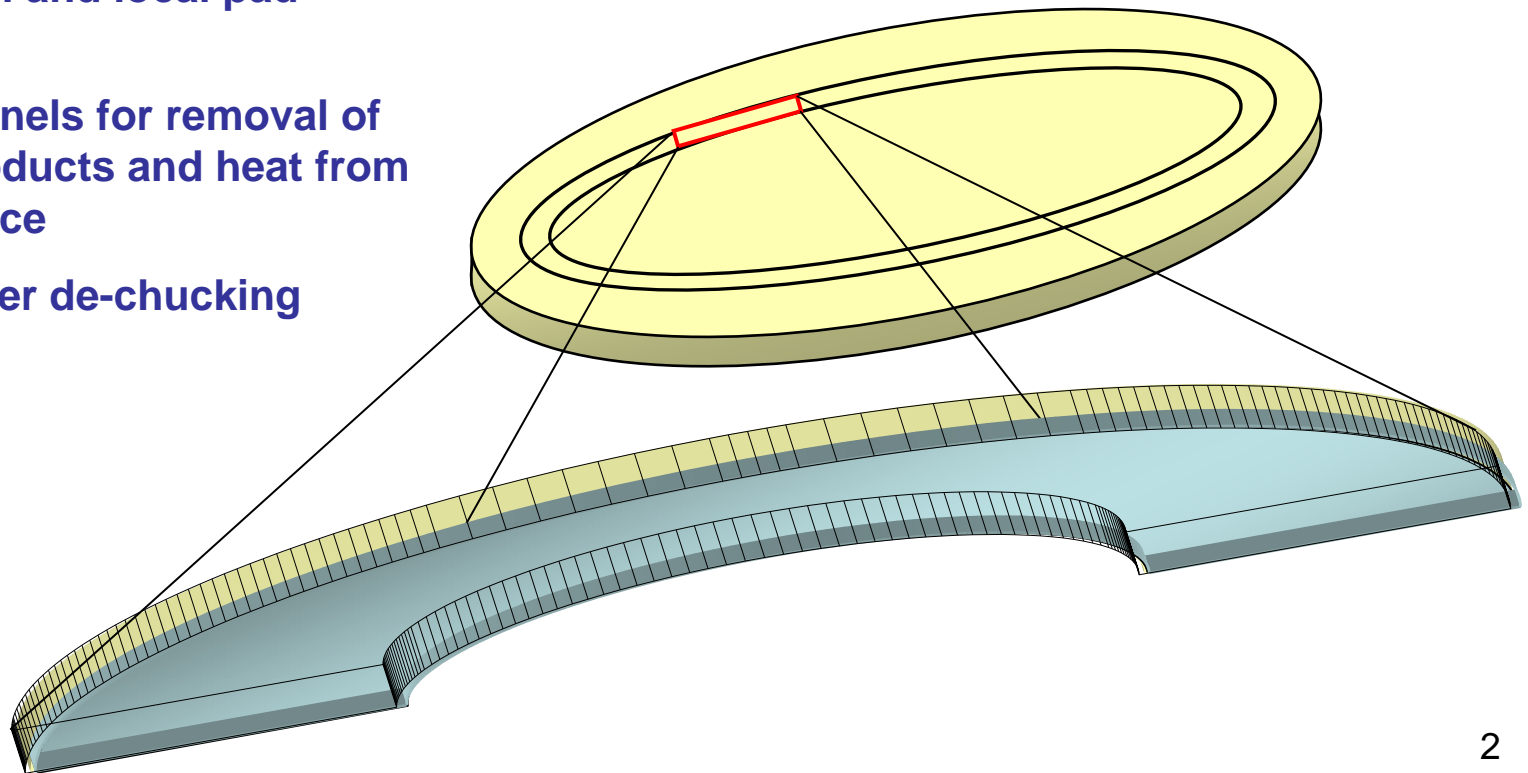
Adjust overall and local pad stiffness

Provide channels for removal of polish by-products and heat from the pad surface

Allow for wafer de-chucking



Concentric Grooves



# Outline

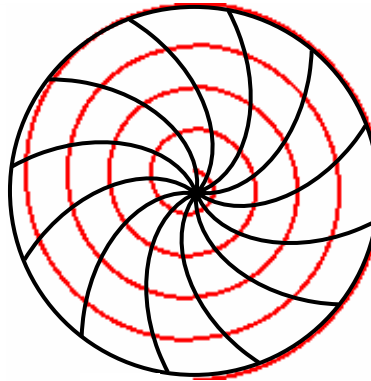
- **Grooves Design (and experimental conditions)**
  - Logarithmic-Spiral
  - Concentric Slanted
- **Experimental Results**
  - Logarithmic-Spiral
    - RR and average pad temperature
    - Average COF
    - Statistical comparison among different pad grooves
  - Concentric Slanted
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- **3-Step Model Development**
  - Driving Force
  - Passive Film Formation and Dissolution
- **3-Step Model Evaluation and Application**

# Logarithmic-Spiral Grooves

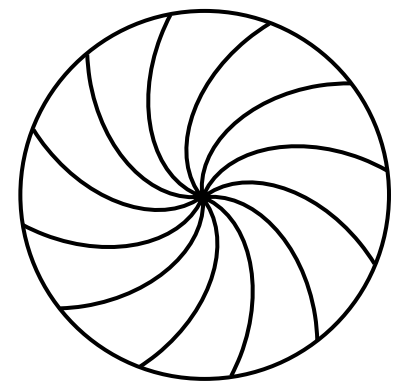
Spiral (+)



Logarithmic (+) Spiral (-)



Logarithmic (-)



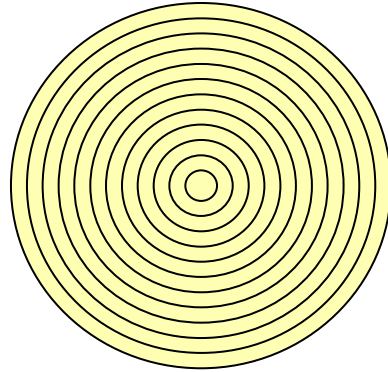
## Basic Idea ...

**Positive Log. and Spiral Grooves** Transport fresh slurry into the pad – wafer interface

**Negative Log. and Spiral Grooves** Discharge spent slurry and by – products away from the pad – wafer interface

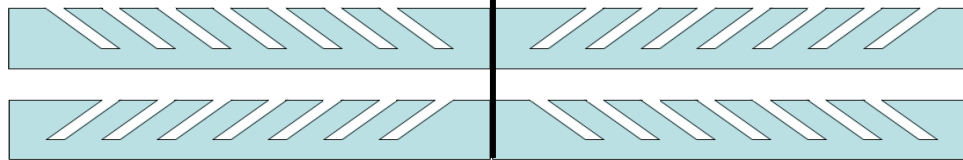
Wafer and pad (i.e grooves) rotate in the **counter-clockwise** direction

# Concentric Slanted Grooves



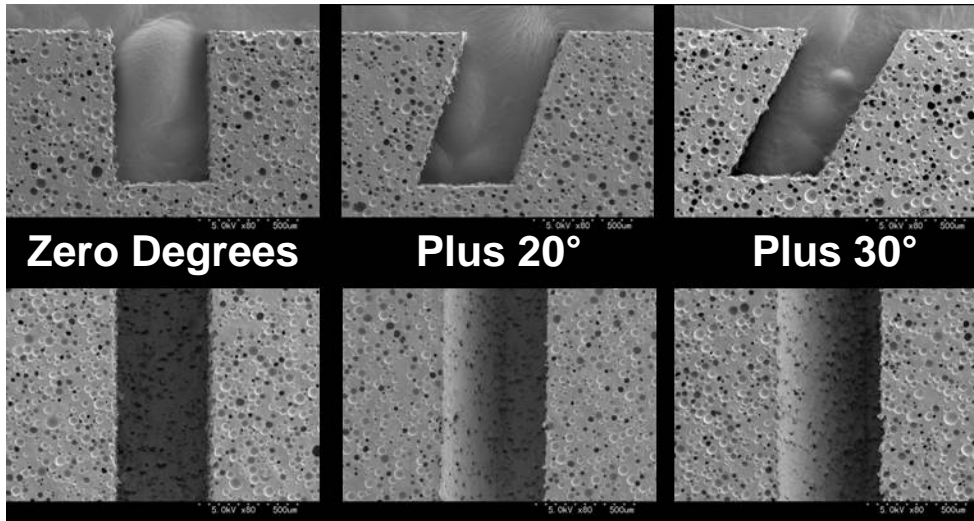
Wafer and pad (i.e grooves) rotate in the **counter-clockwise** direction

Center of the pad



**Positive** Direction

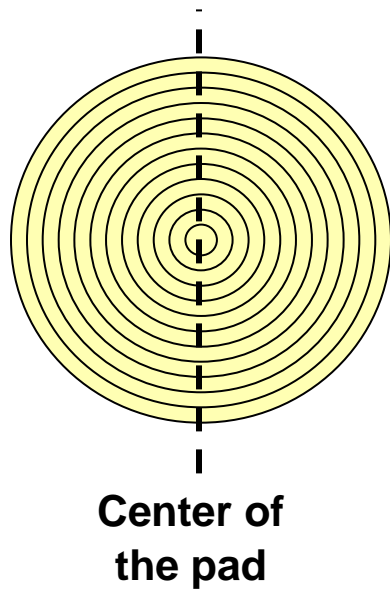
**Negative** Direction



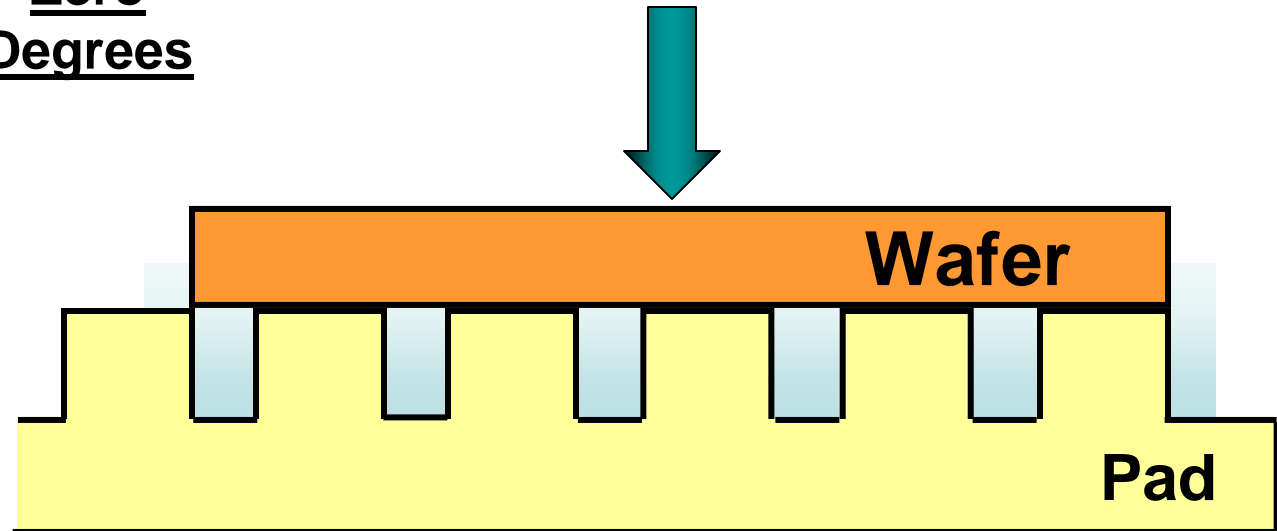
Side View

Top View

# Concentric Slanted Grooves

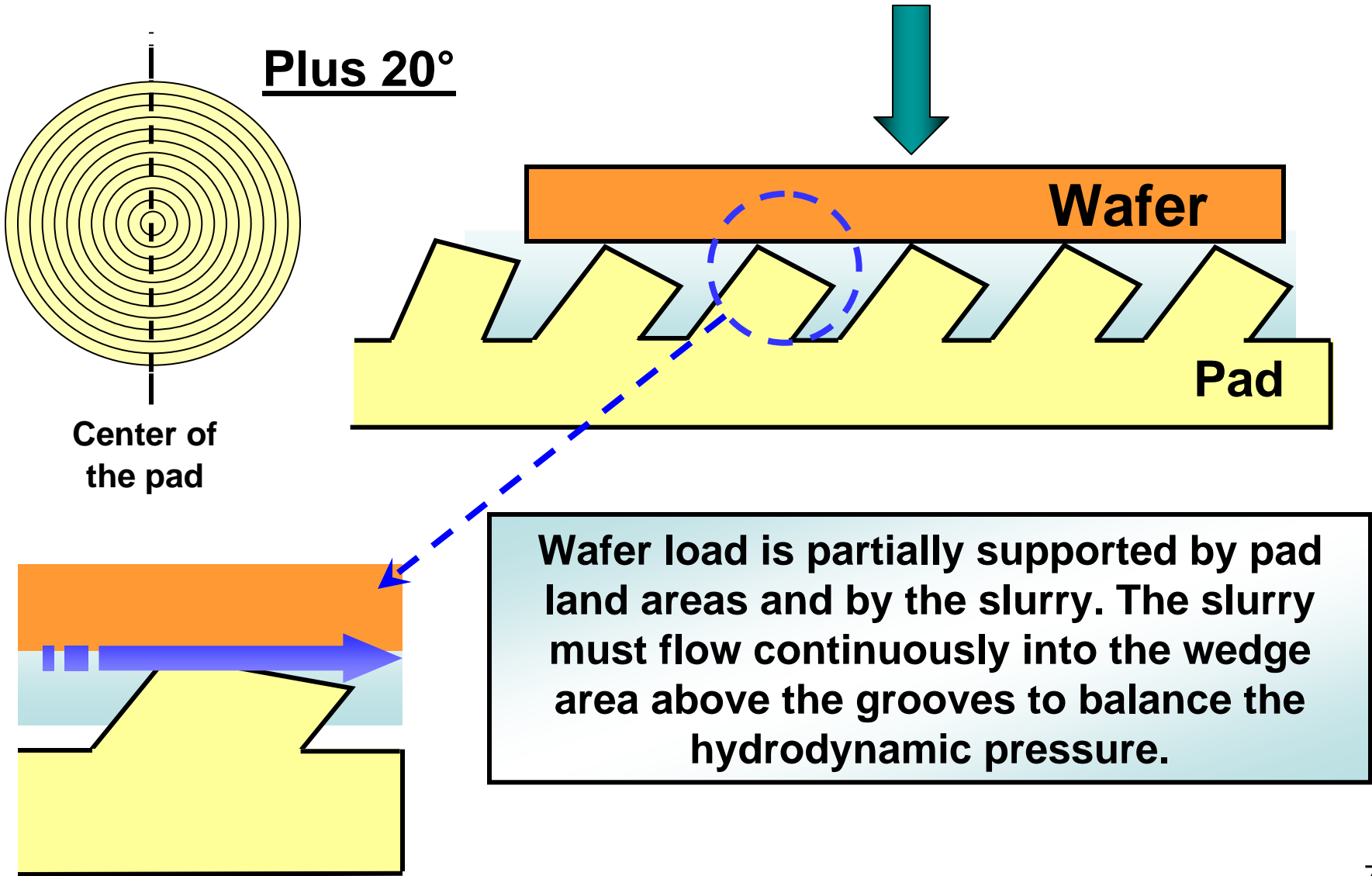


Zero  
Degrees



Wafer load is mostly supported by pad land areas. Slurry is confined to the inside of the grooves.

# Concentric Slanted Grooves



# Experimental Conditions

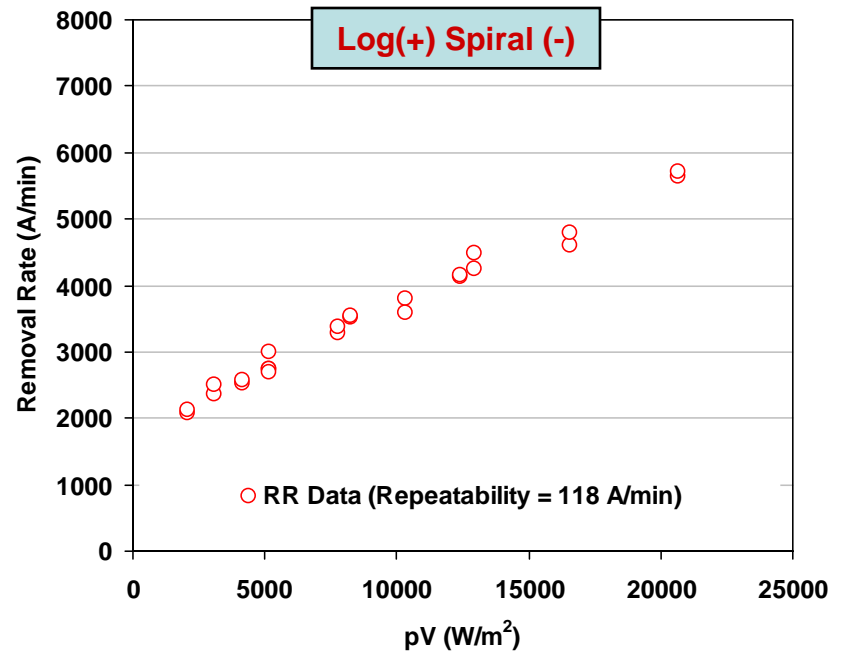
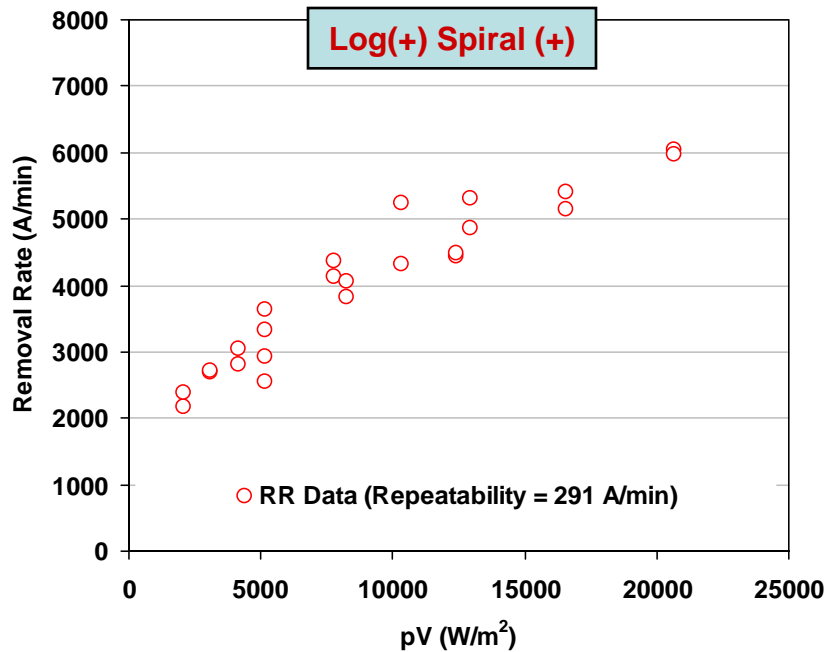
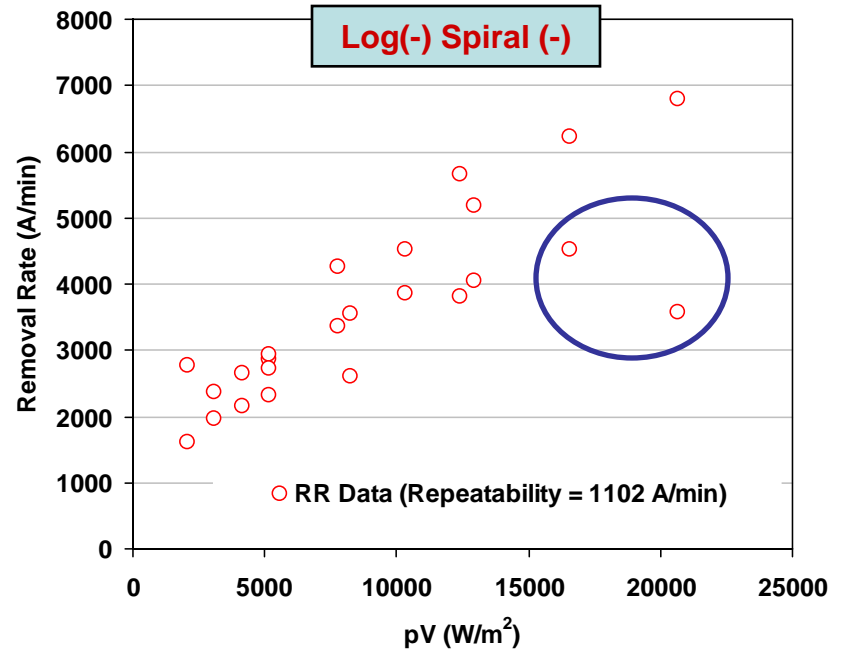
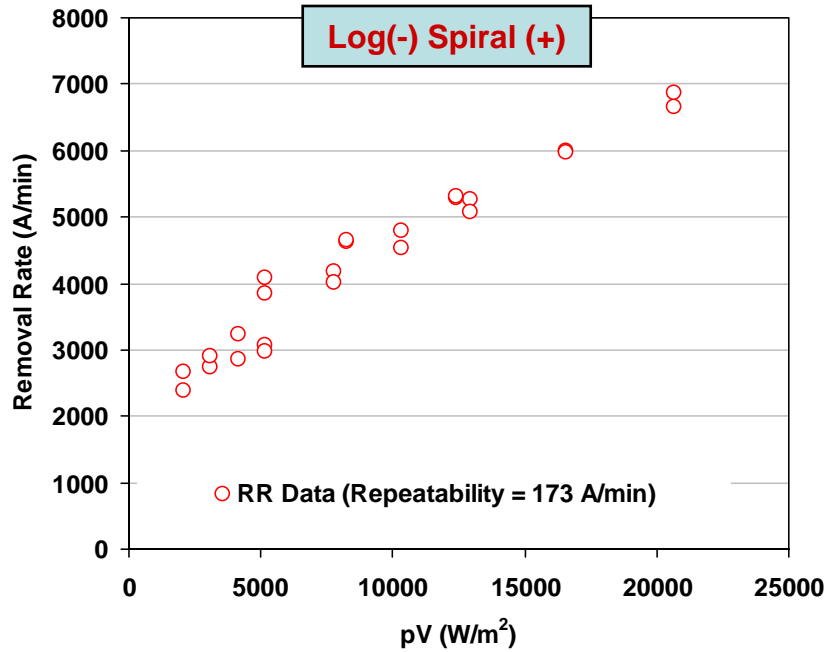
- Constants:
  - **Conditioning**
    - 100 grit diamond disc (TBW)
    - 30 min with UPW at 30 rpm disc speed and 20 per min sweep frequency
  - **Break-in**
    - 5 dummy Discs (Cu) with Fujimi PL-7102
  - **Slurry**
    - Fujimi PL-7102
    - 220 cc per minute
  - **Wafers**
    - 200-mm Cu wafers
- Variables:
  - **Relative pad-wafer velocity (m/s)**
    - 0.30
    - 0.75
    - 1.20
  - **Wafer pressure (PSI)**
    - 1.0 (6894 Pa)
    - 1.5 (10300 Pa)
    - 2.0 (13780 Pa)
    - 2.5 (17200 Pa)
  - **Pad groove design**
    - Concentric
    - Logarithmic Spiral
- Variables:
  - **Relative pad-wafer velocity (m/s)**
    - 0.30
    - 0.75
    - 1.20
  - **Wafer pressure (PSI)**
    - 1.0 (6894 Pa)
    - 2.0 (13780 Pa)
    - 3.0 (20,684 Pa)
  - **Pad groove design**
    - Concentric
    - Concentric Slanted



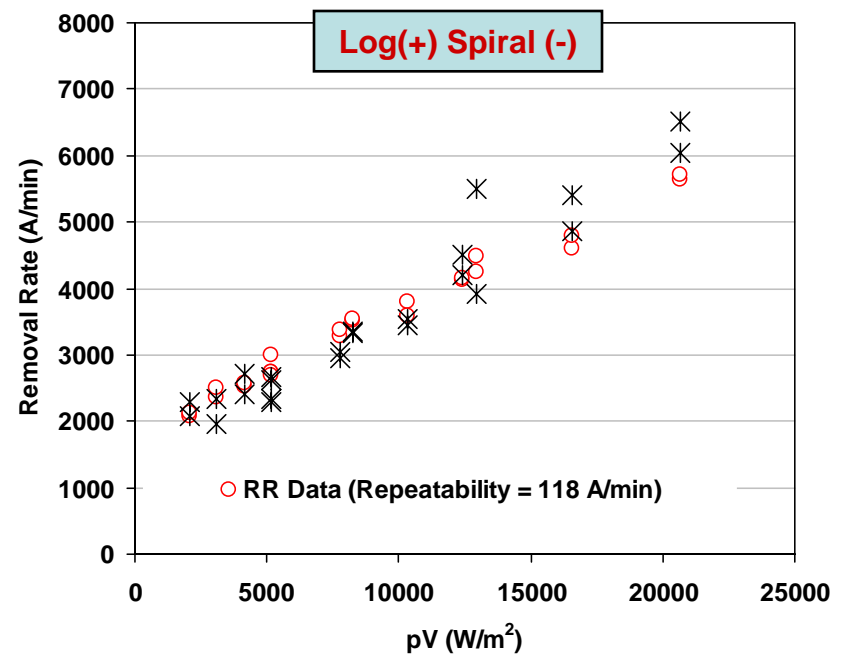
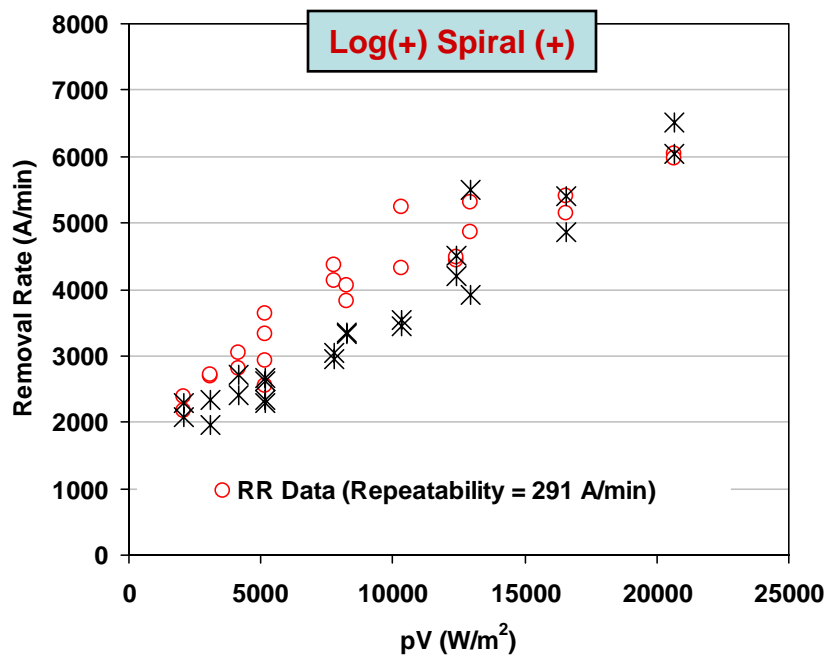
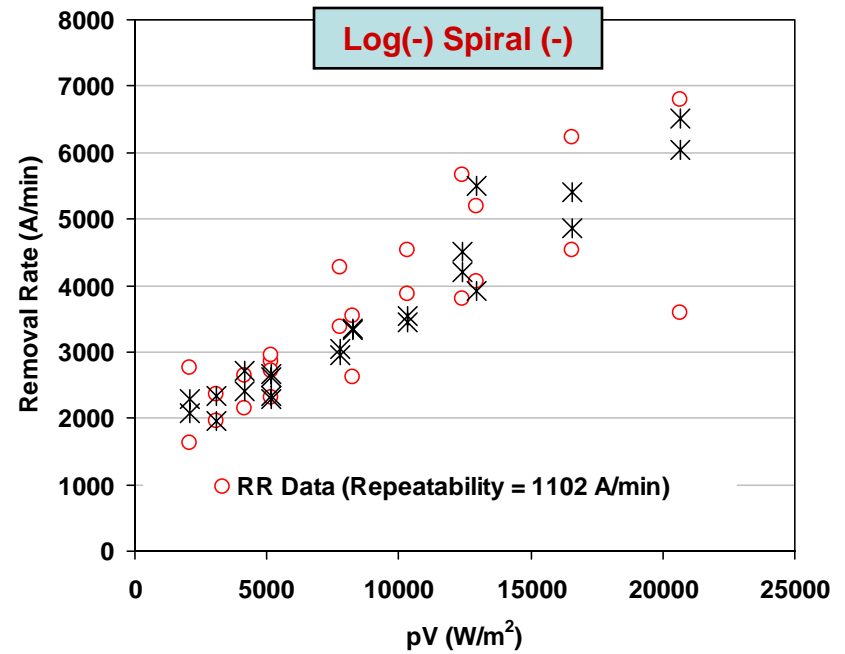
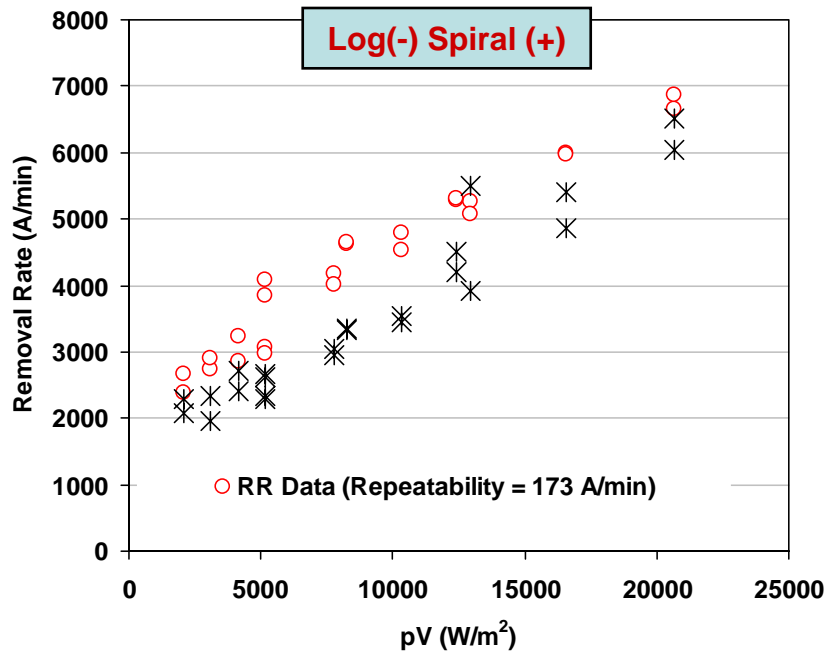
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- **Grooves Design (and experimental conditions)**
  - **Logarithmic-Spiral**
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    - **RR and average pad temperature**
    - **Average COF**
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  - **Driving Force**
  - **Passive Film Formation and Dissolution**
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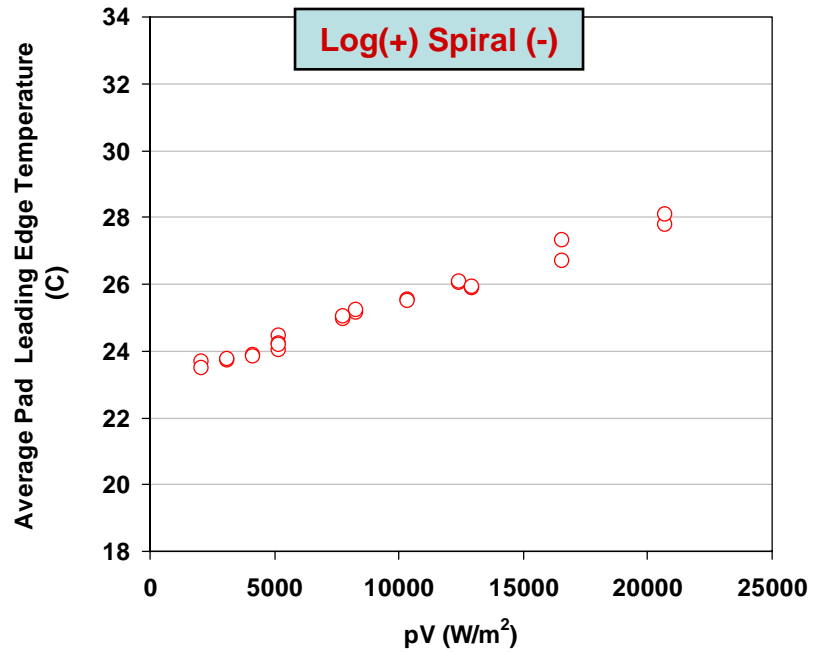
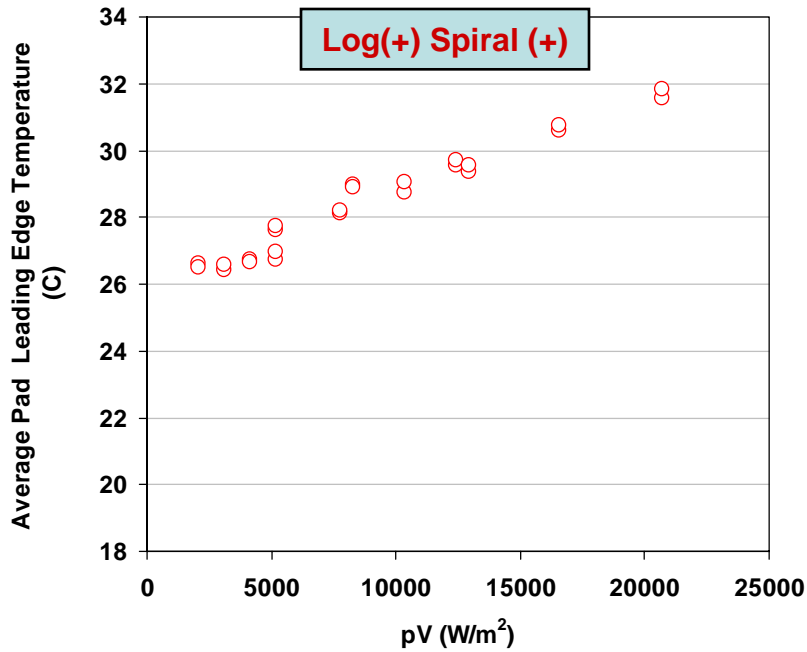
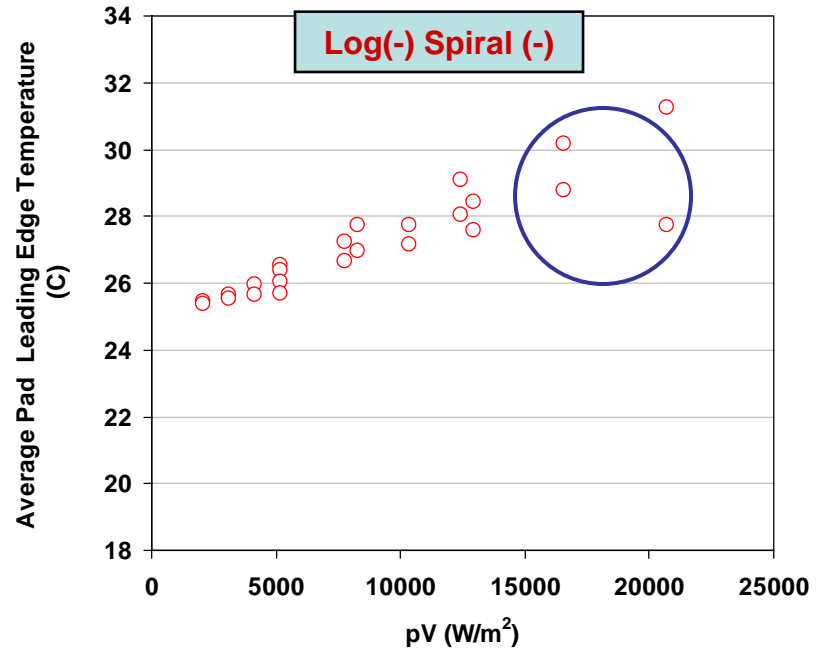
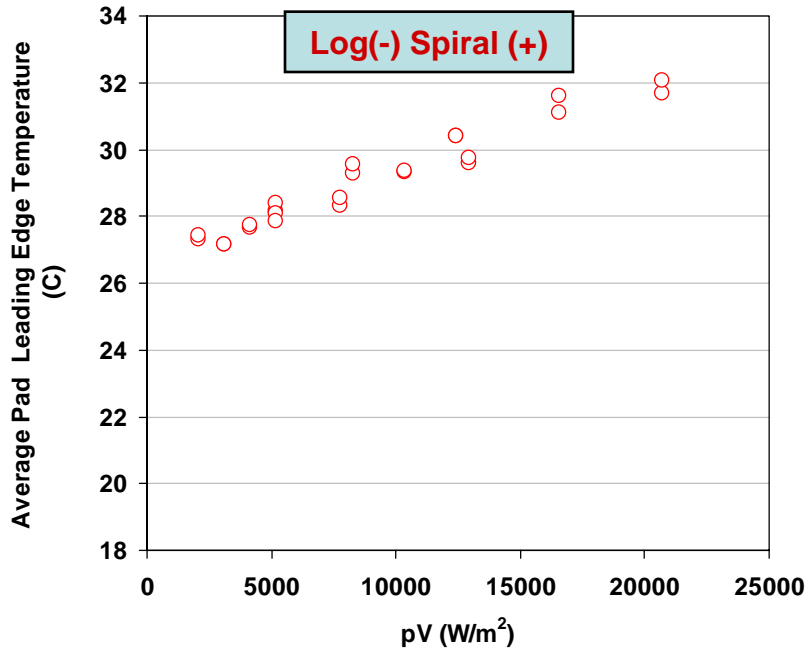
# RR ... Logarithmic-Spiral Grooves



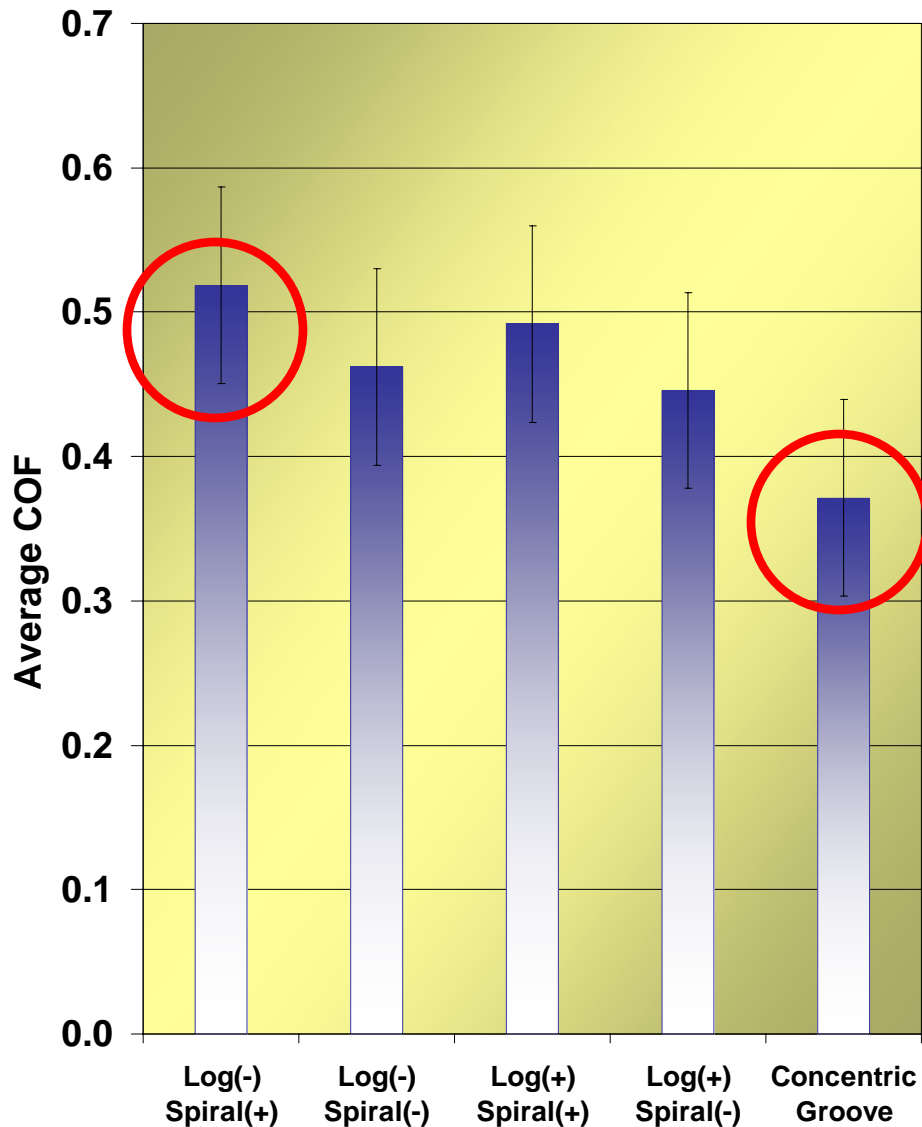
# RR ... Logarithmic-Spiral Grooves



# Average Pad Leading Edge Temperature ... Logarithmic-Spiral Grooves



# Average COF and Shear Force... Logarithmic-Spiral Grooves



$$COF_{avg} = \frac{\overline{F}_{Shear}}{F_{Normal}}$$

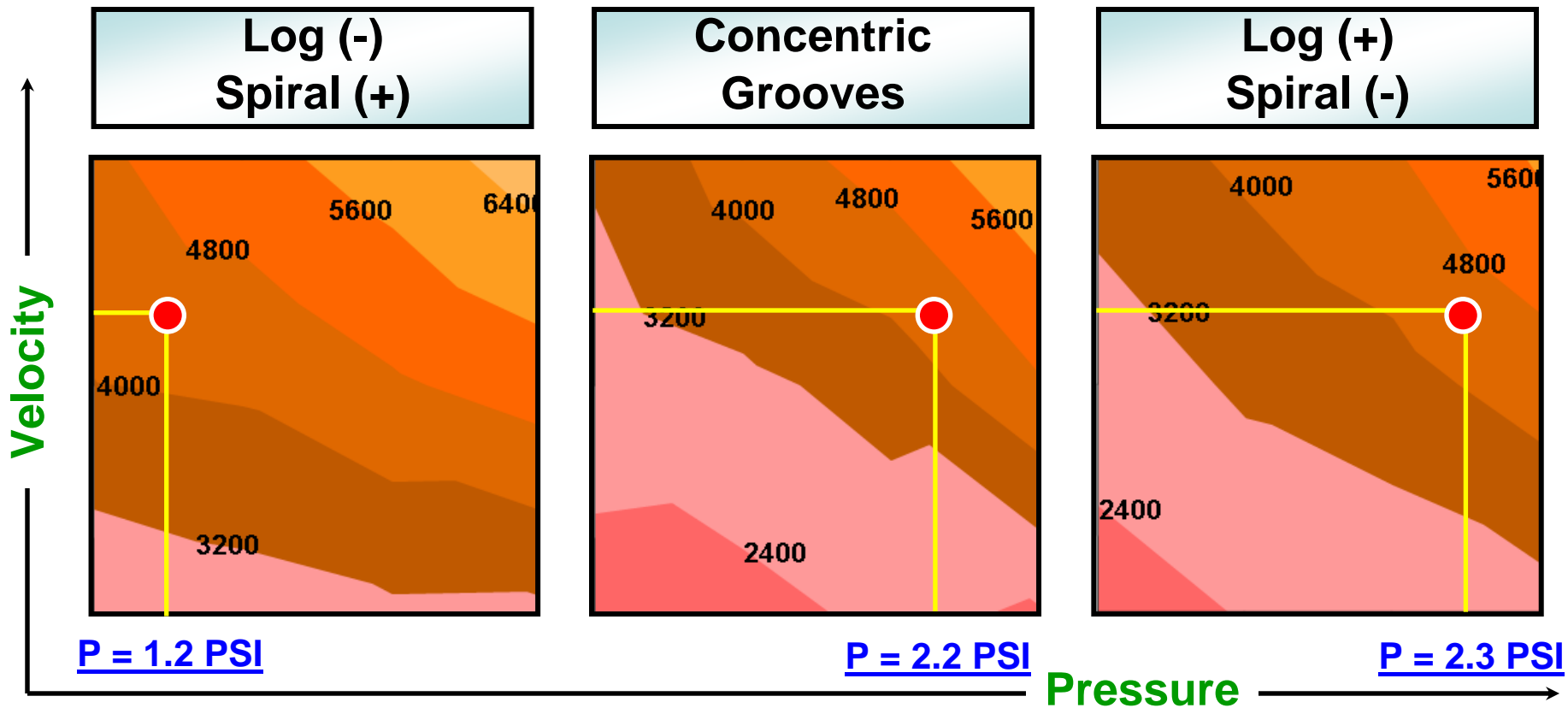
$$\overline{F}_{Shear} = COF_{avg} \times F_{Normal}$$

$$F_{Normal} \propto P_w$$

$$\overline{F}_{Shear} \propto COF_{avg} \cdot P_w$$

Log (-) Spiral (+)	Concentric Groove
$COF_{avg} = 0.52$	$COF_{avg} = 0.37$
$P_w = 1.0 \text{ psi}$	$P_w = 1.0 \text{ psi}$
$F_{shear} = 26 \text{ Lbf}$	$F_{shear} = 18.6 \text{ Lbf}$

# Decoupling the effect of p and V on RR



**At a given RR, the Log (-) Spiral (+) is less dependent on P (at constant V)**  
This is an advantage in polishing ULK materials where low pressures are required  
In addition to increase pad life by reducing the applied pressure

# Statistical Analysis (Wilcoxon Signed Rank Test) Logarithmic-Spiral Grooves

	Higher Rank = 1	Rank = 2	Lower Rank = 3
Removal Rate	Log (-) Spiral (+)	Log (-) Spiral (-) and Log (+) Spiral (+)	Log (+) Spiral (-) and Concentric Groove
Avg. Pad Leading Temperature	Log (-) Spiral (+) and Log (+) Spiral (+)	Log (-) Spiral (-)	Log (+) Spiral (-) and Concentric Groove

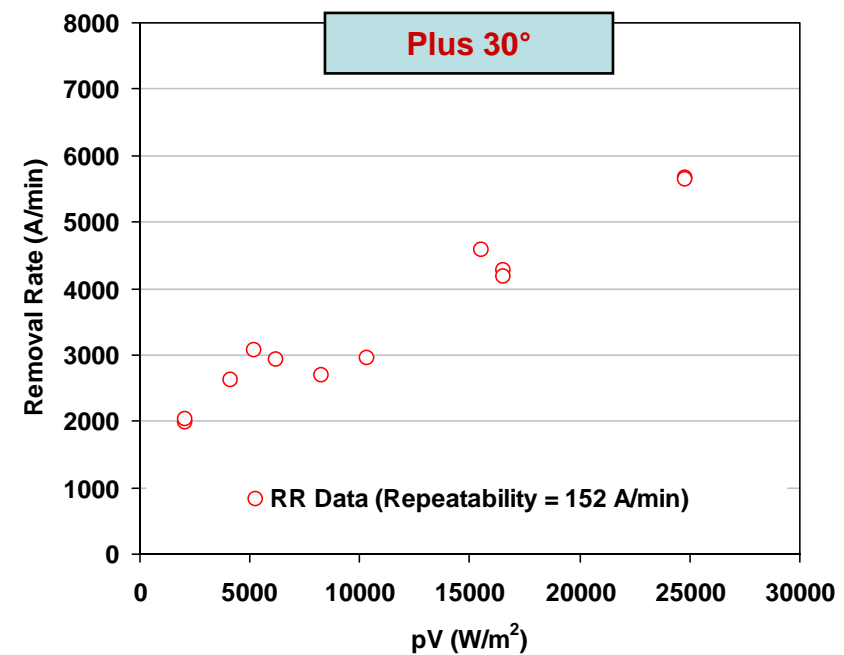
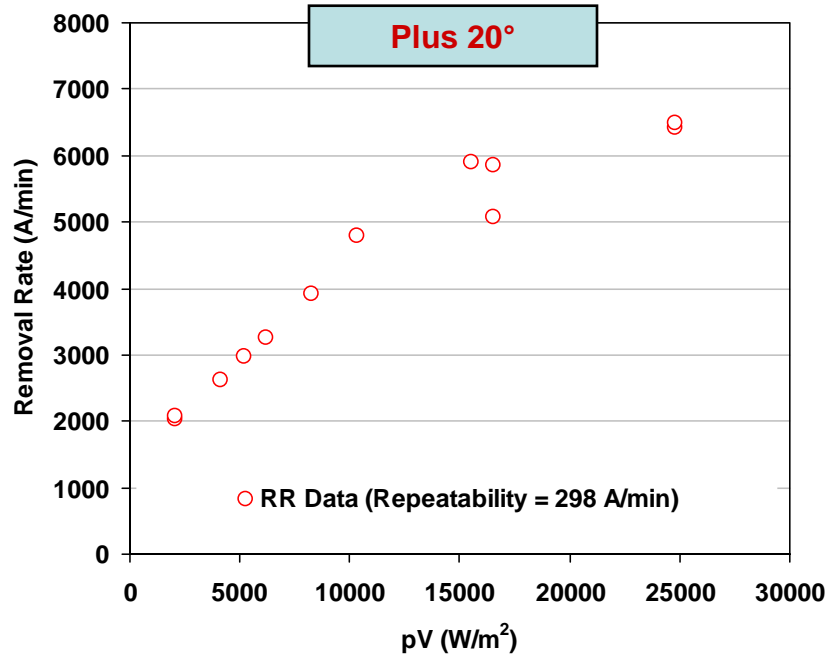
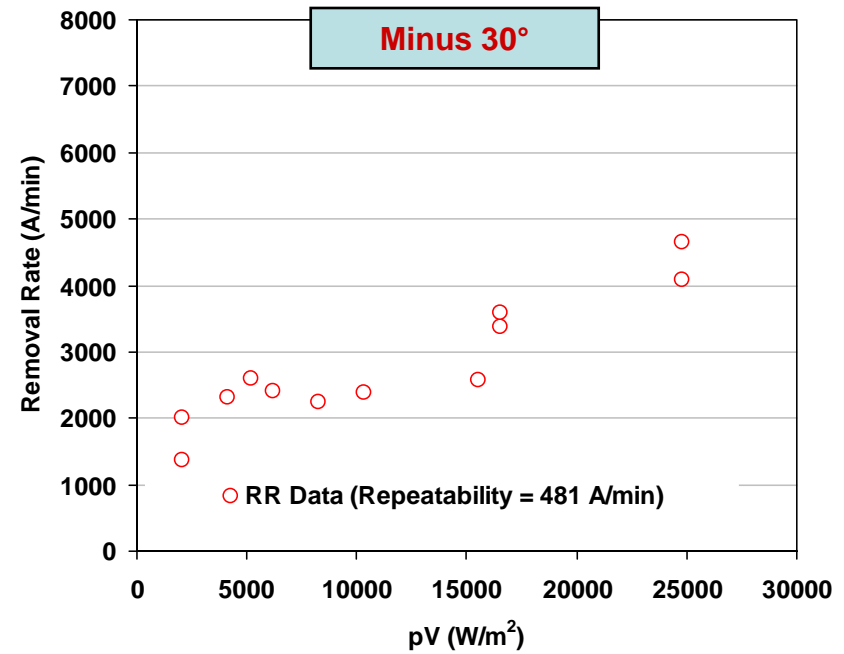
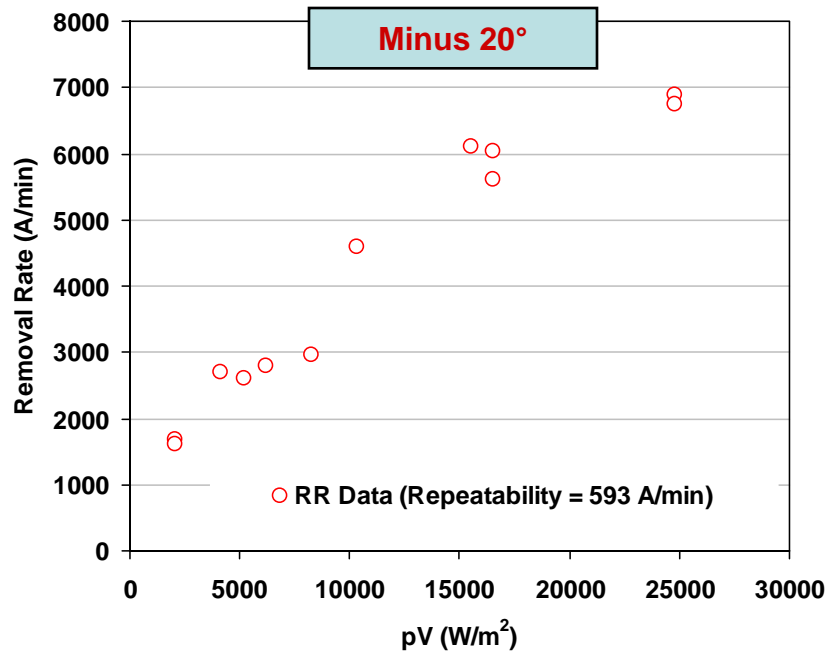
*Results obtained with 95% confidence*

# Outline

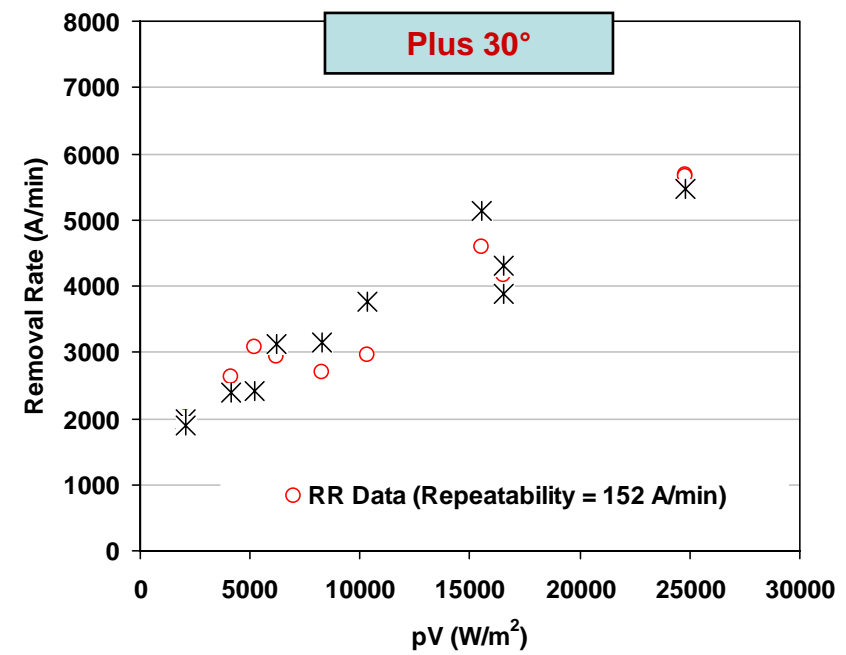
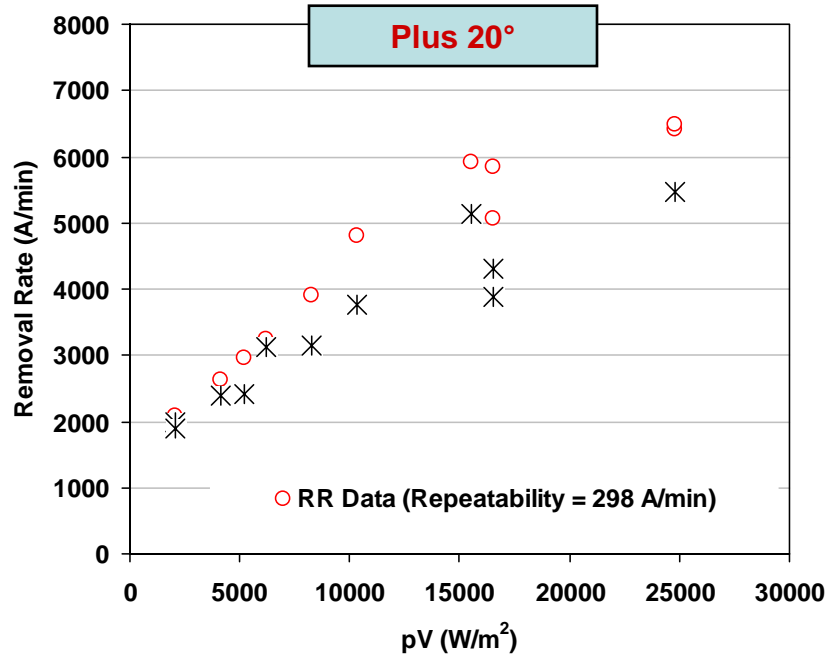
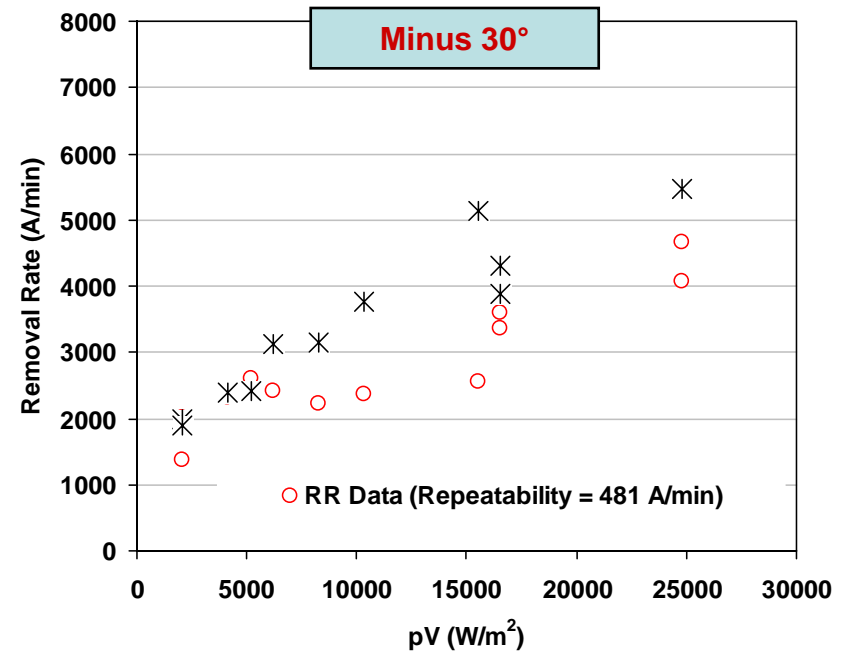
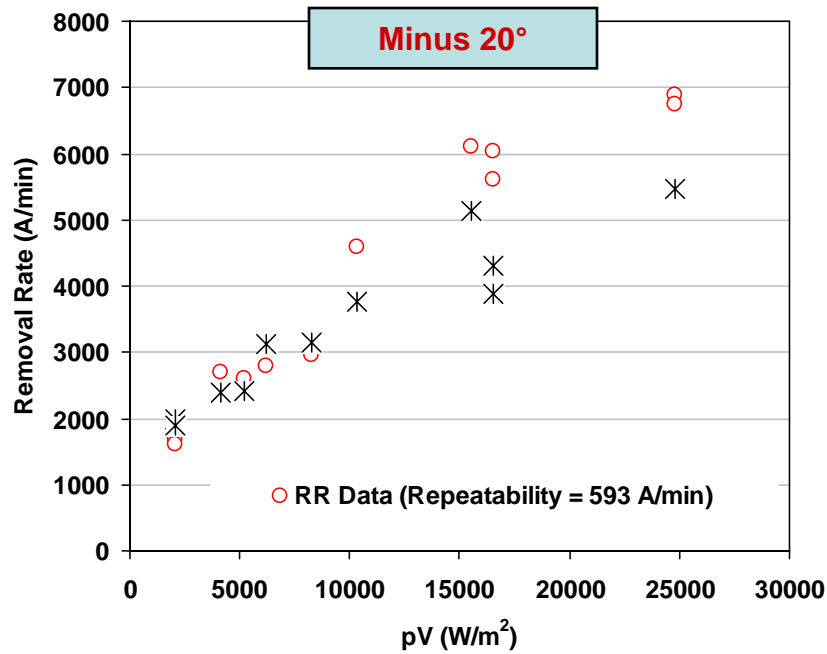
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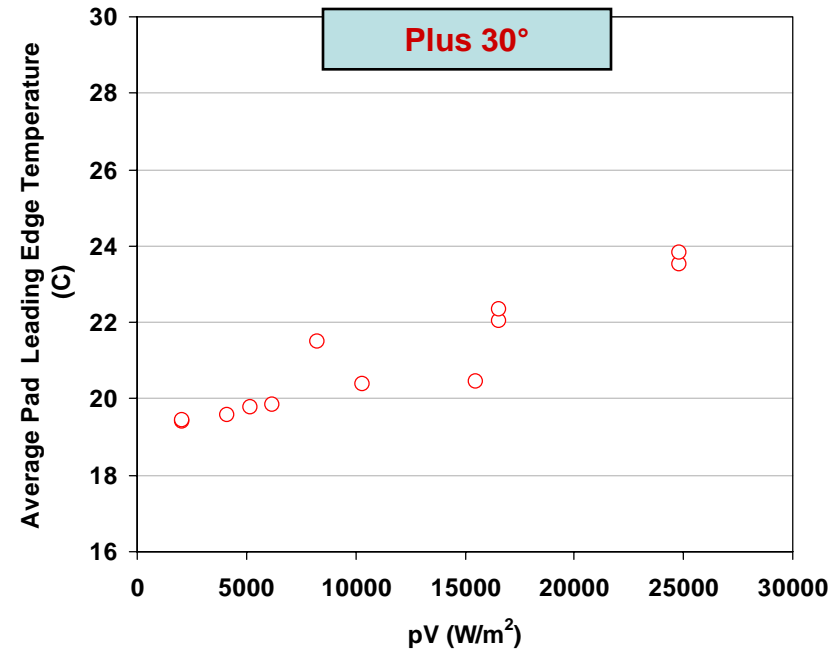
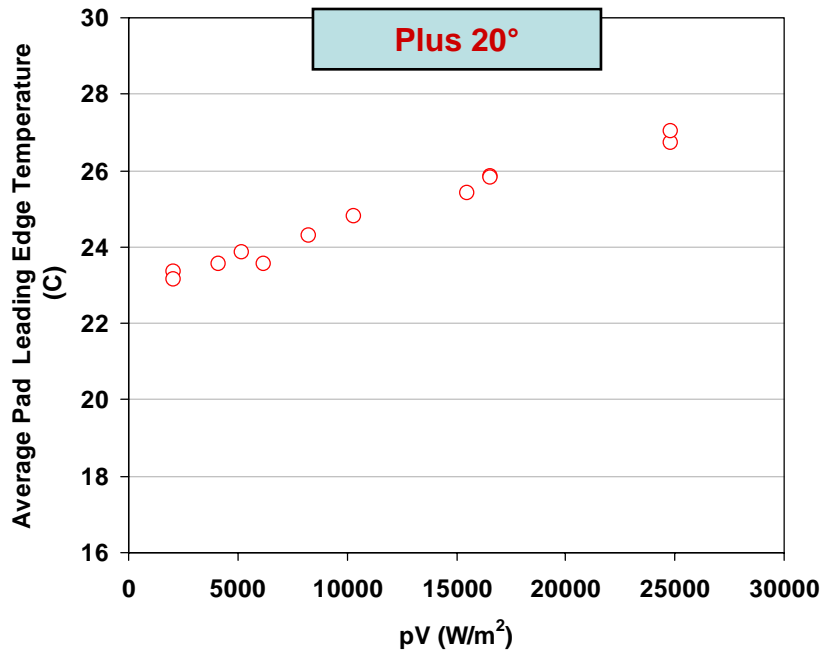
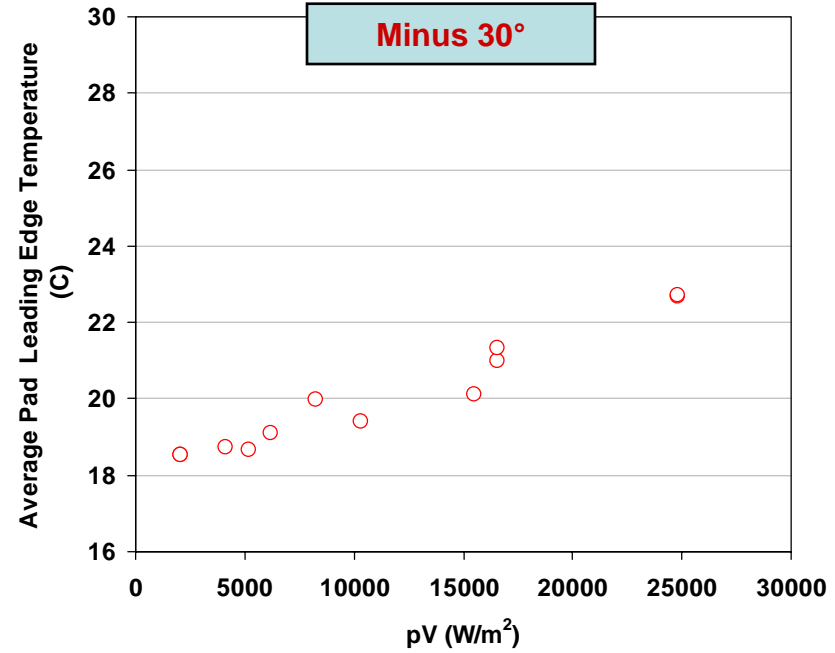
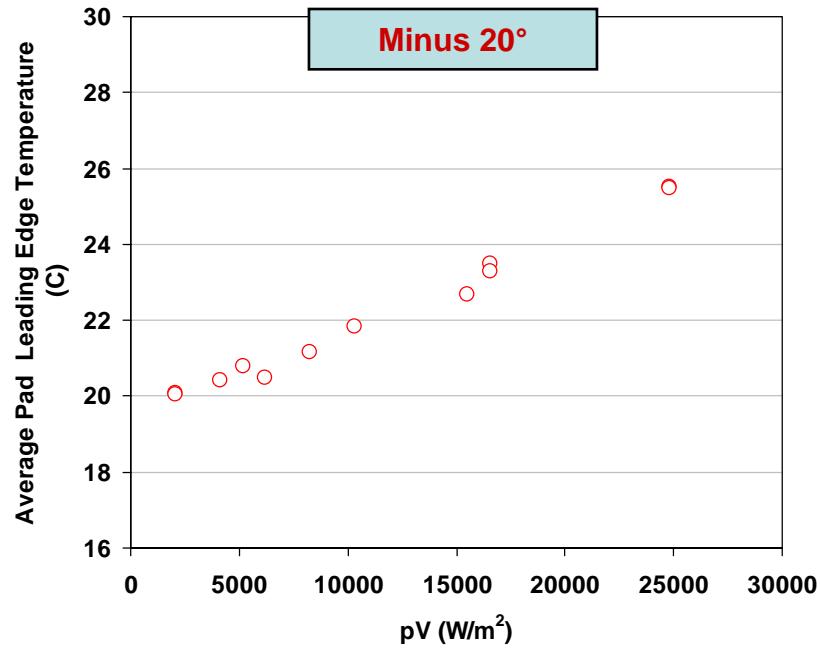
# RR ... Slanted Grooves



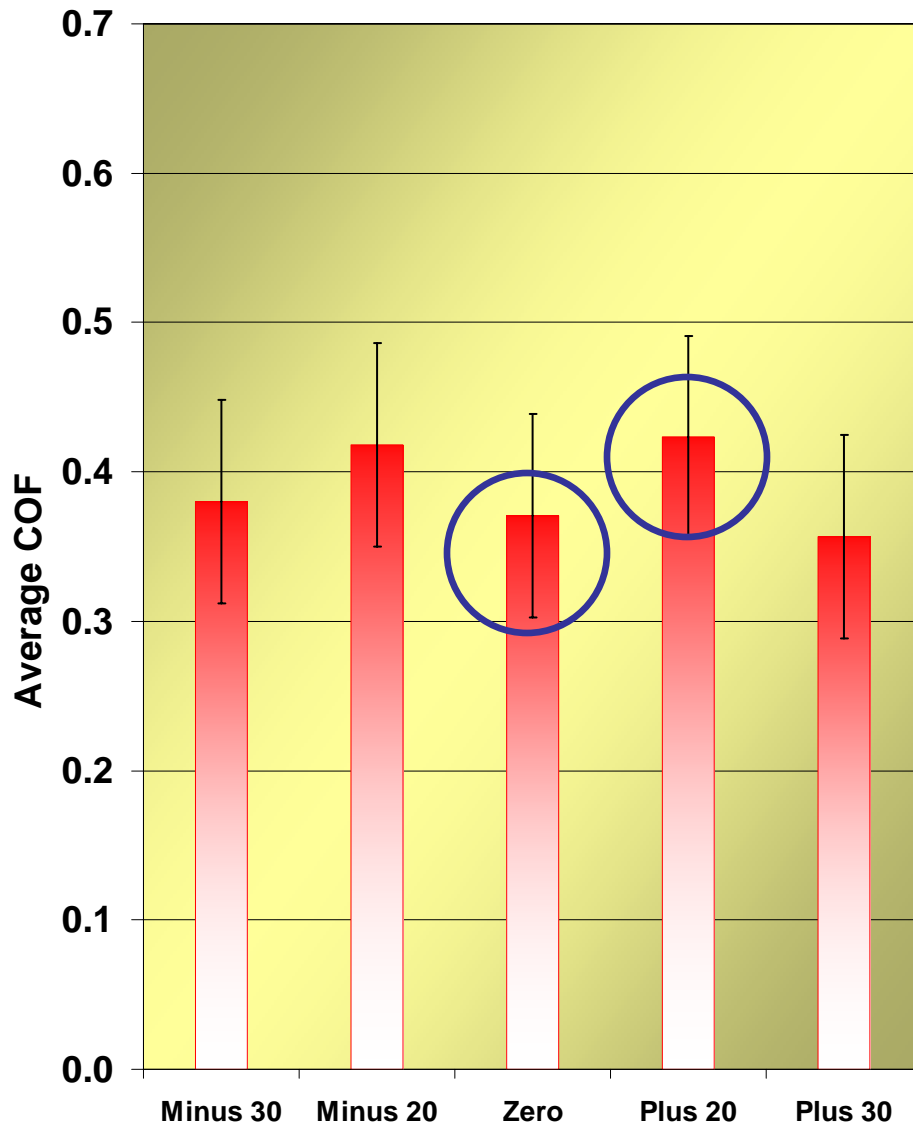
# RR ... Slanted Grooves



# Average Pad Leading Temperature ... Slanted Grooves



# Average COF and Shear Force... Concentric Slanted Grooves



$$COF_{avg} = \frac{\bar{F}_{Shear}}{F_{Normal}}$$

$$\bar{F}_{Shear} = COF_{avg} \times F_{Normal}$$

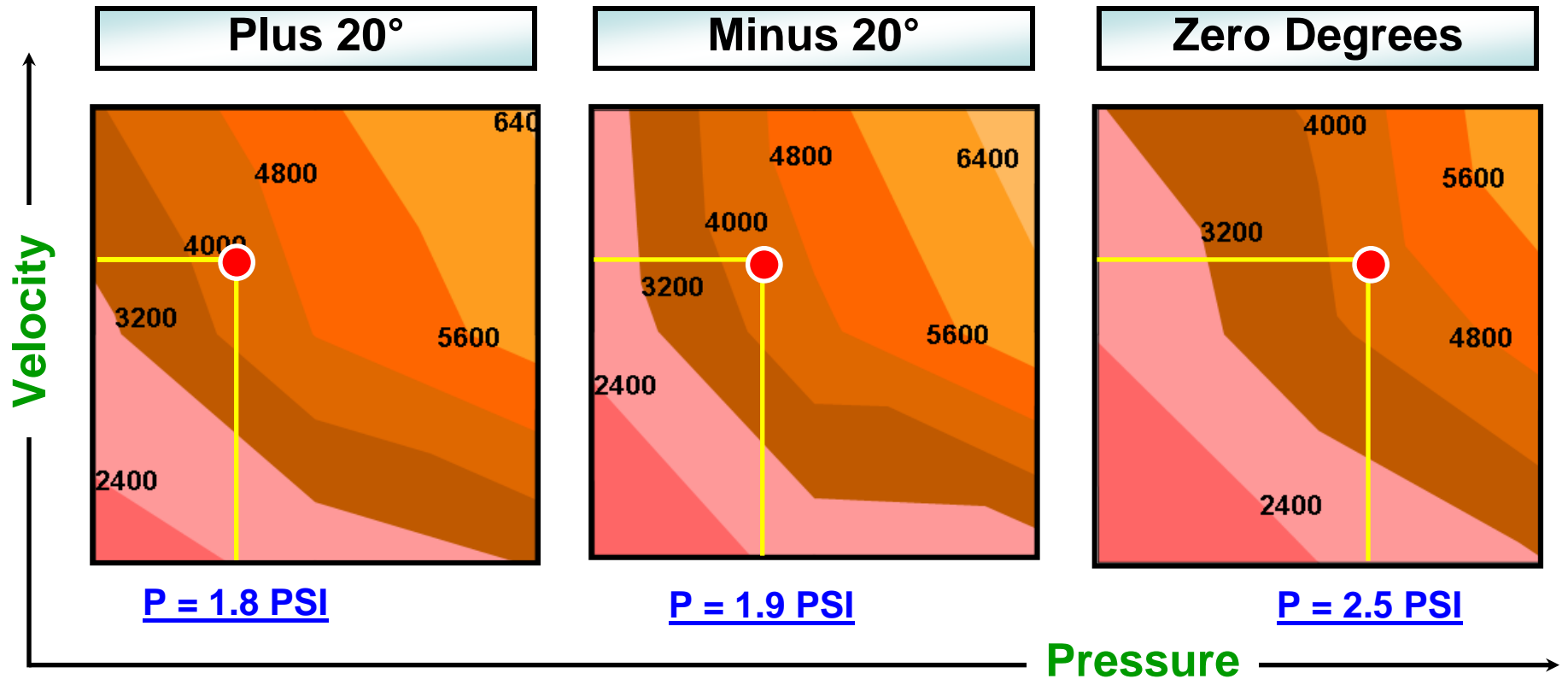
$$F_{Normal} \propto P_w$$

$$\bar{F}_{Shear} \propto COF_{avg} \cdot P_w$$

**Plus 20°**  
 $COF_{avg} = 0.43$   
 $P_w = 1.0 \text{ psi}$   
 $F_{shear} = 22 \text{ Lbf}$

**Zero degrees**  
 $COF_{avg} = 0.37$   
 $P_w = 1.0 \text{ psi}$   
 $F_{shear} = 18.5 \text{ Lbf}$

# Decoupling the effect of P and V on RR



At a given RR, Plus 20° and Minus 20° are less dependent on P (at constant V)

This is an advantage in polishing ULK materials where low pressures are required

In addition to increase pad life by reducing the applied pressure

# Statistical Analysis (Wilcoxon Signed Rank Test) Slanted Grooves

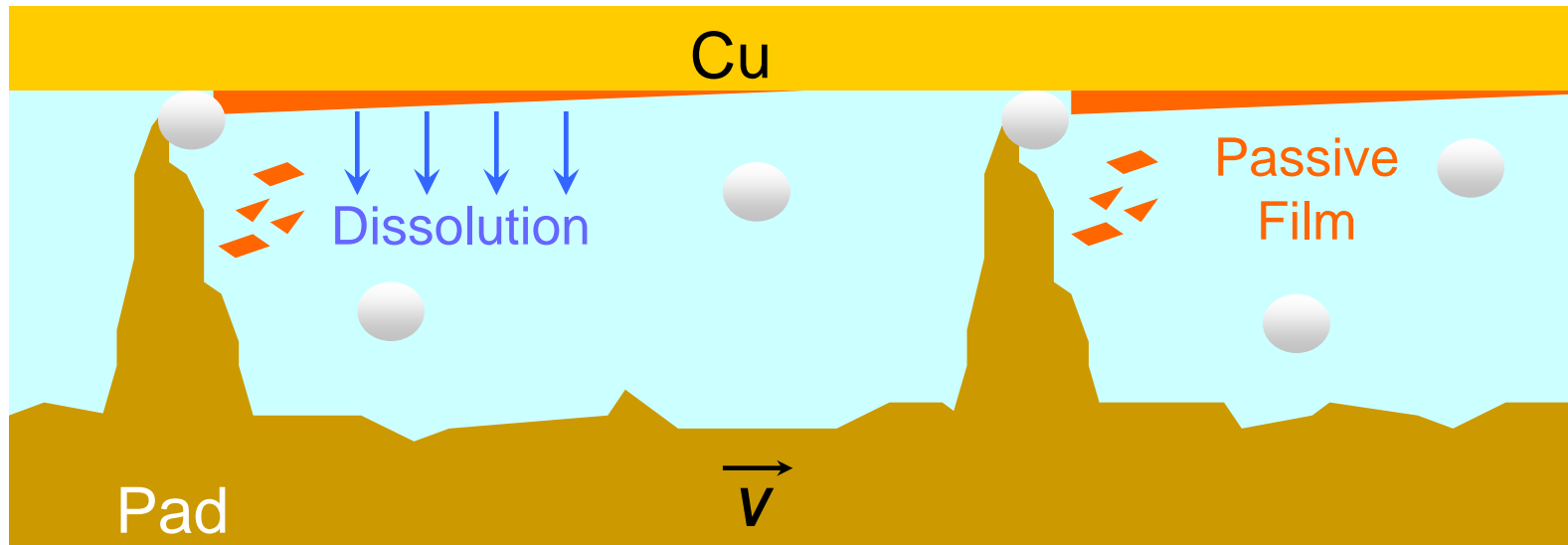
	Higher Rank = 1	Rank = 2	Lower Rank = 3
Removal Rate	Plus 20° Minus 20°	Plus 30° Zero	Minus 30°
Avg. Pad Leading Temperature	Plus 20°	Minus 20° Zero	Plus 30° Minus 30°

*Results obtained with 95% confidence*

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# 3-Step RR Model



*Passive Film Formation*

*Mechanical Removal*

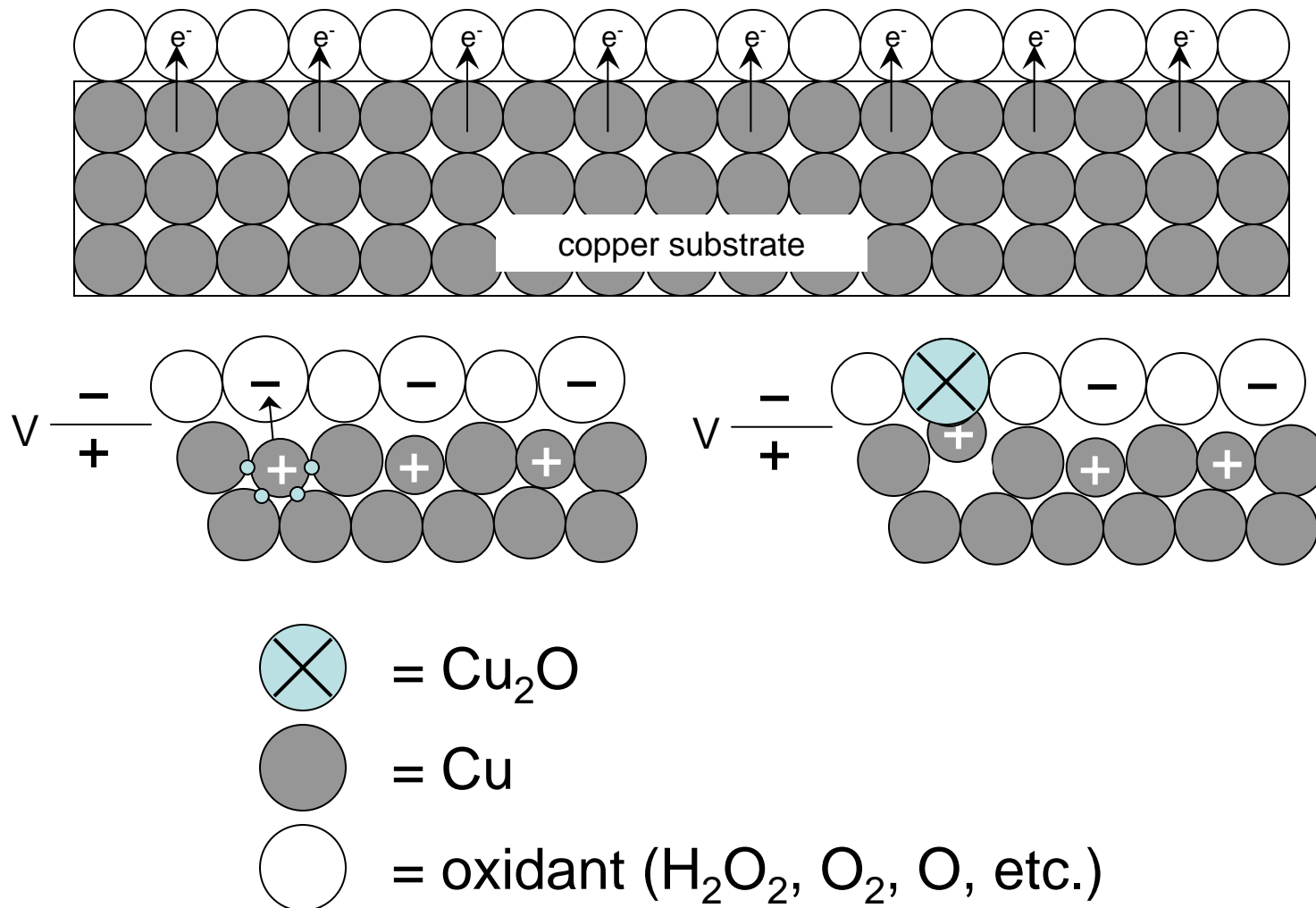
*Dissolution*



$$RR = \frac{M_w}{\rho} \cdot \frac{k_1 \cdot (k_2 + k_3)}{k_1 + k_2 + k_3}$$



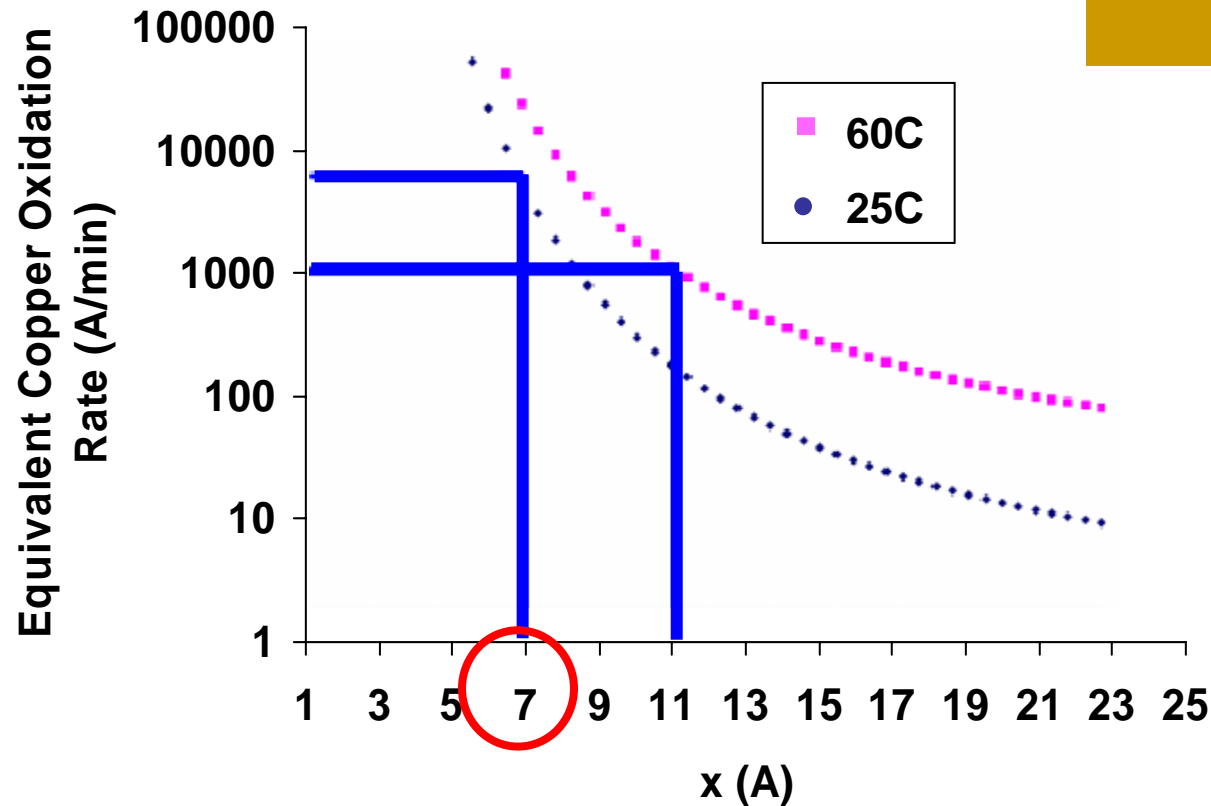
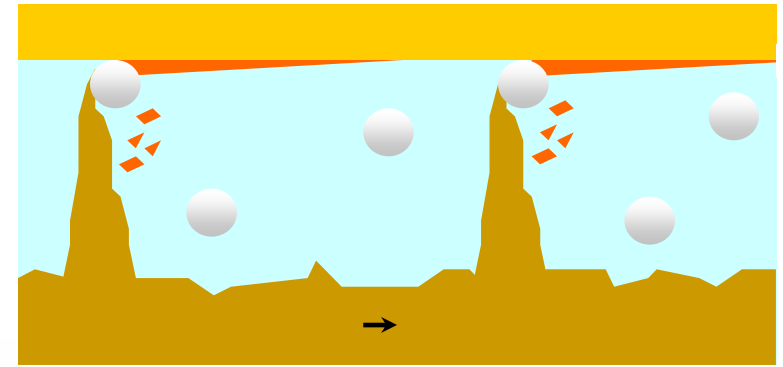
# Copper Oxidation Mechanism



# Incorporation into Proposed RR Model



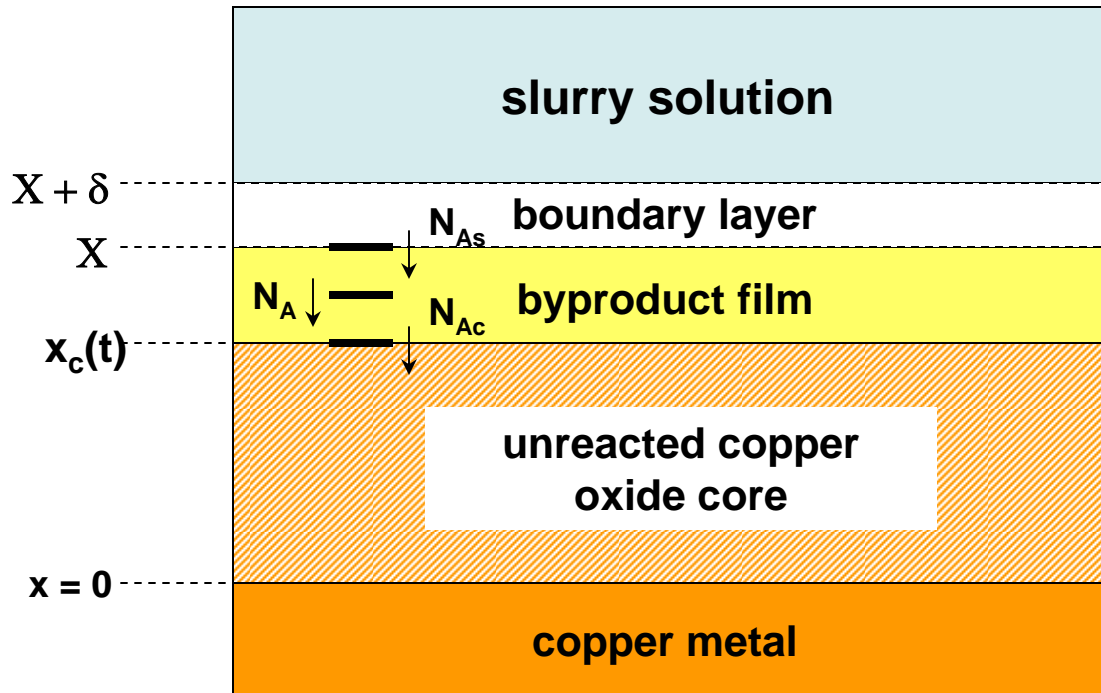
$$k_1 = \frac{\rho_{ox}}{Mw_{ox}} N\Omega f \exp\left(\frac{-W}{kT_w}\right) \exp\left(\frac{qa}{2kT_w x} V\right)$$



Typical Cu RR:  
1000 – 7000  
A/min

The characteristic  
oxide thickness  
used in this study is  
7 Å

# Dissolution Process



- A soft byproduct film was observed on wafer surface
- Film was present after long times
- **Controlling Mechanisms**
  - Surface reaction
    - Linear profile
  - Diffusion through BL
    - Reported that profiles are not a function of stirring speed
  - Diffusion through the byproduct film

$$k_3 = \frac{-A \cdot \exp\left(-\frac{E_a}{R \cdot T_w}\right)}{(x_c - X)}$$

# Mean Reaction and Flash Heating Temperature

Sorooshian et al., J. Tribology 127(3), pp. 461-468 (2005)

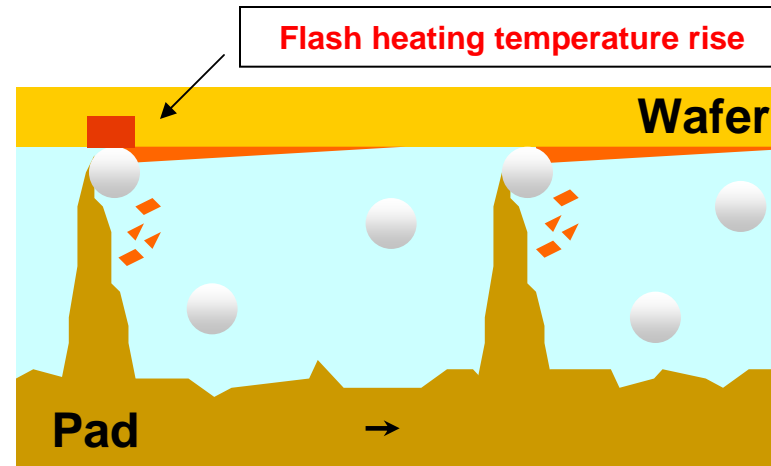
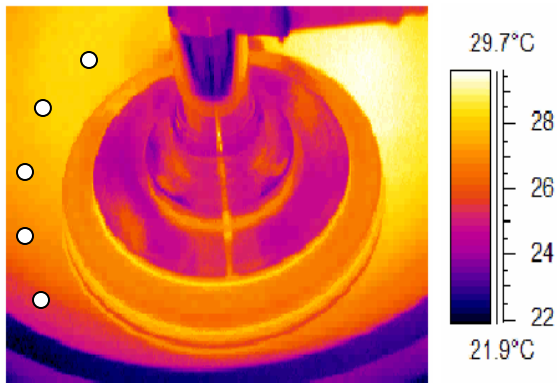
$$\bar{T}_w \approx \bar{T}_p + \Delta\bar{T}_f$$

$\bar{T}_p$  = Mean leading edge pad temperature

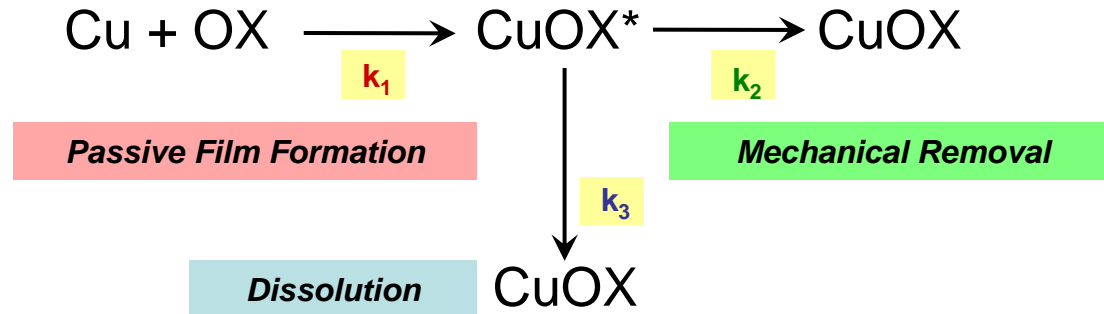
$\Delta\bar{T}_f$  = Mean flash increment

$$\Delta\bar{T}_f = \frac{\beta}{V^{1/2+e}} \cdot \mu_k \cdot (p \cdot V)$$

Mean leading edge pad temperature



# Summary of 3-Step Model for Cu Removal



$$k_1 = \frac{\rho_{ox}}{M_{w_{ox}}} (N\Omega f) \exp\left(\frac{-W}{kT_w}\right) \exp\left(\frac{qa}{2kT_w x} V\right)$$

$$k_3 = \frac{-A \cdot \exp\left(-\frac{E_a}{R \cdot T_w}\right)}{(x_C - X)}$$

$$\Delta \bar{T}_f = \frac{\beta}{V^{1/2+e}} \mu_k \cdot (p \cdot V)$$

$$k_2 = c_p \mu_k \cdot (p \cdot V)$$

Real-time measurements can be used to predict RR

3 Fitting parameter

$k_1$  is characterized based on cation migration

Applicable at  $p \cdot V = 0$  due to the addition of a dissolution step ( $k_3$ )

$k_3$  is characterized based on diffusion of complexant through by-product film

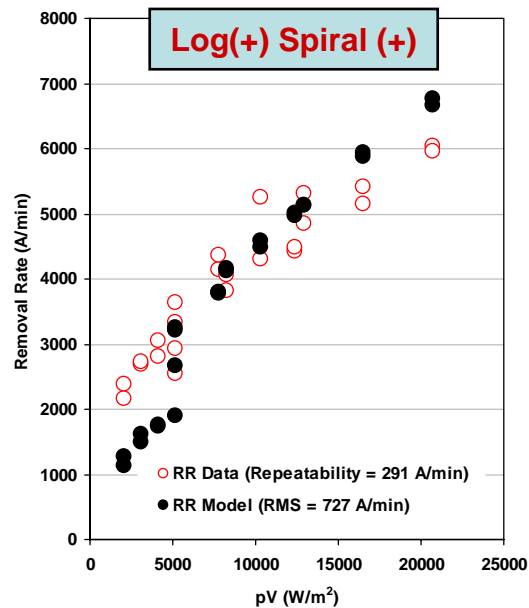
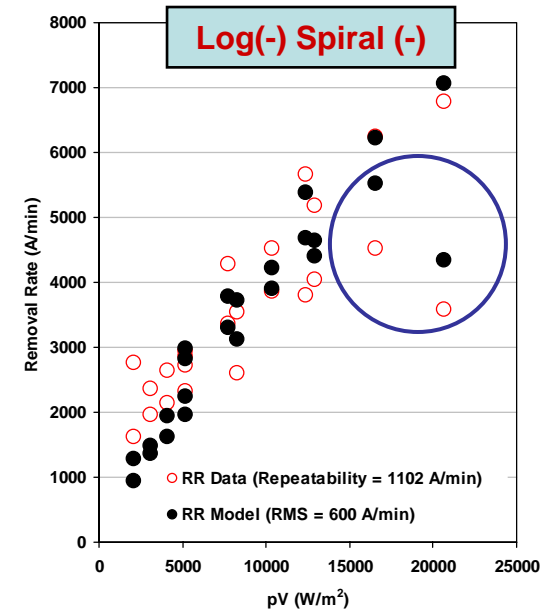
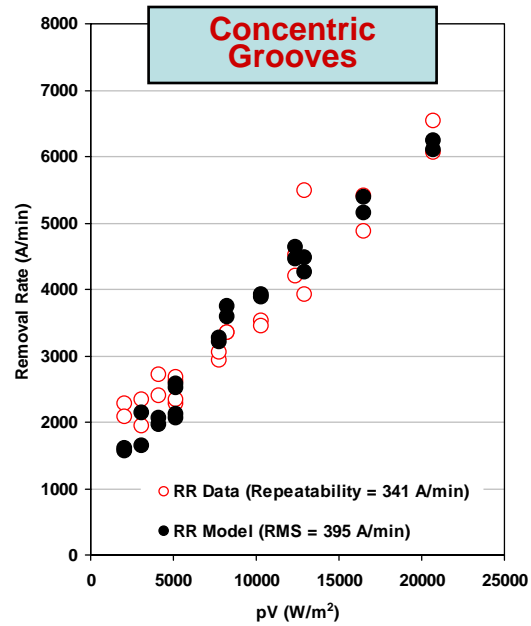
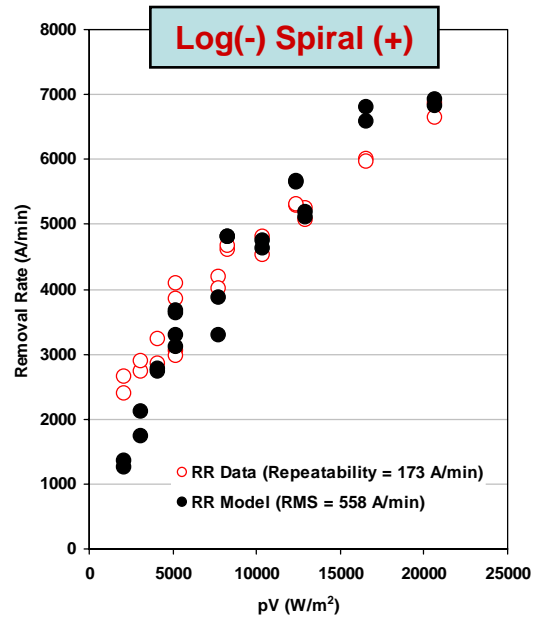
Dissolution rate ( $k_3$ ) was found to be **negligible** for our system (**type of slurry, pressure and velocity conditions**)

However it becomes more important as **pressure x velocity** approaches zero

# Outline

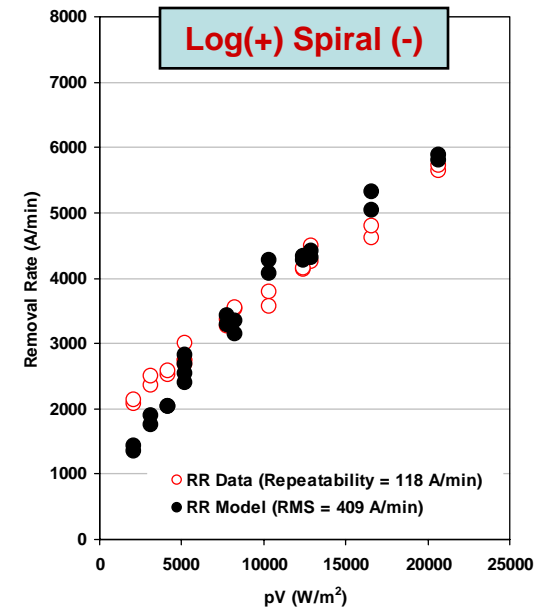
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# 3-Step RR Model

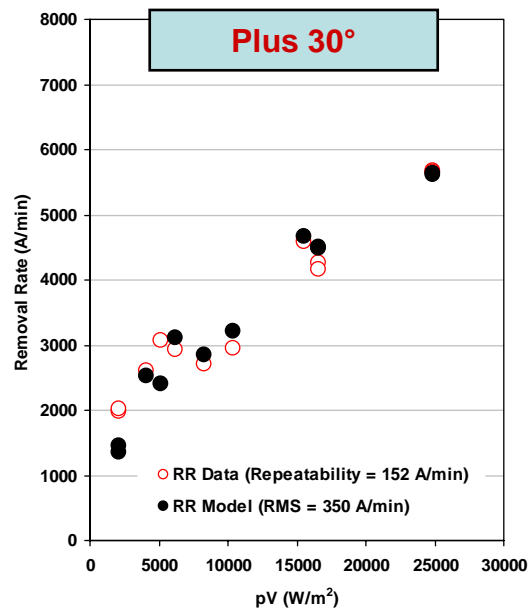
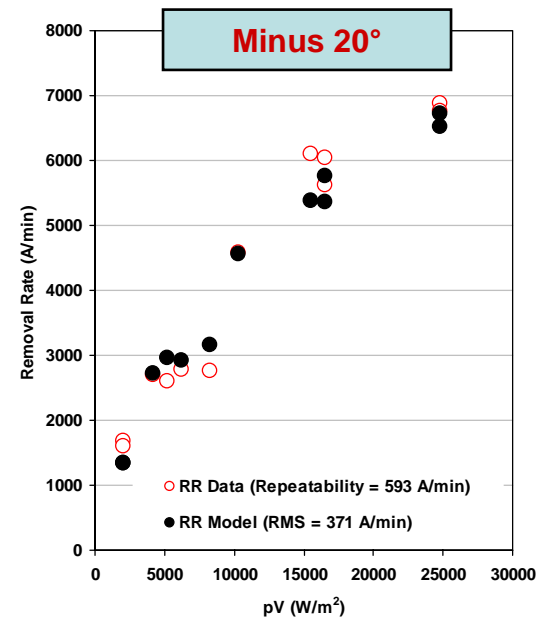
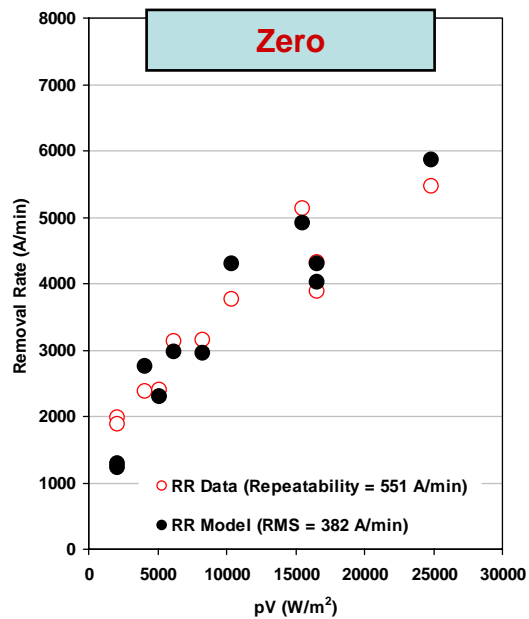
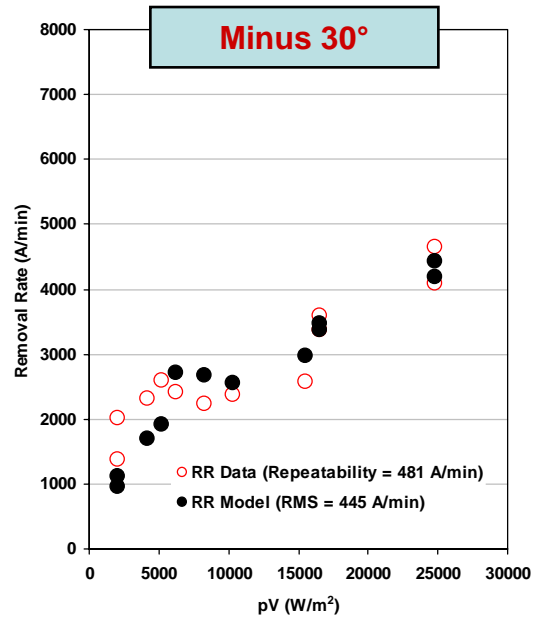


**Experimental  
Repeatability range  
120 – 1100 Å/min**

**Model RMS range  
400 – 730 Å/min**

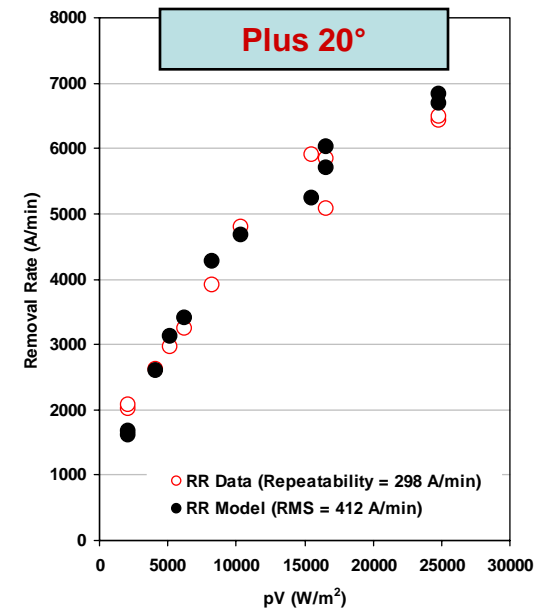


# 3-Step RR Model



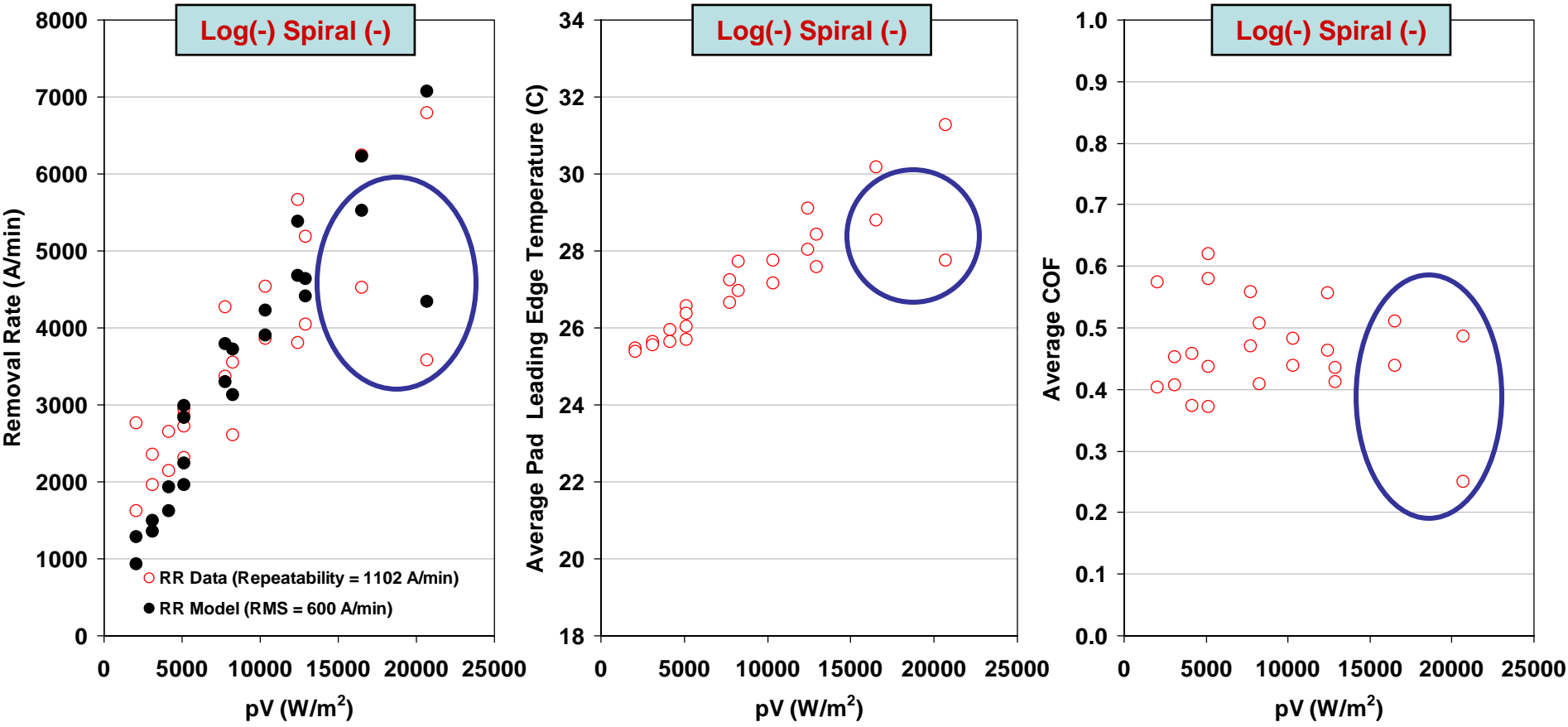
**Experimental  
Repeatability range  
150 – 590 Å/min**

**Model RMS range  
350 – 445 Å/min**

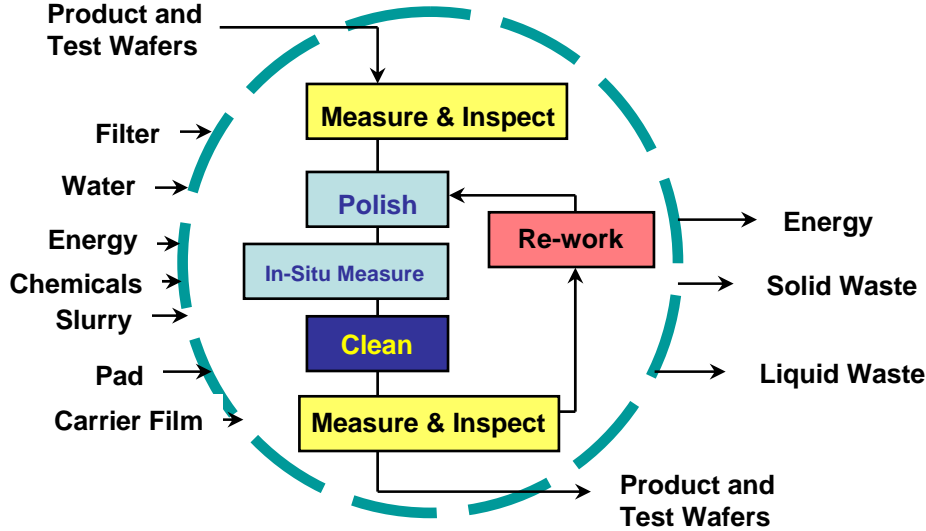
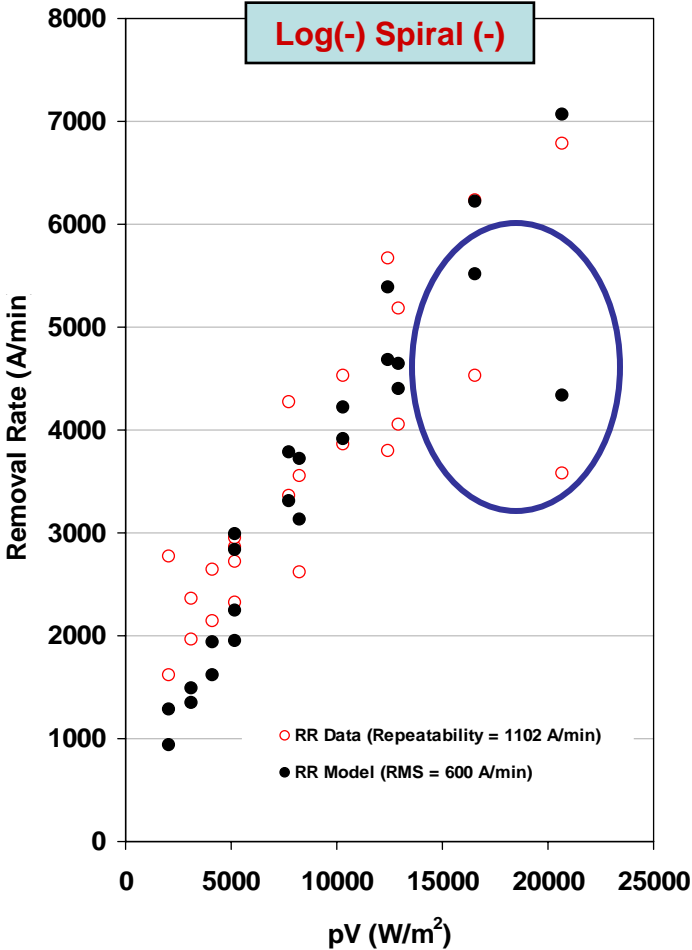




# Applicability of 3-Step Model in Copper CMP

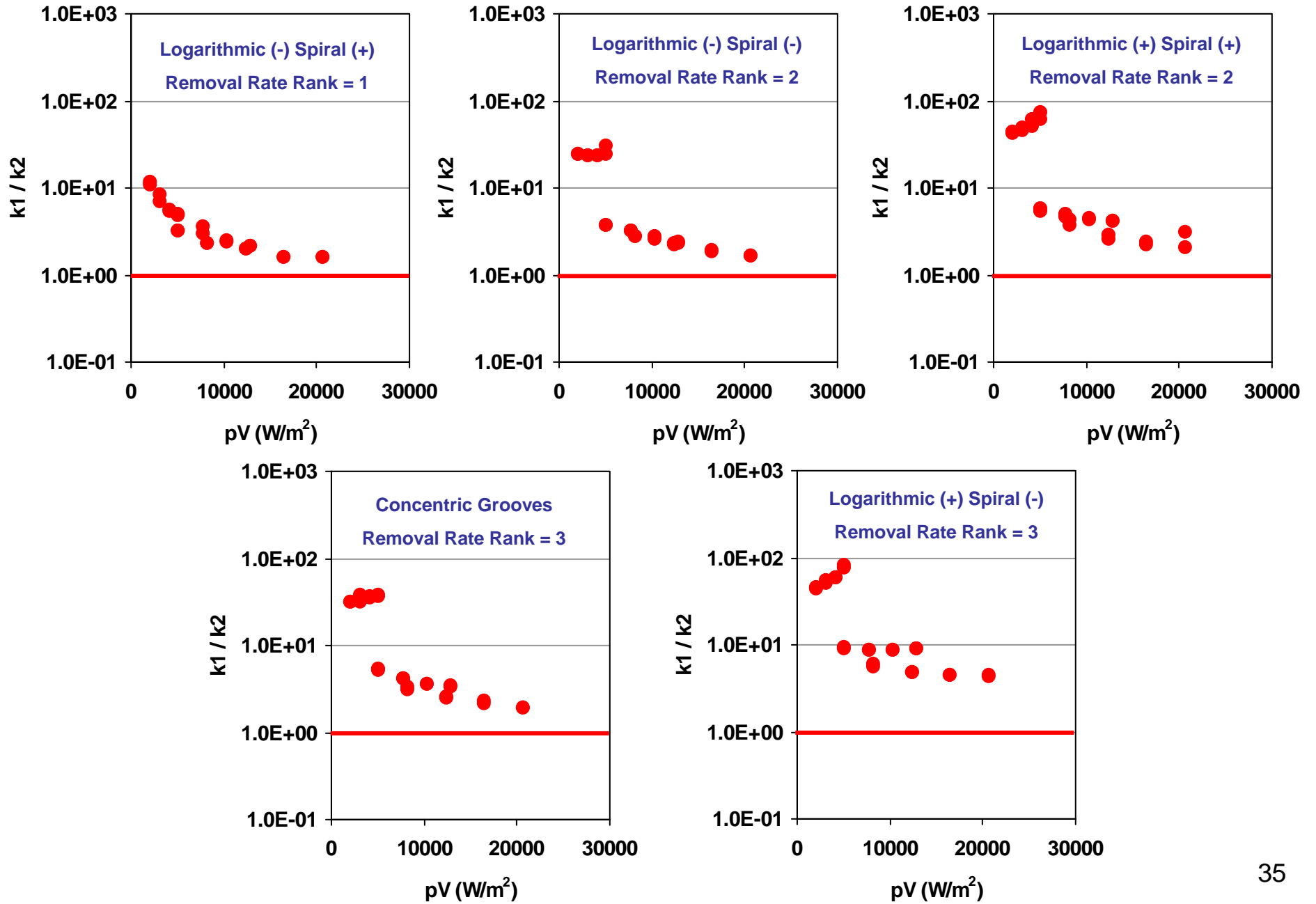


# Applicability of 3-Step Model in Copper CMP

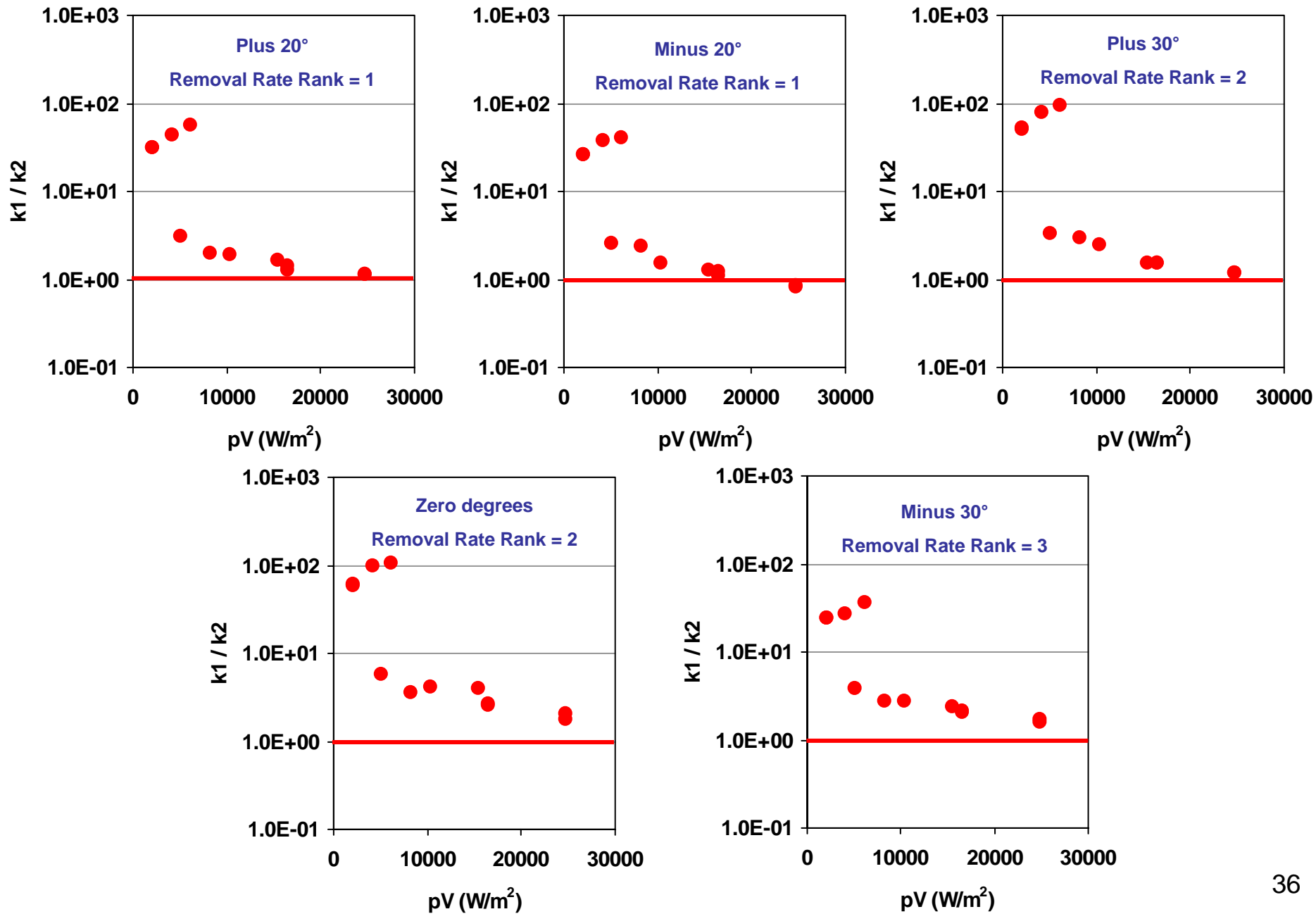


**Prediction of process failure with in-situ measurement of temperature and COF**

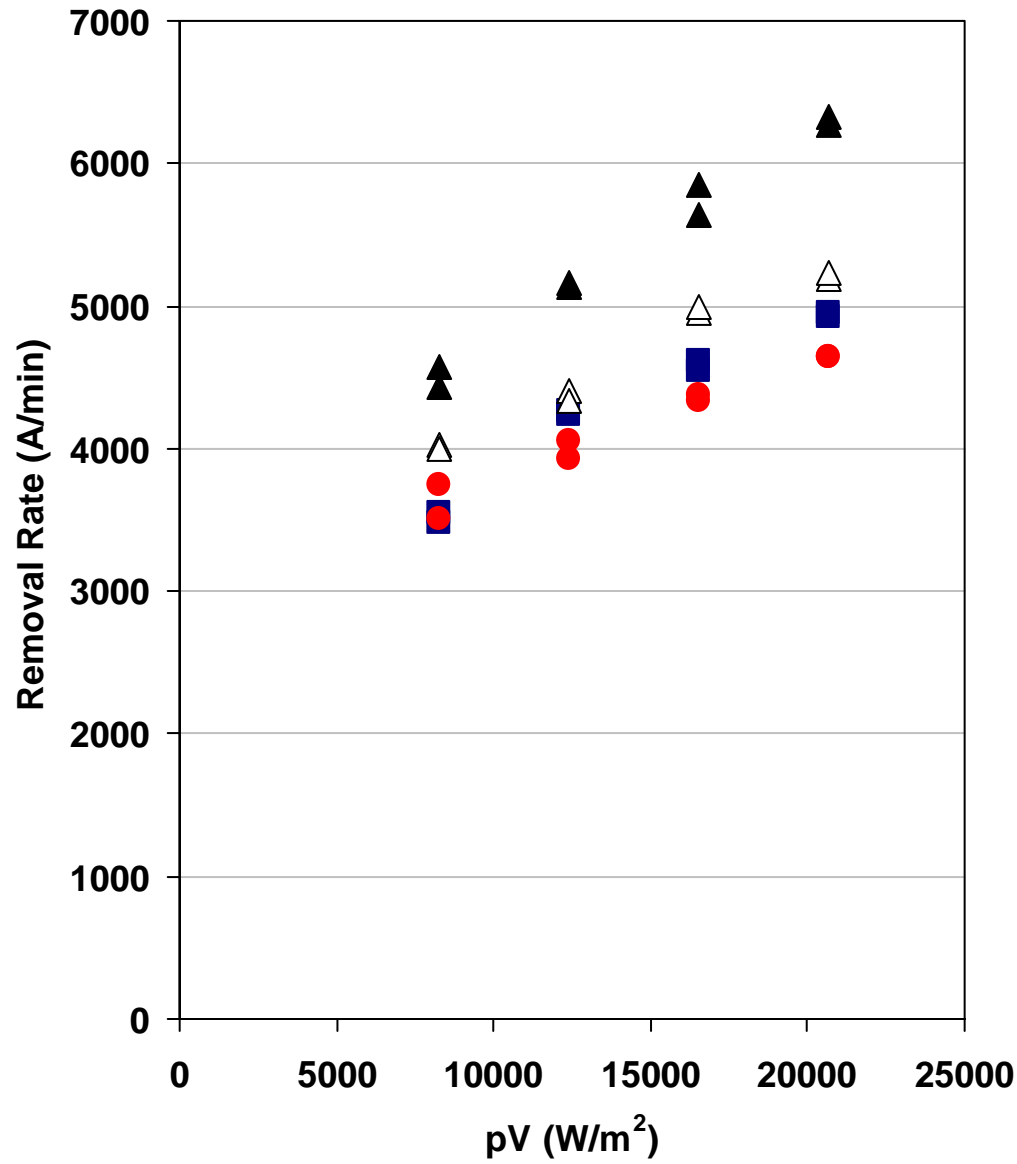
# 3-Step Model Rate Constants



# 3-Step Model Rate Constants



# EHS Impact of Novel Groove Designs



- ▲ Log(-) Spiral(+) 110 cc/min (50% Reduction)
- △ Concentric grooves 110 cc/min (50% Reduction)
- Concentric grooves 165 cc/min (75% Reduction)
- Concentric grooves 220 cc/min

**Preliminary results show significant reduction in slurry consumption**

**Removal rate increases slightly as slurry flow rate is decreased for the pad with concentric grooves**

**However, the Log(-) Spiral(+) pad results in much higher rates when slurry flow is reduced by 50%**

# Conclusions

- Novel groove design seems to be a good path to follow to obtain **higher removal rates at progressively smaller scales**
- Preliminary results show that these groove designs could **positively affect COO and EHS via decreasing pad and slurry consumption during copper CMP**
- **A novel 3-Step Model was presented**
  - Real-time measurements can be used to predict the removal rate of a wafer being polished
  - 3 Fitting parameter
  - $k_1$  is characterized based on cation migration
  - $k_3$  is characterized based on diffusion of complexant agent through by-product film
  - Applicable at  $p \cdot V = 0$  due to the addition of a dissolution step ( $k_3$ )
- The 3-Step model predicts very well the behavior of the removal rate for different types of pads used in copper CMP. **The RMS falls in the range of 350 - 700 A/min whereas the repeatability range is 120 - 1200 A/min for all cases**

# Conclusions

- The dissolution rate (i.e.  $k_3$ ) does not play an important role for the system (**slurry type, pressure and velocity conditions**) evaluated in this study. However, this third step will become more and more significant as  $pV$  approaches zero
- The relative values of  $k_1$  and  $k_2$  as a function of  $pV$  shows that **the process is more limited by film removal through mechanical abrasion**, especially at low values of  $pV$ . However, **as  $pV$  increases this limitation is reduced** and there is a transition to a more balanced process
- The ratio of  $k_1/k_2$  seems to indicate that as  $pV$  increases, the **faster** each pad (groove design) approaches **balance between film growth and film removal**, the **higher the removal rate** for that pad (i.e. groove design)

# Future Work

- **Perform rigorous analysis and modeling of the effect of groove design (i.e. Logarithmic-Spiral) under reduced slurry flow rates**
- **Perform Dual Emission UV Enhanced Fluorescence (DEUVEF) analysis to evaluate the effect of pads with slanted groove patterns on slurry flow**
- **Expand the 3-step copper removal model by characterizing the dependence of copper oxide film growth on sliding velocity and conditioning process**



# Acknowledgements

- **Ara Philipossian (UA)**
- **Darren DeNardis (Intel)**
- **Len Borucki (Araca)**
- **Duane Boning (MIT)**
- **Engineering Research Center for Environmentally Benign Semiconductor Manufacturing**
- **Tasutoshi Suzuki (Toho Engineering)**
- **Fujimi Incorporated**